

from the previous lecture ...

### The Klein–Gordon equation

In non-relativistic quantum mechanics

$$\vec{P} \rightarrow -i\hbar\vec{\nabla} \quad , \quad E \rightarrow i\hbar\frac{\partial}{\partial t} \quad \text{quantum operators}$$

The Hamiltonian for an energy conserving system is

$$H = \frac{\vec{P}^2}{2m} + V(\vec{q}) = E$$

leads to the Schrödinger equation by substitution

$$\left( -\frac{\hbar^2}{2m}\vec{\nabla}^2 + V(\vec{q}) \right) \Psi(\vec{q}, t) = i\hbar\frac{\partial}{\partial t}\Psi(\vec{q}, t)$$

In special relativity the four vector  $P^\mu$  is given by

$$P^\mu = \left( \frac{E}{c}, \vec{P} \right)$$

we have  $\partial^\mu = (\frac{1}{c} \frac{\partial}{\partial t}, \vec{\nabla})$  ;  $\partial_\mu = (\frac{1}{c} \frac{\partial}{\partial t}, -\vec{\nabla})$

In special relativity  $E = \frac{mc^2}{\sqrt{1-\frac{v^2}{c^2}}}$  ,  $\vec{P} = \frac{m\vec{v}}{\sqrt{1-\frac{v^2}{c^2}}}$

$$\Rightarrow P_\mu P^\mu = \frac{E^2}{c^2} - \vec{P}^2 = m^2 c^2.$$

Set  $P^\mu \rightarrow i\hbar\partial^\mu$  and obtain the wave equation

$$-\hbar^2 \partial_\mu \partial^\mu \phi = m^2 c^2 \phi$$

$$\text{or } \left( \partial^2 + \frac{m^2 c^2}{\hbar^2} \right) \phi(\vec{X}, t) = 0 \quad \leftarrow \quad \text{the Klein-Gordon equation}$$

Its interpretation as a single particle is problematic. The equation describes a scalar field but not in a single state but a multi-state, *i.e.* a quantised field.

To find solutions of the Klein–Gordon equation we put it in a box and impose that the wave–function vanishes on the boundaries. We then take the volume to infinity. We assume that the particle is free *i.e.*  $V(\vec{X}) = 0$ . The solution in the box is a plane wave solution of the form

$$\phi(\vec{x}, t) \sim e^{ik_\mu x^\mu}$$

$$\begin{aligned}x^\mu &= (t, \vec{x}) \\k^\mu &= (w, \vec{k}) \quad , \quad k_\mu = (w, -\vec{k}) \quad \leftarrow \text{constant} \\ \phi(\vec{x}, t) &\sim e^{i(wt - \vec{k} \cdot \vec{x})}\end{aligned}$$

We want the solution to describe a free particle of mass  $m$ . We substitute this solution into the Klein–Gordon equation

$$\Rightarrow k_\mu k^\mu = w^2 - |\vec{k}|^2 = m^2$$

The particle is confined in a box  $\xRightarrow{\text{assume}} \phi(x, y, z, t) = T(t)X(x)Y(y)Z(z)$

substituting in the KG equation  $\rightarrow -\frac{\ddot{T}}{T} + \frac{X''}{X} + \frac{Y''}{Y} + \frac{Z''}{Z} = m^2 \rightarrow \text{const}$

all constants  $\rightarrow w^2 = k_x^2 + k_y^2 + k_z^2$

To satisfy the boundary conditions we impose:

$$k_x = \frac{n_1\pi}{L}, \quad k_y = \frac{n_2\pi}{L}, \quad k_z = \frac{n_3\pi}{L} \quad \text{where}$$

$n_1, n_2, n_3$  are integers

and obtain  $w^2 = m^2 + \frac{\pi^2}{L^2}(n_1^2 + n_2^2 + n_3^2) \leftarrow$  dispersion relations

The solutions of the KG equations are momentum eigenstates

we have:  $\phi = Ae^{-ik \cdot x} = Ae^{-ik_\mu x^\mu} \Rightarrow \partial^\mu \phi = \frac{\partial \phi}{\partial x_\mu} = -ik^\mu Ae^{-ik \cdot x} = -ik^\mu \phi$

$$\partial^2 \phi = -k_\mu k^\mu \phi = -k^2 \phi$$

$$\Rightarrow \left( \partial^2 + \frac{m^2 c^2}{\hbar^2} \right) \phi = 0 \Rightarrow k^2 = \frac{m^2 c^2}{\hbar^2} = \frac{1}{\lambda^2}$$

$\lambda = \frac{\hbar}{mc}$  has dimension of length  $\rightarrow$  Compton wave length of particle of mass  $m$

we have:  $P^\mu \phi = i\hbar \partial^\mu \phi = \hbar k^\mu \phi$

$\phi$  describes a momentum eigenstate with eigenvalue  $\hbar k^\mu$

The condition  $k^2 = \frac{m^2 c^2}{\hbar^2}$  is the same as  $P^2 = m^2 c^2 \rightarrow$  mass shell condition

we have: 
$$P^2 = \frac{E^2}{c^2} - \vec{p}^2 = m^2 c^2 \Rightarrow \frac{E}{c} = \pm \sqrt{m^2 c^2 + \vec{p}^2}$$

$\Rightarrow$  some solutions of the KGE correspond to negative energy states

$\rightarrow$  interpretation as a single particle state is problematic. Such interpretation is in conflict with the probability interpretation of the wave function.

Quantum mechanics  $\rightarrow$  non-relativistically  $|\psi(x)|^2 = \rho(x)$ .

$\rho(x) \rightarrow$  probability density  $\rightarrow \int_{-\infty}^{\infty} |\psi(x)|^2 dx = 1$

The probability density in quantum mechanics obeys a continuity equation.

$$\frac{\partial \rho}{\partial t} + \vec{\nabla} \cdot \vec{J} = 0$$

where 
$$\vec{J} = -\frac{i\hbar}{2m}(\psi^* \vec{\nabla} \psi - \psi \vec{\nabla} \psi^*)$$

Provided that the potential  $V(\vec{r})$  is real,  $\rho(x)$  is conserved.

We want to construct similar quantities for the KG equation. Furthermore, we want the continuity equation to be covariant *i.e.* to hold in all inertial frames,

$$\rightarrow \quad \partial_\mu j^\mu = 0 \quad \leftarrow \text{covariant}$$

find a 4-vector  $j^\mu = (j^0, \vec{j})$  which obeys  $\partial_\mu j^\mu = 0$ .

Start with the KGE

$$1. \quad \phi^* \cdot / (\partial^2 + m^2) \phi = 0$$

$$2. \quad \phi \cdot / (\partial^2 + m^2) \phi^* = 0$$

$$1 - 2 \quad \rightarrow \quad \phi^* \partial^2 \phi - \phi \partial^2 \phi^* = 0$$

$$\begin{aligned} \eta_{\alpha\beta} (\phi^* \partial^\alpha \partial^\beta \phi - \phi \partial^\alpha \partial^\beta \phi^*) &= \eta_{\alpha\beta} \partial^\alpha (\phi^* \partial^\beta \phi - \phi \partial^\beta \phi^*) \\ &= \partial_\beta (\phi^* \partial^\beta \phi - \phi \partial^\beta \phi^*) = 0 \end{aligned}$$

$$\Rightarrow j^\mu \sim (\phi^* \partial^\mu \phi - \phi \partial^\mu \phi^*) \Rightarrow \partial_\mu j^\mu = 0$$

In Schrödinger case  $\rho = \psi^* \psi$ .

Here, 
$$\rho = \frac{i\hbar}{2mc^2} \left( \phi^* \frac{\partial}{\partial t} \phi - \phi \frac{\partial}{\partial t} \phi^* \right)$$

$$\phi \sim e^{\pm i(Et - \vec{p} \cdot \vec{x})} = e^{\pm iEt} e^{\mp i\vec{p} \cdot \vec{x}}$$

The case with  $+E$  in the exponent corresponds to the antiparticle case. For  $\phi \sim e^{+iEt}$  ( ), with  $E \approx mc^2$  we have

$$\rho = \frac{i\hbar}{2mc^2} \left( \frac{imc^2}{\hbar} \phi^* \phi + \frac{imc^2}{\hbar} \phi^* \phi \right) = -\phi^* \phi < 0$$

We get negative probability associated with the antiparticle and positive probability associated with the particle. This does not make sense as probability density  $\rightarrow$  multiply by charge  $e$ .



$$\Rightarrow \text{Charge density } \rho = \frac{i\hbar e}{2mc^2} \left( \frac{imc^2}{\hbar} \phi^* \phi + \frac{imc^2}{\hbar} \phi^* \phi \right)$$

$$\text{Charge current density } \rho = \frac{e\hbar}{imc} \left( \phi^* \vec{\nabla} \phi + \phi \vec{\nabla} \phi^* \right)$$

The interpretation of the solution of the KGE makes sense as charge density, not as probability density.

→ it makes sense as a quantum field → creating–annihilating particles