

# **Dynamical fermion algorithms and many flavor simulations on the lattice**

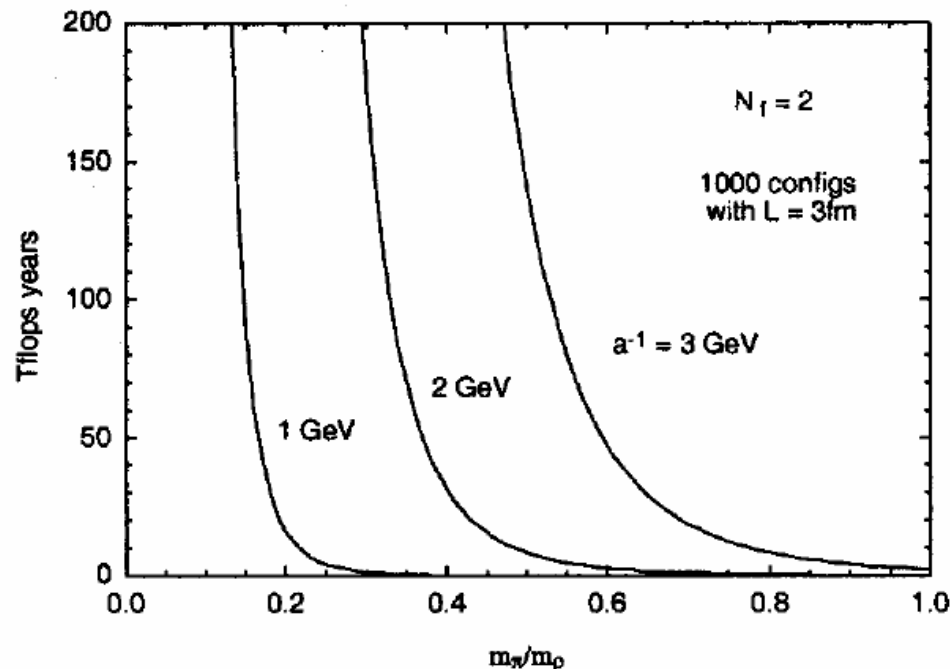
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**Lattice Gauge Theory for LHC Physics**  
**Livermore, CA, May 2, 2008**

# Contents

- Lattice QCD with Dynamical Fermions
- Hybrid Molecular Dynamics
- RHMC
- Multiple time scales and Hasenbusch preconditioning.
- Advanced integrators
- Gap DWF

# The Berlin Wall



**Ukawa** (Lattice 2001) –  
Improved Wilson quarks

$$\text{Cost} \sim L^5 \left( \frac{1}{m_\pi} \right)^{5-6} a^{7-8}$$

The situation at Lattice 2001  
(Berlin)

Cost for generating gauge configurations with dynamical fermions scales like a large power of the pion mass.

Despite exponential increase of CPU power, lattice simulations with realistic quark masses appeared out of reach in near future.

# Where is the problem?

$$\text{Cost} \sim L^5 \left( \frac{1}{m_\pi} \right)^{5-6} a^{7-8}$$

Main problem comes with severe scaling with  $m_l$  ( $m_\pi$ )

1. One factor of  $\left( \frac{1}{m_\pi} a \right)^{1-2}$  from critical slowing down.
2. Factor of  $\frac{1}{m_l a} \sim \left( \frac{1}{m_\pi a} \right)^2$  from condition number of fermion matrix
3. Factor  $\frac{1}{m_l a} \sim \left( \frac{1}{m_\pi a} \right)^2$  for step-size dependence on light quark mass.

# Sampling the Gauge Fields

$$\ln Z = \int [\mathcal{D}U][\mathcal{D}\bar{\psi}][\mathcal{D}\psi] \exp \left( -S_g(U) + \sum_q \bar{\psi}_q M_q \psi_q \right)$$

Integrate out the fermion fields:

$$\ln Z = \int [\mathcal{D}U] \prod_q \text{Det}(M_q(U)) \exp(-S_g(U))$$

- Want to sample gauge fields,  $U$  with given Boltzmann weight.
- $\text{Det}(M_q(U))$  is costly to calculate.
- Quenched approximation  $\rightarrow$  Omit fermion determinant (neglect quark loops)  $\rightarrow$  Incorrect equilibrium distribution.
- Require method that incorporates fermion determinant

# Hybrid Molecular Dynamics

- Old single-link updating used for pure gauge theory insufficient:
  - Local update, but fermion determinant is non-local.
  - Random walk exploration of phase space too slow.
- Hybrid Molecular Dynamics (**HMD**) – **Duane & Kogut, Gottlieb, et. al.** (mid 1980s)
- Use molecular dynamics to change all gauge link variables simultaneously to produce a new configuration.
- Directed march through phase space → more efficient phase space exploration.
- Dominant mode for dynamical fermion calculations for next 15 years.

# HMC $\Phi$ Algorithm

Idea: Introduce pseudo-fermion field ( $\Phi$  field) and fictitious momentum to create Hamiltonian:

$$\mathbf{H} = \sum_i \frac{\mathbf{p}_i^2}{2} + \mathbf{S}_g(\mathbf{U}) + \phi_i^\dagger (\mathbf{M}^\dagger(\mathbf{U})\mathbf{M}(\mathbf{U}))_{ij}^{-1} \phi_j = \sum_i \frac{\mathbf{p}_i^2}{2} + \mathbf{V}(\mathbf{U})$$

Evolve all fields in fictitious time with Hamilton's EOM using numerical "leapfrog" integrator.

$$\begin{aligned} \mathbf{p}_i(\mathbf{t} + \Delta\mathbf{t}/2) &= \mathbf{p}_i(\mathbf{t} - \Delta\mathbf{t}/2) + \frac{\delta\mathbf{V}}{\delta\mathbf{U}}(\mathbf{t})\Delta\mathbf{t} \\ \mathbf{U}_i(\mathbf{t} + \Delta\mathbf{t}) &= \exp(i\mathbf{p}_i(\mathbf{t} + \Delta\mathbf{t}/2)) \mathbf{U}_i(\mathbf{t}) \end{aligned}$$

Hamiltonian conserved up to  $\mathbf{O}(\delta\mathbf{t}^2)$  step-size errors. Apply Metropolis test to enforce detailed balance.

However, only works for 2 flavor Wilson, 4 flavor staggered!

# R Algorithm

For staggered fermions, fermion matrix is naturally 4-flavored.  
Take roots of fermion determinant to approximate fewer flavors:

$$N_f \text{ flavors} \approx \left( \det(M_{\text{stag}}^\dagger M_{\text{stag}}) \right)^{N_f/4} = \exp \left( \frac{N_f}{4} \text{tr} \ln(M_{\text{stag}}^\dagger M_{\text{stag}}) \right)$$

Can no longer introduce  $\Phi$  field. Hamiltonian becomes:

$$H = \sum_i \frac{p_i^2}{2} + S_g(U) - \frac{N_f}{4} \text{tr} \ln (M^\dagger(U)M(U))^{-1}$$

Must calculate contribution from fermions stochastically  $\rightarrow$  detailed balance is spoiled  $\rightarrow$  can't apply Metropolis.

R Algorithm introduces  $\mathcal{O}(\delta t^2)$  errors to equilibrium ensemble.  
Must use many different step sizes and extrapolate, or extremely small step size.



# Rational Hybrid Monte Carlo (RHMC)

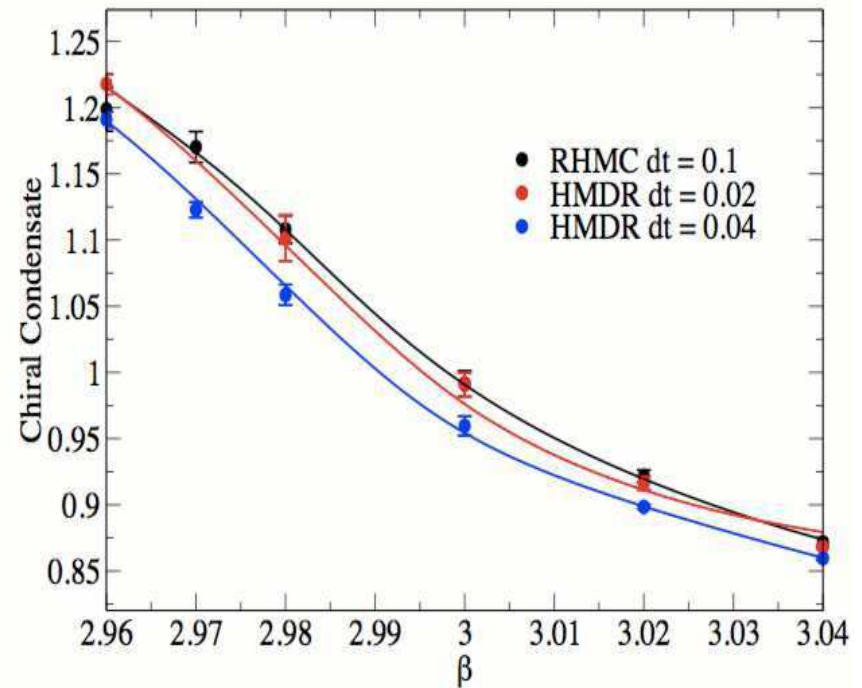
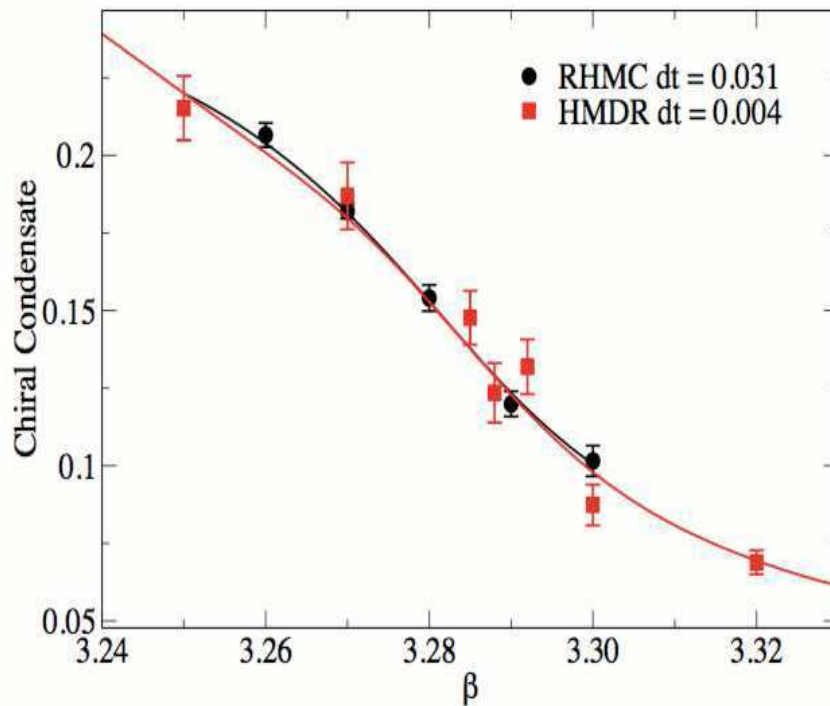
- R Algorithm necessary for realistic 2+1 flavors, but is inexact.
- RHMC (**Clark + Kennedy**) → Exact algorithm usable with any number of flavors.
- Problem with R Algorithm: No explicit form for  $(\mathbf{M}^\dagger \mathbf{M})^{-1/n}$
- Solution: Use Rational Approximation.

$$\text{Det } (\mathbf{M}^\dagger \mathbf{M})^{1/n} = \int [\mathcal{D}\phi^\dagger][\mathcal{D}\phi] \exp(\phi^\dagger \mathbf{R}^2(\mathbf{M}^\dagger \mathbf{M}) \phi); \mathbf{R}(\mathbf{x}) = \mathbf{x}^{-1/2n}$$

Partial fraction expansion:  $\mathbf{R}(\mathbf{x}) = \sum_{i=1}^m \frac{\alpha_{\mathbf{k}}}{\mathbf{x} + \beta_{\mathbf{k}}}$

$\Phi$  field can be introduced as in HMC  $\Phi$ , Hamiltonian conserved during molecular dynamics evolution. No need to extrapolate to  $\delta t \rightarrow 0$ , just apply Metropolis test.

# R vs. RHMC



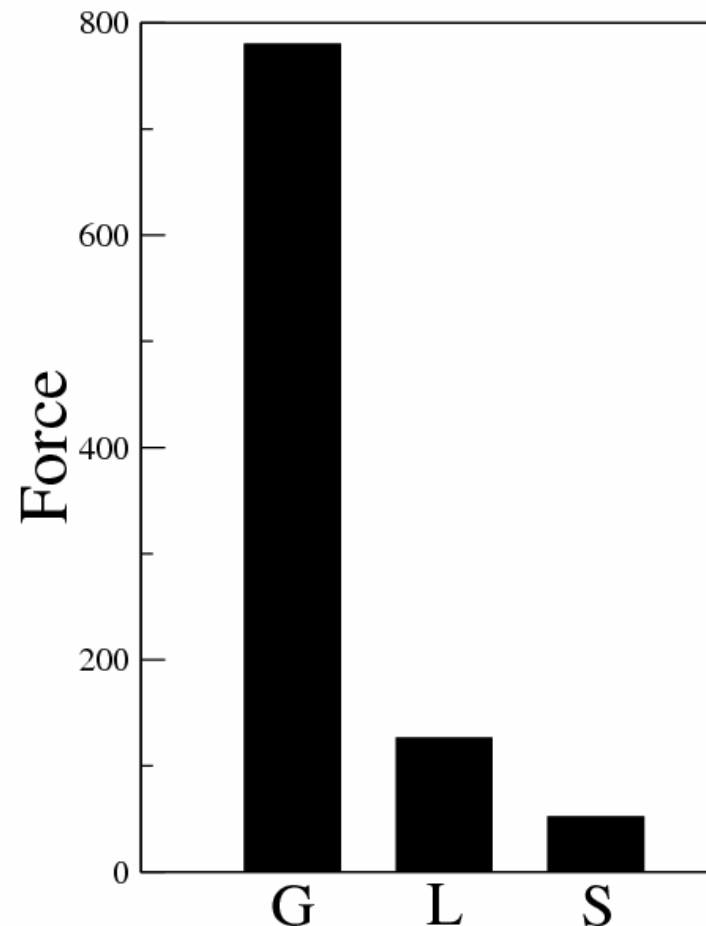
$8^3 \times 4$  p4 staggered fermions,  $N_f = 3$ ,  $ma = 0.01$  (left),  $ma = 0.1$  (right),

Much larger  $\delta t$  usable with RHMC than R, Step size dependence much weaker than  $1/ma$ .

# The Molecular Dynamics Integrator

- What limits the step size,  $\delta t$ ?
- Need  $\delta H$  of reasonable size otherwise Metropolis step will not accept often enough.
- If  $\mathbf{F} = \frac{\delta \mathbf{V}}{\delta \mathbf{U}}$  is too large,  $\mathbf{F} \delta t$  is a poor approximation for the correct energy-conserving time evolution.
- $\mathbf{F}_{\text{gauge}} \gg \mathbf{F}_{\text{fermions}}$  - but cost of calculating fermion force much greater than gauge force.
- Multiple time-scales (**Sexton & Weingarten**)

$$\mathbf{F}_{\text{gauge}} \delta t_{\text{gauge}} \sim \mathbf{F}_{\text{fermion}} \delta t_{\text{fermion}}$$



# Closer look at Fermion Force

- Calculation of fermion force is most expensive part of HMD evolution because it requires a matrix inversion.
- Idea: Spectrum of Dirac operator is dominated by UV modes which are insensitive to  $m_q$ . However, it is the relatively few IR modes sensitive to  $m_q$  that drive up the condition number of  $M$ .
- UV modes contribute most to the  $F_{\text{fermion}}$ , but it is IR modes which make cost of molecular dynamics  $\sim 1/m_q$
- Separate UV from IR so that the IR modes (which costs most but has relatively small force) can be done less often.
- Many ideas:
  - Hasenbusch “mass preconditioning”
  - Luscher “domain decomposition”
  - Peardon & Sexton “Polynomial Filtering”
  - Deflation, Multi-Grid

# Hasenbusch mass preconditioning

Consider fermion determinant for a light quark flavor:

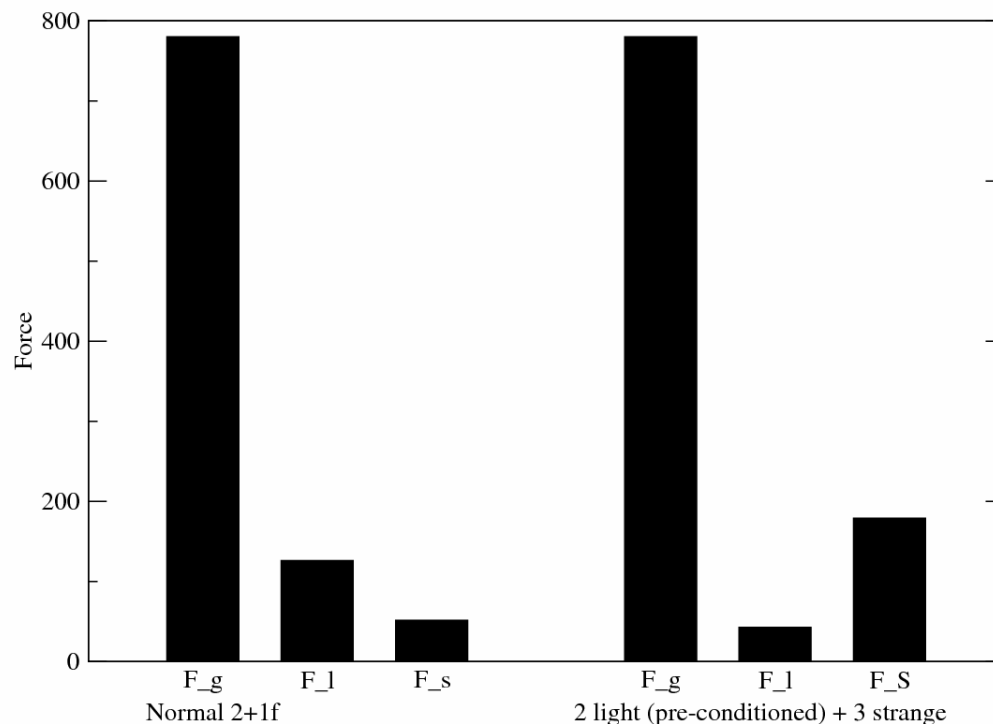
$$\text{Det} (M^\dagger(m_1)M(m_1)) = \text{Det} (M^\dagger(m_{\text{pre}})M(m_{\text{pre}})) \text{Det} \left( \frac{M^\dagger(m_1)M(m_1)}{M^\dagger(m_{\text{pre}})M(m_{\text{pre}})} \right)$$

$m_{\text{pre}} > m_l$ : UV modes are relatively insensitive to quark mass, so dividing with  $M^\dagger(m_{\text{pre}})M(m_{\text{pre}})$  effectively removes UV modes from **Term 2**, leaving only IR modes. **Term 1** easier to invert.

$$\ln Z = \int [\mathcal{D}\phi_i^\dagger][\mathcal{D}\phi_i] \exp \left( \phi_1^\dagger \frac{1}{M^\dagger(m_{\text{pre}})M(m_{\text{pre}})} \phi_1 + \phi_2^\dagger \frac{M^\dagger(m_{\text{pre}})M(m_{\text{pre}})}{M^\dagger(m_1)M(m_1)} \phi_2 \right)$$

Calculate force from  $\Phi_1$  with time step  $\delta t_1$ ,  $\Phi_2$  with time step  $\delta t_2$  so that  $F_1 \delta t_1 \sim F_2 \delta t_2$

# Hasenbusch mass preconditioning



- Hasenbusch preconditioning effectively removes most of the force contribution from the light quark part of the action
- Need extra (easier) inversions of the strange quark part.

# Integrators

Hamiltonian:  $\mathbf{H} = \sum_{\mathbf{i}} \frac{\mathbf{p}_{\mathbf{i}}^2}{2} + \mathbf{S}_{\mathbf{g}}(\mathbf{U}) + \mathbf{S}_{\mathbf{F}}(\mathbf{U}) = \mathbf{T}(\mathbf{p}) + \mathbf{V}(\mathbf{U})$

Define:  $\hat{\mathbf{Q}}(\mathbf{t}) = \exp\left(\mathbf{t}\mathbf{T}'(\mathbf{p})\frac{\partial}{\partial\mathbf{U}}\right); \quad \hat{\mathbf{P}}(\mathbf{t}) = \exp\left(-\mathbf{t}\mathbf{V}'(\mathbf{U})\frac{\partial}{\partial\mathbf{p}}\right)$

$$\hat{\mathbf{Q}}(\Delta\mathbf{t})\mathbf{U}(\mathbf{t}) = \mathbf{U}(\mathbf{t} + \Delta\mathbf{t}); \quad \hat{\mathbf{P}}(\Delta\mathbf{t})\mathbf{p}(\mathbf{t}) = \mathbf{p}(\mathbf{t} + \Delta\mathbf{t})$$

Arbitrary symplectic integrators can be made from combinations of  $\hat{\mathbf{Q}}, \hat{\mathbf{P}}$

Leapfrog (PQP) integrator:

$$\hat{\mathbf{G}}_{\mathbf{LF}}(\mathbf{t}) = \left(\hat{\mathbf{P}}(\delta\mathbf{t}/2)\hat{\mathbf{Q}}(\delta\mathbf{t})\hat{\mathbf{P}}(\delta\mathbf{t}/2)\right)^{\mathbf{t}/\delta\mathbf{t}}$$

# Omelyan Integrator

Omelyan Integrator (**Omelyan, de Forcrand, Takaishi**):

$$\hat{\mathbf{G}}_{\text{Omelyan}}(\mathbf{t}) = \left( \hat{\mathbf{Q}}(\lambda\delta\mathbf{t})\hat{\mathbf{P}}(\delta\mathbf{t}/2)\hat{\mathbf{Q}}((1-2\lambda)\delta\mathbf{t})\hat{\mathbf{P}}(\delta\mathbf{t}/2)\hat{\mathbf{Q}}(\lambda\delta\mathbf{t}) \right)^{\mathbf{t}/\delta\mathbf{t}}$$

$\lambda$  is a tunable parameter. Empirically, for DWF  $\lambda \approx \mathbf{0.22}$

Like leapfrog, Omelyan is also second-order integrator, i.e. corrections of  $\mathbf{O}(\delta\mathbf{t}^2)$ , but tuned to give small corrections.

$\delta H$  for Omelyan integrator about order of magnitude smaller than leapfrog at fixed  $\delta t$ , but extra force calculation.

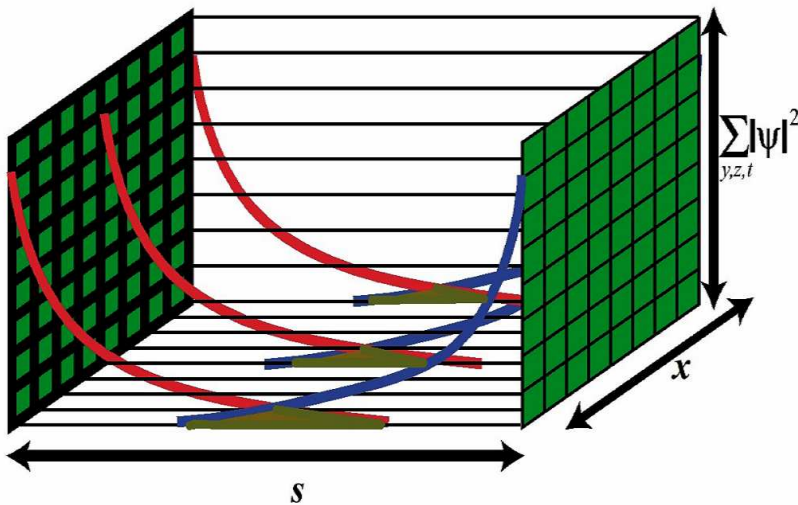
Net effect is approximately 50% gain in CPU cost.

Same formalism can be used for higher order integrators:  $\mathbf{O}(\delta\mathbf{t}^n)$



# Domain Wall Fermions

- Domain Wall Fermions – more expensive than Wilson, staggered, but preserve exact chiral symmetry as  $L_s \rightarrow \infty$
- Four-dimensional chiral states bound to domain walls, mixing of chiral states suppressed by 5-D extent
- At strong coupling, residual chiral symmetry breaking is dominated by localized “lattice dislocations” – not well suppressed by increasing  $L_s$ .



Lattice dislocations correspond to near-zero modes of 4-D Wilson operator,  $D_{\text{Wilson}}(M_5)$

# Gap DWF

- Gap DWF (**Vranas**) – Explicitly Suppress these localized modes by adding extra term to Boltzmann weight:

$$\text{Det}(\mathbf{M}_{\text{DWF}})\exp(-\mathbf{S}_g) \rightarrow \text{Det}(\mathbf{D}_{\text{Wilson}}^2(\mathbf{M}_5)) \text{Det}(\mathbf{M}_{\text{DWF}})\exp(-\mathbf{S}_g)$$

- States with small  $\lambda$  (which spoil chiral symmetry) are given an additional weight of  $\lambda^2$  from added term  $\mathbf{D}_{\text{Wilson}}(\mathbf{M}_5)$
- Introduction of 4-D Wilson Dirac Operator does not affect physics – heavy quark mass only renormalizes bare parameters.
- Unfortunately, these same states drive topology change.
- Results in an infinite energy barrier for low-lying eigenstates to make zero-crossings that change topology.
- Need method that controls residual chiral symmetry breaking, but does not completely eliminate topological tunneling.

# Twisted Gap?

Augment Boltzmann weight using twisted-mass Wilson fermions:

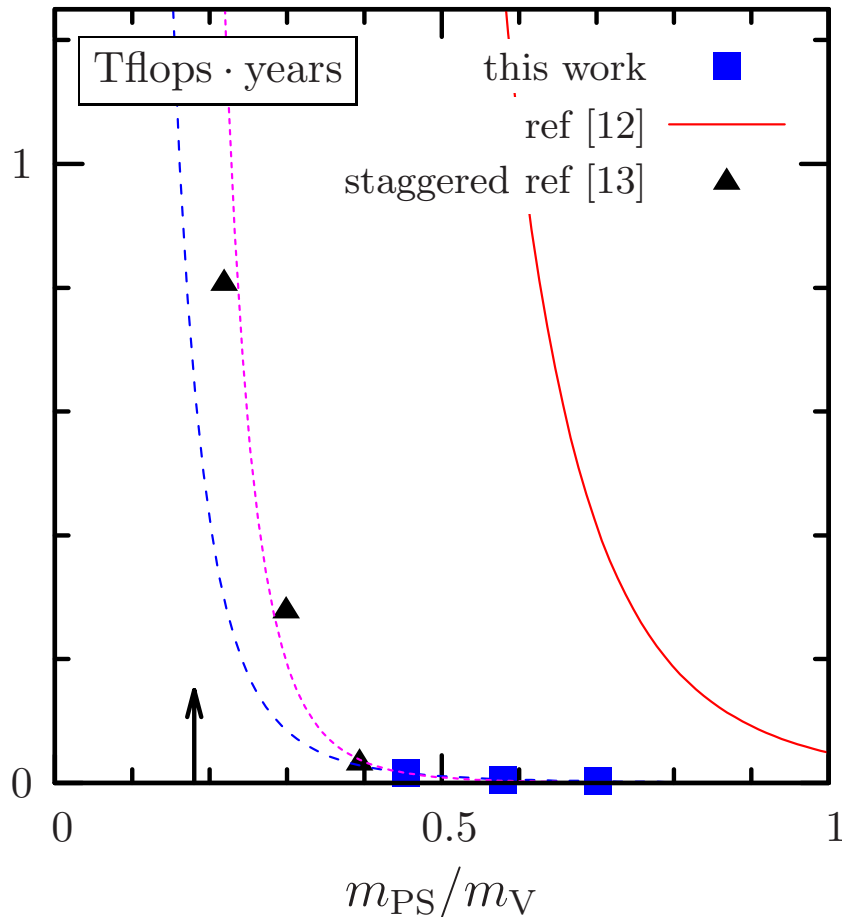
$$\text{Det}(\mathbf{M}_{\text{DWF}})\exp(-\mathbf{S}_{\text{g}}) \rightarrow \text{Det}\left(\frac{\mathbf{D}_{\text{W}}^2(\mathbf{M}_5) + \epsilon^2}{\mathbf{D}_{\text{W}}^2(\mathbf{M}_5) + \delta^2}\right) \text{Det}(\mathbf{M}_{\text{DWF}})\exp(-\mathbf{S}_{\text{g}})$$

- Choose  $\epsilon$  small (but non-zero) so that small eigenvalue modes are suppressed but not completely eliminated  $\rightarrow$  residual chiral symmetry breaking is under control, but topology changes is not eliminated.
- Choose  $\delta > \epsilon$  so that high-momentum modes are not affected.
- Currently under investigation by RBC
- May be useful for many flavor simulations where added fermions push the bare parameters to stronger coupling.

# Scaling with $N_f$

- Lattice calculations with many flavors more difficult than normal QCD calculations.
- More flavors  $\rightarrow$  larger fermion forces that require the use of smaller step sizes with HMC:  $\delta t \sim N_f^{-3/2}$
- To see “walking” behavior in many flavor QCD  $\rightarrow$  two dynamically generated scales. Need a very large lattice box to correctly show behavior at both scales without significant distortion
- Recent proposal by LSD Collaboration:
  - $\sim 2$  TFlops for exploratory studies at  $N_f = 4, 6$
  - Estimate of  $\sim 10$  TFlops for careful study of finite volume effects, simulations with more flavors...

# The Berlin Wall (revisited)



Plot from **Jansen, et. al.** with improved Wilson fermions.

Recent work by RBC suggests  
(**Christ** Lattice 2007):

$$\text{Cost} \sim L^4 \frac{\langle \bar{q}q \rangle}{m_1}$$

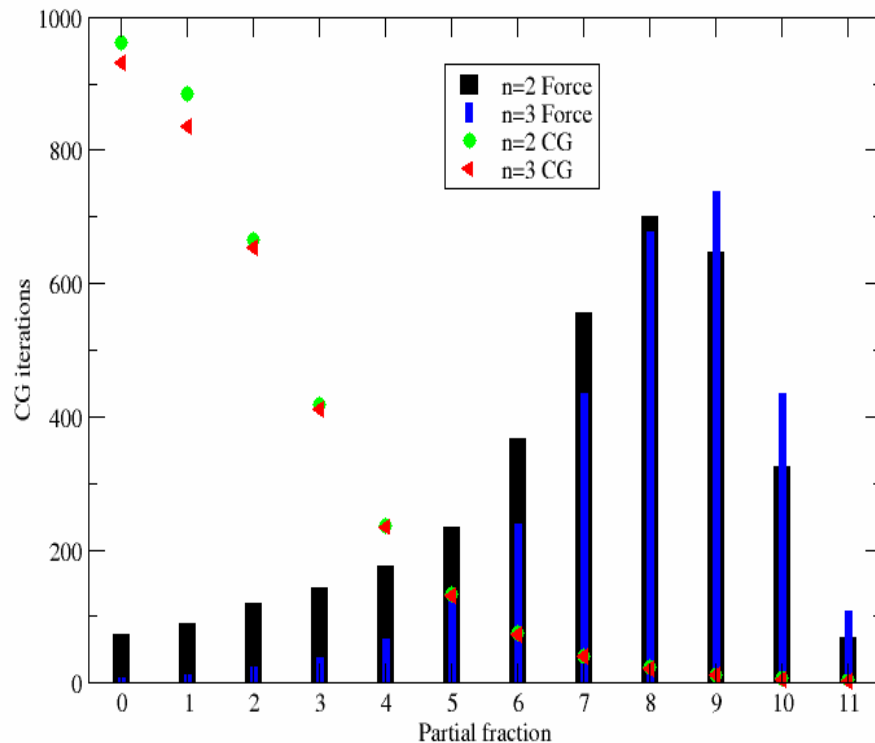
Calculations with realistic parameters feasible now with already-existing computational resources.

# Conclusions

- Pessimistic estimates from 2001 did not account for algorithmic improvements.
- Severe scaling with quark masses is largely ameliorated with new techniques.
- Separation of scales: IR modes are computationally most costly, but were actually not the limiting factor for HMC.
- Many new techniques (mass preconditioning, domain decomposition, deflation) effectively separate IR and UV modes, allowing more “intelligent” HMC.
- Other methods (integrators, Gap DWF) also help.
- Arsenal of algorithms → on the cusp of realistic lattice calculations now, rather than 5-10 years from now,

# BACKUP

# Even Further...



Partial Fraction Expansion:

$$\mathbf{R}(\mathbf{x}) = \sum_{i=1} \mathbf{m} \frac{\alpha_{\mathbf{k}}}{\mathbf{x} + \beta_{\mathbf{k}}}$$

Terms with lightest poles (smallest  $\beta_{\mathbf{k}}$ ) are hardest to invert, convert relatively little to force.

Solve CG for lightest poles with less precision  $\rightarrow$  small error in force for great CPU savings.