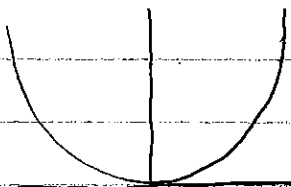


10/2/06, 13 / In the case of the KG, E.

|MPS, 61|

○
$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi)^2 - \frac{1}{2} m^2 \phi^2$$

$V(\phi) = \frac{1}{2} m^2 \phi^2$



← This looks like $V(\phi) \sim \frac{1}{2} k \phi^2$
← the potential is a function of ϕ

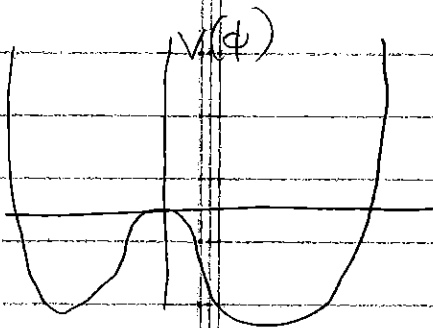
classically the particle is in a potential well, if it has initial energy E it will oscillate about the vacuum $V(\phi) = 0$

The lowest point that the field can be in is the vacuum.

So far we are describing a free field.

○ suppose that we want to describe a field which is not free in a potential.

$$V(\phi) = A\phi^4 + B\phi^2 \quad A > 0 \\ B < 0$$



In Newtonian mechanics this would correspond to a double well.

Here the point $V(\phi) = 0$ is no longer the vacuum.

○
$$\frac{\partial V(\phi)}{\partial \phi} = 4A\phi^3 + 2B\phi = 0$$
$$(2A\phi^2 + B)\phi = 0$$
$$\phi = 0, \quad \phi = \pm \sqrt{\frac{-B}{2A}}$$

17/2/66. 1 / MPS, 62 / Friday / Abington

○ The connection between the quantized field and its particle interpretation is seen by looking at the Fourier transformed field.

$$\phi(x) = \frac{1}{(2\pi)^4} \int d^4p \tilde{\phi}(p) e^{-ip \cdot x}.$$

$$\tilde{\phi}(p) = \int d^4x e^{ip \cdot x} \phi(x).$$

For $\phi(x)$ to satisfy the K.G. equation we must have,

$$(\partial^2 + m^2)\phi = \frac{1}{(2\pi)^4} \int (m^2 - p^2) \tilde{\phi}(p) e^{-ip \cdot x} d^4p =$$

i.e. $(p^2 - m^2) \tilde{\phi}(p) = 0$

i.e. $\tilde{\phi}(p) \neq 0$ only when $p^2 = m^2$

$$\tilde{\phi}(p) = (2\pi) \delta(p^2 - m^2) f(p) =$$

$$p^0 = \pm \sqrt{\vec{p}^2 + m^2}$$

we may set: $f(\vec{p}) = \Theta(p^0) f_+(\vec{p}) + \Theta(-p^0) f_-(\vec{p})$

○ $\Theta(x) = \begin{cases} 1 & x > 0 \\ 0 & x < 0. \end{cases}$

From: the properties of the Delta function we have,

17/2/2021 (MPS, 63 / Friday / Abingdon)

$$\int f(x) \delta(x-a) dx = f(a)$$

$$\int f(x) \delta(\lambda x - \lambda a) dx = \int f\left(\frac{y}{\lambda}\right) \delta(y - \lambda a) \frac{dy}{\lambda} = \frac{f(a)}{\lambda}$$

$y = \lambda x$
 $\frac{1}{\lambda} dy = dx$

$$\int_{F(a)}^{F(b)} f(x) \delta(F(x)) dx = \int_{F(a)}^{F(b)} f(F^{-1}(y)) \delta(y) \frac{1}{|F'(F^{-1}(y))|} dy = \frac{f(a)}{|F'(a)|} \text{ where } y = F(x) = c$$

$y = F(x) \quad x = F^{-1}(y)$
 $dy = F'(x) dx \quad dx = \frac{1}{F'(F^{-1}(y))} dy$

$y = F(a) = 0$

hence

$$\int (P^2 - m^2) = \int (P_0^2 - (\vec{P}^2 + m^2))^{\frac{1}{2}} =$$

$$= \frac{1}{2P_0} \int (P_0 - (\vec{P}^2 + m^2)^{\frac{1}{2}}) + \frac{1}{2P_0} \int (P_0 + (\vec{P}^2 + m^2)^{\frac{1}{2}})$$

$$\phi(x,t) = \frac{1}{(2\pi)^4} \int dt \int \frac{d^3\vec{p}}{2P_0} (e^{-ip \cdot x} f_+(\vec{p}) + e^{ip \cdot x} f_-(\vec{p}))$$

Here $P_0 = \sqrt{\vec{P}^2 + m^2}$

$$f_+(\vec{p}) = f(+\sqrt{\vec{p}^2 + m^2}, +\vec{p})$$

$$f_-(\vec{p}) = f(-\sqrt{\vec{p}^2 + m^2}, -\vec{p})$$

The f_+ term correspond to positive energy states

The f_- term correspond to negative energy states.

11/2/06, 3 / MPS, 64 /

○ so far the Fourier decomposition that we discussed is classical, i.e. we didn't yet impose commutation relations.

if we impose the commutation relations $[\pi, \phi] = \delta(x-x')$
 $[\phi, \phi] = 0 = [\pi, \pi]$.

Then the amplitudes of the Fourier modes become annihilation and creation operators.

$$f_-(\vec{p}) = (f_+(\vec{p}))^\dagger = a^\dagger(\vec{p})$$
$$f_+(\vec{p}) = a(\vec{p})$$

○ it can then be shown that $a(\vec{p})$ and $a^\dagger(\vec{p})$ obey the commutation relation.

$$[a(\vec{p}), a^\dagger(\vec{p}')] = 2p^0 \delta(\vec{p} - \vec{p}') / (2\pi)^3$$
$$[a(\vec{p}), a(\vec{p}')] = 0 = [a^\dagger(\vec{p}), a^\dagger(\vec{p}')]$$

This are similar to the commutation relations of harmonic oscillators:

$$[a, a^\dagger] = 1 \quad [a, a] = 0 \quad [a^\dagger, a^\dagger] = 0$$

i.e. the quantum field $\phi(x)$ creates and annihilates particle states with momentum \vec{p} ,

The vacuum is defined by

$$○ \quad a(\vec{p}) |0\rangle = 0 \quad \forall \vec{p} \quad \langle 0|0\rangle = 1$$

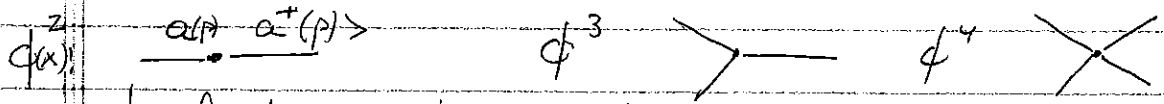
$$1\text{-particle state} = |\vec{p}\rangle = a^\dagger(\vec{p}) |0\rangle$$

$$2\text{-particle states} = |\vec{p}_1, \vec{p}_2\rangle = a^\dagger(\vec{p}_1) a^\dagger(\vec{p}_2) |0\rangle$$

17/2/06, 4 / and so forth.

IMDS 65

we can now see how we can use this formalism to particles and their interactions.



we developed a diagrammatic representation of interactions.

→ Feynman diagrams.

The interactions that we described so far are by using a single scalar field.

In nature we are familiar so far with

○ Gravity, E/M, weak, strong

$S=2$	$+1$	$+1$	$+1$
Graviton	Photon	W^{\pm}, Z	gluons
$m=0$	0	80 GeV	0
	$U(1)$	$\times SU(2)$	$\times SU(3)$

how can we describe these interactions?

S.M. → $SU(3) \times SU(2) \times U(1)_y$ → local gauge interaction

○ In the modern language of elementary particles interactions correspond to invariances of the Lagrangian under some symmetry.

Interaction \leftrightarrow invariance under a local gauge symmetry

17/2/06.5 / MPS. 66 / Friday / Abingdon

symmetries of the Lagrangian correspond to conserved currents.

consider. $L_{KG} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{2} m^2 \phi^2$

it is invariant under $\phi \rightarrow -\phi$, \rightarrow discrete symmetry.

consider 2 scalar fields with mass m ,

(**) $L = \frac{1}{2} [\partial_\mu \phi_1 \partial^\mu \phi_1 + \partial_\mu \phi_2 \partial^\mu \phi_2] - \frac{1}{2} m (\phi_1^2 + \phi_2^2)$

with the transformation: $\begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} \rightarrow \begin{pmatrix} \cos \alpha & \sin \alpha \\ -\sin \alpha & \cos \alpha \end{pmatrix} \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}$, $\alpha \rightarrow$ global constant.

The Lagrangian (**) is invariant under this rotation \rightarrow

$\alpha \rightarrow$ continuous parameter. \rightarrow global continuous symmetry $\alpha \neq \alpha(x)$

consider the complex field $\underline{\Phi} = \frac{1}{\sqrt{2}} (\phi_1 + i\phi_2)$

$$\underline{\Phi}^* = \frac{1}{\sqrt{2}} (\phi_1 - i\phi_2)$$

The Lagrangian in terms of $\underline{\Phi}$. $\underline{\Phi}^+ \underline{\Phi} = \frac{1}{2} (\phi_1^2 + \phi_2^2)$.

(***) $L = (\partial_\mu \underline{\Phi})^\dagger \partial^\mu \underline{\Phi} - \underline{\Phi}^+ \underline{\Phi}$ (ϕ_1, ϕ_2 Real)

in terms of $\underline{\Phi}$ the rotation becomes,

$\underline{\Phi} = \frac{1}{\sqrt{2}} [(\cos \alpha \phi_1 + \sin \alpha \phi_2) + i(-\sin \alpha \phi_1 + \cos \alpha \phi_2)] =$
 $= \frac{1}{\sqrt{2}} [(\cos \alpha - i \sin \alpha) \phi_1 + i(\cos \alpha - i \sin \alpha) \phi_2]$
 $= e^{-i\alpha} \underline{\Phi}$

17/2/06, 6 / M.P.S. 67 / Friday / Abingdon

○ hence $\Phi \rightarrow e^{-i\alpha} \Phi$
 $\Phi^* \rightarrow e^{i\alpha} \Phi^*$

The continuous symmetry in terms of Φ is a
under phase transformation.

The symmetry is a global $U(1)$ symmetry

→ arbitrary choice of the phase. → continuous global symmetry

what happens if $\alpha = \alpha(x)$?

○ $\Phi(x) \rightarrow e^{-i\alpha(x)} \Phi(x)$

$$\partial_\mu \Phi = (-i\partial_\mu \alpha(x) \Phi(x) + \partial_\mu \Phi(x)) e^{-i\alpha(x)}$$

$$\rightarrow \int g^{\mu\nu} [\partial_\mu (e^{-i\alpha(x)} \Phi)] [\partial_\nu (e^{-i\alpha(x)} \Phi)] + m^2 (e^{-i\alpha(x)} \Phi) (e^{i\alpha(x)} \Phi)$$

$$= \int g^{\mu\nu} [\partial_\mu \Phi(x) - i(\partial_\mu \alpha(x)) \Phi(x)] [\partial_\nu \Phi(x) - i(\partial_\nu \alpha(x)) \Phi(x)] + m^2 \Phi^* \Phi$$

if $\alpha \neq \alpha(x)$ the derivative terms $\partial \alpha(x)$ drops

we have to fix the original Lagrangian to get a
Lagrangian which is invariant under local

○ phase transformations $\alpha = \alpha(x)$

we redefine the derivative as $\partial_\mu \rightarrow \partial_\mu + A_\mu(x)$

where $A_\mu(x)$ is a function of x .

17/2/06.7 (MPS.68)

Consider the local phase transformations
 $a_\mu \rightarrow a'_\mu(x) = a_\mu(x) + i \partial_\mu \alpha(x)$ $\alpha(x) \rightarrow$ scalar function of x .

$$\begin{aligned} \text{Then } (\partial_\mu + a_\mu(x)) \underline{\Phi}(x) &\rightarrow (\partial_\mu + a'_\mu(x)) (e^{-i\alpha(x)} \underline{\Phi}) = \\ &= e^{-i\alpha(x)} (\partial_\mu + a_\mu(x) + i\partial_\mu \alpha(x) - i\partial_\mu \alpha(x)) \underline{\Phi}(x) \\ &= e^{-i\alpha(x)} (\partial_\mu + a_\mu(x)) \underline{\Phi}(x) \end{aligned}$$

Hence we now get that the Lagrangian is invariant under the local phase transformations

$$\underline{\Phi}(x) \rightarrow \underline{\Phi}'(x) = e^{-i\alpha(x)} \underline{\Phi}(x)$$

Requiring local phase invariance \rightarrow introduce $a_\mu(x)$
 \rightarrow local gauge field.

\rightarrow The electromagnetic field.

All interactions in the S.M. are gauge interactions.

\rightarrow invariance under local phase transformations + internal symmetries.

electromagnetic interaction \leftrightarrow continuous local symmetry.

Recall:

17/2/06.8 / MPS. 69 / Friday / Abingdon

The Electromagnetic field.

Maxwell's equations ($\epsilon_0 = \mu_0 = c = 1$).

$$\vec{\nabla} \cdot \vec{E} = \rho_{em}$$

$$\vec{\nabla} \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$$

$$\vec{\nabla} \cdot \vec{B} = 0$$

$$\vec{\nabla} \times \vec{B} = \vec{J}_{em} + \frac{\partial \vec{E}}{\partial t}$$

define $\vec{J}_{em} = (\rho_{em}, \vec{J}_{em})$.

In terms of scalar and vector potential V, \vec{A} ,

$$\vec{E} = -\vec{\nabla} V - \frac{\partial \vec{A}}{\partial t} \quad \vec{B} = \vec{\nabla} \times \vec{A}$$

$$\text{So } (\vec{\nabla} \times \vec{\nabla} \times \vec{A})_i = \epsilon_{ijk} \partial_j \epsilon_{klm} \partial_l A_m =$$

$$= \epsilon_{kij} \epsilon_{klm} \partial_j \partial_l A_m = (\delta_{il} \delta_{jm} - \delta_{im} \delta_{jl}) \partial_j \partial_l A_m =$$

$$= \partial_l \partial_j A_j - \partial_j \partial_j A_i = (\vec{\nabla}(\vec{\nabla} \cdot \vec{A}))_i - \nabla^2 A_i =$$

$$\Rightarrow \vec{\nabla}(\vec{\nabla} \cdot \vec{A}) - \nabla^2 \vec{A} = \vec{J}_{em} - \frac{\partial^2 \vec{A}}{\partial t^2} - \frac{\partial}{\partial t} \vec{\nabla} V$$

$$\vec{\nabla} \cdot \vec{E} = -\nabla^2 V - \frac{\partial}{\partial t} \vec{\nabla} \cdot \vec{A} = \rho_{em}$$

$$\text{OR } \left(\frac{\partial^2 \vec{A}}{\partial t^2} - \nabla^2 \vec{A} \right) + \vec{\nabla} \frac{\partial V}{\partial t} + \vec{\nabla}(\vec{\nabla} \cdot \vec{A}) = \vec{J}_{em}$$

$$\left(\frac{\partial^2 V}{\partial t^2} - \nabla^2 V \right) - \frac{\partial}{\partial t} \frac{\partial V}{\partial t} - \frac{\partial}{\partial t} (\vec{\nabla} \cdot \vec{A}) = \rho_{em}$$

17/2/06.9 / MPS.70 / Friday / Abingdon

○ we can write this in four vector notation.

defining 4-vector potential $A^\mu = (V, \vec{A})$; $A_\mu = (V, -\vec{A})$

$$\begin{aligned} \partial_\nu \partial^\nu A^\mu - \partial^\mu (\partial_\nu A^\nu) &= \partial_\nu (\partial^\nu A^\mu - \partial^\mu A^\nu) \\ &= \partial_\nu F^{\nu\mu} = \underline{J}^\mu_{em}. \end{aligned}$$

where we defined the electromagnetic field strength tensor

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu = -F_{\nu\mu}$$

○ $F_{0i} = \partial_0 A_i - \partial_i A_0 = (-\vec{\nabla}V - \partial_t \vec{A})_i = \underline{E}_i$

$$F_{ij} = \partial_i A_j - \partial_j A_i = -(\vec{\nabla} \times \vec{A})_k = -\epsilon_{kij} \partial_i A_j = -B_k$$

hence $F_{\mu\nu} = \begin{pmatrix} 0 & E_1 & E_2 & E_3 \\ -E_1 & 0 & -B_3 & B_2 \\ -E_2 & B_3 & 0 & -B_1 \\ -E_3 & -B_2 & B_1 & 0 \end{pmatrix}$

The electromagnetic field strength tensor and hence Maxwell's equations are invariant under the gauge transformations

$$A^\mu \rightarrow A'^\mu = A^\mu + \partial^\mu \chi \quad \chi \rightarrow \text{scalar function}$$

○ $F^{\mu\nu} \rightarrow F'^{\mu\nu} = \partial^\mu (A^\nu + \partial^\nu \chi) - \partial^\nu (A^\mu + \partial^\mu \chi)$
$$= \partial^\mu A^\nu - \partial^\nu A^\mu + \partial^\mu \partial^\nu \chi - \partial^\nu \partial^\mu \chi$$
$$= \partial^\mu A^\nu - \partial^\nu A^\mu = F^{\mu\nu}$$

17/12/06, 10 / MPS, 71 / Friday / Abingdon /

$$\square = F^{\mu\nu}$$

\Rightarrow we can always choose $\partial_\mu A^\mu = 0$ (Lorentz gauge),

$$\text{if } \partial_\mu A^\mu = f \neq 0 \rightarrow \partial_\mu (A^\mu + \partial^\mu \chi) = 0 \Rightarrow \partial_\mu \partial^\mu \chi = -f$$

\Rightarrow in free space ($J^\mu = 0$) we have $\partial_\nu \partial^\nu A^\mu = 0$.

\rightarrow Massless K.G.E for each component of A^μ .

$\rightarrow A^\mu$ is a 'wave function' of Photon.

$\rightarrow A^\mu$ is a four vector \rightarrow photon has spin 1.

Plane-wave solutions:

$$A^\mu = \epsilon^\mu e^{-i k \cdot x} = \epsilon^\mu e^{-i(\omega t - \vec{k} \cdot \vec{r})}$$

ϵ^μ = Polarization four vector.

$k^\mu = (\omega, \vec{k}) \rightarrow$ wave-4 vector.

From the wave equation $k \cdot k = 0 \Rightarrow \omega^2 = k^2 \Leftrightarrow E^2 = p^2 c^2$ ($m=0$)

From the Lorentz gauge condition.

$$\partial_\mu A^\mu = 0 \Rightarrow \epsilon \cdot k = 0 \Rightarrow \epsilon^0 = \frac{\vec{\epsilon} \cdot \vec{k}}{\omega}$$

$\Rightarrow \epsilon^\mu = \epsilon^\mu + a k^\mu$ is equivalent to ϵ^μ for any $a = \text{const}$

\Rightarrow choose $\epsilon_0 = 0 \Rightarrow \epsilon_\mu k^\mu = \vec{\epsilon} \cdot \vec{k} = 0$

$$\Rightarrow \text{for } \vec{k} = (0, 0, k_z) \Rightarrow \epsilon_x^\mu = (0, 1, 0, 0) \quad \epsilon_y^\mu = (0, 0, 1, 0)$$

2-polarization states. $\epsilon_{R,L}^\mu = (0, 1, \pm i, 0) / \sqrt{2}$ \leftarrow circular Polarization.

14/2/06. 11 / MPS. F2 / Friday / Abingdon

Electromagnetic interactions.

We introduce e.m. interactions via
The minimal substitution in the eq. of motion.

$$E \rightarrow E - eV \quad \vec{p} \rightarrow \vec{p} - e\vec{A}$$

Relativistically $p^\mu \rightarrow p^\mu - eA^\mu \quad \partial^\mu \rightarrow \partial^\mu + ieA^\mu$

The K.G. equation becomes.

$$(\partial_\mu + ieA_\mu)(\partial^\mu + ieA^\mu)\phi + m^2\phi = 0.$$

$$(\partial_\mu \partial^\mu + m^2)\phi = -ie[\vec{A}_\mu \partial^\mu \phi + \partial_\mu (A^\mu \phi)] + e^2 A_\mu A^\mu \phi$$

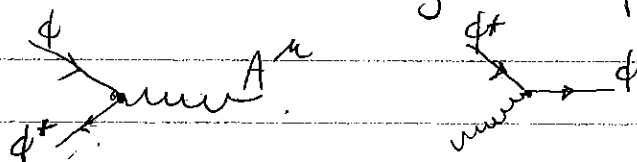
We saw that the minimal coupling prescription and the
gauge condition $A^\mu \rightarrow A^\mu + \partial^\mu \chi$ are
the same as the local phase invariance

$$\phi(x) \rightarrow e^{-i\chi(x)} \phi(x) \rightarrow \text{local U(1) symmetry}$$

The conserved current is now.

$$J^\mu = i(\phi^* \partial^\mu \phi - \phi \partial^\mu \phi^*) - 2e A^\mu \phi^* \phi$$

The second term provides the coupling of the scalar
field to the electromagnetic field.



24/2/06.1 | MPS.73 | Friday | Abingdon

○ The Dirac eq.
The Schrödinger eq. $i\hbar \frac{\partial}{\partial t} \psi = H \psi$

is linear in $i\hbar \frac{\partial}{\partial E}$.

since $P_\mu = i\hbar \partial_\mu \quad \wedge \quad P^2 = E^2 - \vec{p}^2 = m^2$

The R.G.E is quadratic in $\frac{\partial}{\partial E}$.

Dirac wanted to find a relativistically covariant equation which is linear in $i\hbar \frac{\partial}{\partial E}$ i.e. linear in Energy \rightarrow Hamiltonian

○ \rightarrow translation of time.

\rightarrow linear in time + relativistically covariant \Rightarrow linear in $-i\hbar \vec{\nabla}$.

$-i\hbar \vec{\nabla} \rightarrow$ the quantum generator of spatial translations.

Relativistically $E^2 = \vec{p}^2 c^2 + m^2 c^4 \Rightarrow E = \pm \sqrt{\vec{p}^2 c^2 + m^2 c^4}$

$$i\hbar \frac{1}{c} \frac{\partial}{\partial t} \psi(\vec{r}, t) = \pm \sqrt{\vec{p}^2 + m^2 c^2}$$

○ we have to get rid of the $\sqrt{\quad}$

write $\sqrt{p^2 + m^2 c^2} = \alpha_i p_i + \beta m \quad (*)$

24/6/06, 2 / MPS.74 / Friday / Abingdon /

Dirac \rightarrow find α_i, β such that (*) hold?

\Rightarrow covariant eq. linear in $i\hbar \frac{\partial}{\partial t} \leftarrow -i\hbar \vec{\nabla}$?

Take: Square of (*).

$$\begin{aligned} \vec{p}^2 + m^2 c^2 &= \sum p_i p_i + m^2 c^2 = (\alpha_i p_i + \beta m c)^2 = \\ &= (\alpha_i p_i + \beta m c)(\alpha_j p_j + \beta m c) = \\ &= \alpha_i \alpha_j p_i p_j + (\alpha_i \beta + \beta \alpha_i) p_i m c + \beta^2 m^2 c^2 \end{aligned}$$

For the equation to hold we must impose the following requirements

① $\beta^2 = 1$

② $\alpha_i \beta + \beta \alpha_i = 0 \leftarrow$ no linear term in p_i in the square.

These conditions can hold only if α_i, β are matrices.

with $\alpha_i, \beta \rightarrow$ anti-commuting matrices.

③ $\alpha_i \alpha_j + \alpha_j \alpha_i = 0 \quad i \neq j.$

④ $\alpha_i^2 = 1 \quad i = j.$

Can we find α_i, β that satisfy these conditions?

□

1) 2x2 matrices

check Pauli matrices

$\alpha_i = \sigma_i$

24/2/06, 3 / MPS, 75 / Friday / Abingdon

○ $G_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ $G_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ $G_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

$G_i^2 = 1$ ✓

$G_i G_j + G_j G_i = 0$ for $i \neq j$ ✓

But, we lack a 2×2 β matrix that satisfies ① & ②

2) 3×3 matrices

no solution \leftrightarrow solution must be of even order.

Proof: Assume an odd order solution.

Assume A β matrix which is diagonal; as in the 2×2 case we can always diagonalize at least one matrix.

○ $\beta = \begin{pmatrix} \lambda_1 & & \\ & \dots & \\ & & \lambda_n \end{pmatrix}$ $\beta^2 = 1 \Rightarrow \lambda_i = \pm 1$

we don't know how many λ_i are positive or negative?

Prove that $\overline{\text{Tr}} \beta = 0$.

In that case: # of +1 eigenvalues = # of -1 eigenvalues
 $\Rightarrow \beta$ must be even.

$\alpha_i \beta + \beta \alpha_i = 0$ / α_i

○ $\alpha_i \beta \alpha_i + \beta \alpha_i^2 = 0 \Rightarrow \alpha_i \beta \alpha_i + \beta = 0$

$\Rightarrow \overline{\text{Tr}} \beta = -\overline{\text{Tr}} \alpha_i \beta \alpha_i = -\overline{\text{Tr}} \alpha_i \alpha_i \beta = -\overline{\text{Tr}} \beta$

$\Rightarrow \overline{\text{Tr}} \beta = -\overline{\text{Tr}} \beta = 0 \Rightarrow \beta_{n \times n}$ with n -even.

4/2/06.4

MPS, 76 / Friday / Abingdon

At order 4 i.e. 4×4 matrices there is a solution.

$$\alpha_i = \begin{pmatrix} 0 & \sigma_i \\ \sigma_i & 0 \end{pmatrix} \quad \beta = \begin{pmatrix} \mathbb{I}_{2 \times 2} & \\ & -\mathbb{I}_{2 \times 2} \end{pmatrix}$$

where σ_i are 2×2 Pauli matrices

The Dirac equation

$$(**) \quad i\hbar \frac{1}{c} \frac{\partial}{\partial t} \psi(\vec{x}, t) = \left(-i\hbar \vec{\alpha} \cdot \vec{\nabla} + \beta mc \right) \psi(\vec{x}, t)$$

The wave function $\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix}$ is a 4-component vector

2 components are spin \uparrow spin \downarrow particles

2 components are spin \uparrow spin \downarrow antiparticles

consequences (1) Dirac equation predicts the existence of antiparticles
(2) time and space derivatives are linear.

multiply (**) by β . $\beta^2 = 1$

$$i\hbar \beta \frac{1}{c} \frac{\partial}{\partial t} \psi = \left(-i\hbar \beta \vec{\alpha} \cdot \vec{\nabla} + \beta^2 mc \right) \psi$$

$$\text{OR.} \quad i\hbar \left(\beta \frac{1}{c} \frac{\partial}{\partial t} \psi + \beta \vec{\alpha} \cdot \vec{\nabla} \right) \psi = mc \psi$$

0

$$\gamma^0 \quad \downarrow \quad \downarrow \quad \gamma^i + \gamma^i = \beta \alpha^i \quad \gamma^i = +\beta \alpha^i = \alpha^i \beta$$

hence
$$i\hbar \left(\gamma^0 \frac{\partial}{\partial ct} + \gamma^i \partial_i \right) \psi = mc \psi$$

24/2/06.5 / MPS. 77 / Friday / Abingdon

○ OR $i\hbar(\gamma^{\mu\nu} \gamma_{\mu} \partial_{\nu})\psi = i\hbar\gamma^{\nu} \partial_{\nu}\psi = i\hbar\not{\partial}\psi = m c \psi$

$$\gamma^{\mu} p_{\mu} \psi = m c \psi$$

$$\not{\partial}\psi = m c \psi \rightarrow (\not{\partial} - m c)\psi = 0 \leftarrow \text{free Dirac eq.}$$

setting $\hbar = c = 1 \Rightarrow (i\not{\partial} - m)\psi = 0$

Lowest order Dirac γ^{μ} matrices $\rightarrow 4 \times 4 \rightarrow$ massive particles

massless particles \rightarrow no constraint on $\beta \Rightarrow 2 \times 2$ solution \rightarrow Pauli matrices

The Dirac eq. is of the form $i\hbar \frac{\partial}{\partial t} \psi = H \psi$

○ $H = -i\hbar c \vec{\alpha} \cdot \vec{\nabla} + \beta m c^2$

hermiticity $\rightarrow H = H^{\dagger} \Leftrightarrow \alpha_i = \alpha_i^{\dagger} \quad \beta = \beta^{\dagger}$

$$\Rightarrow \gamma_0^{\dagger} = \gamma_0 \quad \alpha^i = \gamma_0 \gamma^i = (\gamma^i \gamma_0)^{\dagger} = \gamma^i \gamma_0^{\dagger} = \gamma^i \gamma_0$$

$$\Rightarrow \gamma_0 \gamma^i \gamma_0 = \gamma^i$$

Summarize: $\gamma_0 \gamma^{\mu} \gamma_0 = \gamma^{\mu \dagger} \quad \mu = 0, 1, 2, 3$

Together with $\{\gamma^{\mu}, \gamma^{\nu}\} = \gamma^{\mu} \gamma^{\nu} + \gamma^{\nu} \gamma^{\mu} = 2\eta^{\mu\nu}$

○ are the two properties that define the Dirac γ -matrices

Representation: $\gamma^0 = \begin{pmatrix} \mathbb{I}_2 & \\ & -\mathbb{I}_2 \end{pmatrix} \quad \gamma^i = \begin{pmatrix} 0 & \sigma_i \\ -\sigma_i & 0 \end{pmatrix} \quad i = 1, 2, 3,$
 $\sigma_i \rightarrow$ Pauli matrices

24/2/06.6 / MPS, 78 / Friday / Abingdon /

○ Solutions of Dirac eq. \rightarrow 4-component objects \rightarrow spinors. $\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix}$
 \rightarrow (not 4-vectors).

Each component obeys the K.G.E. by construction.

$$E^2 \psi = \vec{p}^2 + m^2 \Rightarrow E^2 \mathbb{I} \psi = (\vec{p}^2 + m^2) \mathbb{I} \psi$$

spin of Dirac particles.

How do we prove that the Dirac eq. correspond spin $\frac{1}{2}$?

Show: exist operator \vec{S} such that $\vec{J} = \vec{L} + \vec{S}$
is a constant of the motion.

○ And ($\hbar = 1$) $\vec{S}^2 = S(S+1) = 3/4 \mathbb{I}$ (\hbar^2)

Note: $\vec{L} = \vec{r} \times \vec{p}$ is not a constant of the motion:

$$H = \beta m + \vec{\alpha} \cdot \vec{p} = \beta m + \alpha_i p_i$$

$$\vec{L} = L_x \hat{i} + L_y \hat{j} + L_z \hat{k} \quad \text{e.g. } L_z = (x p_y - y p_x)$$

$$[L_z, H] = [x, H] p_y - [y, H] p_x = i \alpha_x p_y - i \alpha_y p_x = i (\vec{\alpha} \times \vec{p})_z$$

In general $[\vec{L}, H] = i \vec{\alpha} \times \vec{p} \neq 0$.

□ \Rightarrow we need $[\vec{S}, H] = -i \vec{\alpha} \times \vec{p}$

This is true if $\vec{S} = \frac{1}{2} \vec{\Sigma}$ with $\Sigma_j = \begin{pmatrix} \sigma_j & 0 \\ 0 & \sigma_j \end{pmatrix}$

4/2/06.7 | MPS. 79 | Friday | Abingdon

○ we want: $[S_j, \alpha_i p_i + \beta m] = -i(\vec{\alpha} \times \vec{p})_j$
 $= [S_j, \alpha_i] p_i + [S_j, \beta] m$

$$[S_j, \beta] = \begin{pmatrix} A & \\ & A \end{pmatrix} \begin{pmatrix} I & \\ & -I \end{pmatrix} - \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} \begin{pmatrix} -A & \\ & A \end{pmatrix} = 0 \Rightarrow S_j = \begin{pmatrix} A & 0 \\ 0 & A \end{pmatrix}$$

$$[S_j, \alpha_i] \sim \alpha_k \sim \begin{pmatrix} A & 0 \\ 0 & A \end{pmatrix} \begin{pmatrix} 0 & \sigma_i \\ \sigma_i & 0 \end{pmatrix} - \begin{pmatrix} 0 & \sigma_i \\ \sigma_i & 0 \end{pmatrix} \begin{pmatrix} A & \\ & A \end{pmatrix} = \begin{pmatrix} 0 & \sigma_j \sigma_i - \sigma_i \sigma_j \\ \sigma_j \sigma_i - \sigma_i \sigma_j & 0 \end{pmatrix}$$
$$= -i \begin{pmatrix} 0 & 2\sigma_k \\ 2\sigma_k & 0 \end{pmatrix} = -2i \alpha_k$$

Defining: $S_j = \frac{1}{2} \begin{pmatrix} \sigma_j & 0 \\ 0 & \sigma_j \end{pmatrix}$ we get the desired result.

Then $|\vec{S}|^2 = \frac{1}{4} (\sum_x^2 + \sum_y^2 + \sum_z^2) = \frac{3}{4} I \Rightarrow \text{spin} = 1/2$

○ Furthermore, $[L_i, S_j] = 0$

Magnetic Moment of the Dirac eq

In an electromagnetic field we make the usual minimal substitutions

$$H \rightarrow H - eV \quad \vec{p} \rightarrow \vec{p} - e\vec{A} \quad \text{electric charge.}$$

In the Dirac eq. we obtain

$$H - eV = \vec{\alpha} \cdot (\vec{p} - e\vec{A}) + \beta m,$$

○ Note we no longer get the KG. eq. when squaring.

$$(H - eV)^2 = \sum_{j,k} \alpha_j \alpha_k (p_j - eA_j)(p_k - eA_k) + m^2 =$$

24/2/06.8 | MPS.80 | Friday | Abingdon

$$\circ \quad (\vec{p} - e\vec{A})^2 + m^2 - e \sum_{j \neq k} (\alpha_j \alpha_k p_j A_k + \alpha_j \alpha_k A_j p_k)$$

For $j \neq k$ $\alpha_j \alpha_k = \begin{pmatrix} 0 & \sigma_j \\ \sigma_j & 0 \end{pmatrix} \begin{pmatrix} 0 & \sigma_k \\ \sigma_k & 0 \end{pmatrix} = \begin{pmatrix} \sigma_j \sigma_k & 0 \\ 0 & \sigma_j \sigma_k \end{pmatrix} = i \epsilon_{jkl} \begin{pmatrix} \sigma_l & \\ & \sigma_l \end{pmatrix} = i \epsilon_{jkl} \Sigma_l$

$$p_j A_k f = (-i \nabla_j A_k) f = A_k (-i \nabla_j f) - i (\nabla_j A_k) f = A_k p_j f - i \nabla_j A_k f$$

$$\epsilon_{jkl} \sum_l \nabla_j A_k = \sum_l \epsilon_{ljk} \nabla_j A_k = \sum_l (\vec{\nabla} \times \vec{A})_l = \vec{\Sigma} \cdot \vec{B}$$

Thus we get $-e \sum_{j \neq k} \alpha_j \alpha_k p_j A_k + \alpha_j \alpha_k A_j p_k =$

$$= -e \sum_{j \neq k} \alpha_j \alpha_k A_j p_k + \alpha_j \alpha_k A_k p_j - e \vec{\Sigma} \cdot \vec{B}$$

$$\circ = -e \sum_{j \neq k} (\alpha_j \alpha_k + \alpha_k \alpha_j) A_j p_k - e \vec{\Sigma} \cdot \vec{B} = -e \vec{\Sigma} \cdot \vec{B}$$

Hence $(H - eV)^2 = (\vec{p} - e\vec{A})^2 + m^2 - e \vec{\Sigma} \cdot \vec{B}$

$$(H - eV) = m \left(1 + \frac{(\vec{p} - e\vec{A})^2 - e \vec{\Sigma} \cdot \vec{B}}{m^2} \right)^{1/2}$$

NR-limit $\simeq m + \frac{1}{2m} (\vec{p} - e\vec{A})^2 - \frac{e}{2m} \vec{\Sigma} \cdot \vec{B}$

This correspond to a magnetic moment.

$$\circ \quad \mu = \frac{e}{m} \vec{S} = g_e \left(\frac{e}{2m} \right) \vec{S}$$

where $g_e = 2$ (Experiment $\Rightarrow 2.0023193\dots$)

quantum field theory corrections

Success of Dirac's eq. (9-2) of muon \rightarrow contemporary

24/2/06.9 | MPS, 81 / Friday / Abingdon

Dirac density and current

○ To give a probabilistic interpretation to the Dirac wave-function ψ

we have to construct a conserved current j^μ .

we have, $(i\gamma^\mu \partial_\mu - m)\psi = 0$ (*)

$$\psi^\dagger (-i\overleftarrow{\partial}_\mu \gamma^\mu - m) = 0$$

using $\gamma^{\mu\dagger} = \gamma^0 \gamma^\mu \gamma^0$

$$\Rightarrow \psi^\dagger (-i\gamma^0 \overleftarrow{\partial}_\mu \gamma^\mu \gamma^0 - m) = 0 \quad * \gamma^0$$

$$\circ \Rightarrow \psi^\dagger \gamma^0 (-i\overleftarrow{\partial}_\mu \gamma^\mu - m \gamma^0) = 0$$

we define the Dirac adjoint, $\bar{\psi} = \psi^\dagger \gamma^0$

$$\Rightarrow \bar{\psi} (i\overleftarrow{\partial}_\mu \gamma^\mu + m) = 0 \quad (**)$$

Multiplying (*) by $\bar{\psi}$ from the left and
(**) by ψ from the right.

$$\text{we get } i\bar{\psi} \overleftarrow{\partial}_\mu \psi + i\bar{\psi} \overleftarrow{\partial}_\mu \psi = i\partial_\mu (\bar{\psi} \gamma^\mu \psi) = 0.$$

$$\circ \text{ So } j^\mu = \bar{\psi} \gamma^\mu \psi \Rightarrow \partial_\mu j^\mu = 0.$$

$\Rightarrow j^\mu$ is our conserved current.

$$\text{Then: } P = \int \bar{\psi} \gamma^0 \psi = \int \psi^\dagger \gamma^0 \psi = \int \psi^\dagger \psi = \sum_{\alpha} |\psi_{\alpha}|^2 \geq 0.$$

25/2/06.11 MRS. 82 / Saturday / Abingdon

$$J^j = \bar{\psi} \gamma^j \psi = \psi^\dagger \gamma^0 \gamma^j \psi = \psi^\dagger \alpha^j \psi$$

→ β is positive definite; but we still get negative energy solutions → antiparticle → multi-state - quantum field.

end of lecture (199)

Solutions of the Dirac equation $\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \\ \psi_4 \end{pmatrix}$

Each component obeys the R.C.E.

$$\psi = u(E, \vec{p}) e^{-i\vec{p}\cdot\vec{x}} = u(E, \vec{p}) e^{-i(Et - \vec{p}\cdot\vec{x})}$$

→ Positive energy plane wave.

$$(\gamma^\mu p_\mu - m)\psi = (\gamma^\mu p_\mu - m)u = 0$$

Writing $u = \begin{pmatrix} \phi \\ \chi \end{pmatrix} = \begin{pmatrix} \phi_1 \\ \phi_2 \\ \chi_1 \\ \chi_2 \end{pmatrix}$ $\delta_{ij} \alpha_j = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \alpha_j \\ \beta_j \end{pmatrix} = \begin{pmatrix} 0 & \alpha_j \\ \beta_j & 0 \end{pmatrix}$

$$\begin{pmatrix} \gamma^0 E - \vec{\gamma}\cdot\vec{p} - m & \begin{pmatrix} \phi \\ \chi \end{pmatrix} \\ \begin{pmatrix} \phi \\ \chi \end{pmatrix} \end{pmatrix} = \begin{pmatrix} \mathbb{I} & 0 \\ 0 & -\mathbb{I} \end{pmatrix} E - \begin{pmatrix} 0 & \sigma_j \\ -\sigma_j & 0 \end{pmatrix} p_j - m \begin{pmatrix} \mathbb{I} & 0 \\ 0 & -\mathbb{I} \end{pmatrix} \begin{pmatrix} \phi \\ \chi \end{pmatrix}$$

$$= \begin{pmatrix} E-m & \vec{\sigma}\cdot\vec{p} \\ \vec{\sigma}\cdot\vec{p} & -E-m \end{pmatrix} \begin{pmatrix} \phi \\ \chi \end{pmatrix} = 0 \Rightarrow \begin{aligned} (E-m)\phi &= \vec{\sigma}\cdot\vec{p}\chi \\ \vec{\sigma}\cdot\vec{p}\phi &= -(E+m)\chi \end{aligned}$$

$$\Rightarrow \chi = \frac{\vec{\sigma}\cdot\vec{p}}{E+m} \phi$$

Recall that

$$\vec{S} = \frac{1}{2} \vec{\Sigma} = \frac{1}{2} \begin{pmatrix} \sigma_3 & 0 \\ 0 & \sigma_3 \end{pmatrix} \quad S_z = \begin{pmatrix} 1/2 & 0 \\ 0 & -1/2 \end{pmatrix}$$

hence.

$$\phi = N \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \text{for spin up along } z\text{-axis}$$

$$\phi = N \begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad \text{for spin down along } z\text{-axis.}$$

Also have:

$$\vec{\sigma}\cdot\vec{p} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} p_x + \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} p_y + \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} p_z = \begin{pmatrix} p_z \\ p_x + ip_y \end{pmatrix}$$

25/2/06, 2 / MPS. 23 / Saturday / Abingdon /

○ similarly $\vec{\sigma} \cdot \vec{p} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} p_x - i p_y \\ p_z \end{pmatrix}$

Thus $u^\uparrow = N \begin{pmatrix} 0 \\ \frac{p_z}{E+m} \\ \frac{p_x + i p_y}{E+m} \\ 1 \end{pmatrix}$ $u^\downarrow = N \begin{pmatrix} 0 \\ \frac{p_x - i p_y}{E+m} \\ \frac{p_z}{E+m} \\ 1 \end{pmatrix}$

Normalization is calculated from $\rho = \psi^\dagger \psi = u^\dagger u = 2E$

$2E$ - particles per unit volume,

This gives $N^2 \left[1 + \frac{p_x^2 + p_y^2 + p_z^2}{(E+m)^2} \right] = 2E$

Using $\vec{p}^2 = E^2 - m^2$ gives $N^2 \left[1 + \frac{(E-m)(E+m)}{(E+m)^2} \right] = 2E$

○ $= N^2 \left(\frac{2E}{E+m} \right) = 2E \Rightarrow N = \sqrt{E+m}$

For a particle in the rest frame $p^\mu = (m, 0, 0, 0)$, $\vec{p} = 0$.

we get $u^\uparrow = N \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}$ $u^\downarrow = \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \end{pmatrix}$

in NR-limit $u^\uparrow \rightarrow$ spin-up $u^\downarrow \rightarrow$ spin down, fields

For antiparticle of 4-momentum (E, \vec{p}) we need
A solution with $p^\mu \rightarrow (-E, -\vec{p})$,

○ $\psi = v(E, \vec{p}) e^{+i p_\mu x^\mu} = v(E, \vec{p}) e^{i(Et - \vec{p} \cdot \vec{x})}$

From the Dirac equation: $(i \gamma^\mu \partial_\mu - m) \psi = (-\gamma^\mu p_\mu - m) v(E, \vec{p}) = \left[\begin{pmatrix} -\mathbb{I} & 0 \\ 0 & \mathbb{I} \end{pmatrix} E + \begin{pmatrix} 0 & \vec{\sigma} \\ \vec{\sigma} & 0 \end{pmatrix} \cdot \vec{p} \right] v$

$= \begin{pmatrix} -E-m & \vec{\sigma} \cdot \vec{p} \\ \vec{\sigma} \cdot \vec{p} & E-m \end{pmatrix} \begin{pmatrix} \phi \\ \chi \end{pmatrix} = 0$

26/2/06.1 / MFS.84 / Saturday / Abingdon /

$$\Rightarrow \begin{aligned} \vec{\sigma} \cdot \vec{p} \chi &= (E+m) \phi \Rightarrow \phi = \frac{\vec{\sigma} \cdot \vec{p}}{E+m} \chi \\ \vec{\sigma} \cdot \vec{p} \phi &= (E-m) \chi \end{aligned}$$

Like the 4-momentum spin is reversed thus

$$\psi^\uparrow = N \begin{pmatrix} \frac{p_x - i p_y}{E+m} \\ \frac{p_z}{E+m} \\ 0 \\ 0 \end{pmatrix} \quad \psi^\downarrow = N \begin{pmatrix} \frac{p_z}{E+m} \\ \frac{p_x + i p_y}{E+m} \\ 0 \\ 0 \end{pmatrix}$$

Charge conjugation

consider the K.G.E for a charged particle in an electromagnetic field

$$\begin{aligned} \vec{p} &\rightarrow \vec{p} - e\vec{A} \\ E &\rightarrow E - eV \end{aligned}$$

$$(E - eV)^2 = (\vec{p} - e\vec{A})^2 + m^2$$

in quantum mechanics. $E \rightarrow i\hbar \partial_t$ $\vec{p} \rightarrow -i\hbar \vec{\nabla}$

$$\Rightarrow (i\hbar \partial_t - eV)^2 \psi(x,t) = (-i\hbar \vec{\nabla} - e\vec{A}(x,t))^2 \psi(x,t) + m^2 \psi(x,t)$$

The complex conjugate eq.

$$(-i\hbar \partial_t - eV) \psi^* = (i\hbar \vec{\nabla} - e\vec{A})^2 \psi^* + m^2 \psi^*$$

$$\Rightarrow (i\hbar \partial_t + eV) \psi^* = (-i\hbar \vec{\nabla} + e\vec{A})^2 \psi^* + m^2 \psi^*$$

Hence if $\psi = e^{-i(Et - \vec{p} \cdot \vec{x})} = e^{-iEt + i\vec{p} \cdot \vec{x}}$

is a solution of the K.G.E with $E > 0$ \vec{p}

26/2/06.2 | MPS.85 | Sunday / Abingdon | $\phi^* = e^{-i(E(-t) - \vec{p} \cdot \vec{x})}$

○ ϕ^* is a solution of the K.G.E with $E > 0$
 $\vec{p} \rightarrow -\vec{p}$ And $e \rightarrow -e$ $m = m_0$

This operation is: $\phi \rightarrow \phi^*$
 $e \rightarrow -e$

called charge conjugation, C

The K.G.E is invariant under charge conjugation

The Dirac equation is also invariant under charge conjugation.

$\psi \rightarrow$ negative energy solution.

○ Transformation $\psi \rightarrow \psi^c = C \psi^* \rightarrow$ charge conjugation C .

such that ψ^c is a positive energy solution with $e \rightarrow -e$.

To find C

Write | $\gamma^\mu (i\partial_\mu - eA_\mu) \psi - im\psi = 0 \leftarrow$ Dirac eq.

$$\rightarrow \gamma^\mu (\partial_\mu + ieA_\mu) \psi + im\psi = 0.$$

$$\Rightarrow \gamma^{\mu*} (\partial_\mu - ieA_\mu) \psi^* - im\psi^* = 0$$

$$\circ - C \gamma^{\mu*} C^{-1} (\partial_\mu - ieA_\mu) \psi^c + im\psi^c = 0$$

Hence we need: $C \gamma^{\mu*} C^{-1} = -\gamma^\mu$

i.e. $\gamma^\mu C = -C \gamma^{\mu*}$

26/2/06.3 | MPS, 86 | Sunday | Abingdon

○ since all γ^μ are real except γ^2 (which is purely imaginary) In our standard representation, we can take,

$$C = i\gamma^2 = i \begin{pmatrix} 0 & \sigma_2 \\ -\sigma_2 & 0 \end{pmatrix} = i \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \\ 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}$$

For free particles we have $v^{\uparrow C} = u^{\uparrow}$ $v^{\downarrow C} = -u^{\downarrow}$

Parity Invariance

similarly to charge conjugation we can also extract the transformation properties of the Dirac wavefunction

○ under Parity transformations.

define:
$$\psi(\vec{r}, t) \rightarrow \psi^P(\vec{r}, t) = \underline{P} \psi(-\vec{r}, t)$$

invariance \rightarrow we want to find \underline{P} such that ψ^P is also a solution.

Dirac eq. $\rightarrow (\gamma^\mu \partial_\mu - m) \psi(\vec{r}, t) = 0$

$$\rightarrow (\gamma^\mu \partial_\mu + im) \psi(\vec{r}, t) = 0.$$

$$\rightarrow (\gamma^0 \partial_0 + \vec{\gamma} \cdot \vec{\nabla} + im) \psi(\vec{r}, t) = 0.$$

$$\vec{r} \rightarrow -\vec{r} \rightarrow (\gamma^0 \partial_0 - \vec{\gamma} \cdot \vec{\nabla} + im) \psi(-\vec{r}, t) = 0.$$

$$\psi \rightarrow \psi^P \rightarrow (P \gamma^0 P^{-1} \partial_0 - P \vec{\gamma} \cdot P^{-1} \vec{\nabla} + im) \psi^P(\vec{r}, t) = 0.$$

3/3/06.1 / MPS, 87 / Friday / Abingdon /

hence for invariance to hold we need

$$P \gamma^0 P^{-1} = \gamma^0$$

$$P \gamma^j P^{-1} = -\gamma^j \quad (j = 1, 2, 3)$$

$$\Rightarrow \underline{P} \gamma^0 = \gamma^0 \underline{P} \quad \underline{P} \gamma^j = -\gamma^j \underline{P}$$

These relations are satisfied by $\underline{P} = \gamma_0 = \begin{pmatrix} 1 & & & \\ & -1 & & \\ & & -1 & \\ & & & -1 \end{pmatrix}$

For a particle at rest $\psi = u(m, \vec{0}) e^{-imt}$

$$\psi^P = \underline{P} \psi = +\psi$$

For an antiparticle at rest

$$\psi = v(m, \vec{0}) e^{+imt}$$

$$\psi^P = \underline{P} \psi = -\psi$$

\Rightarrow Particles and antiparticles have opposite intrinsic parity.

FOR KG, E the parity transformation is,

$$\phi(\vec{r}, t) \rightarrow \bar{\phi}^P(\vec{r}, t) = \phi(-\vec{r}, t)$$

Since $\phi(\vec{r}, t)$ is a scalar function under L.T, $\phi'(\vec{r}, t) = \phi(\vec{r}, t)$

follows from KG, E $(\frac{\partial^2}{\partial t^2} - \nabla^2 + m^2) \phi(\vec{r}, t) = 0$

$$\underline{P} \rightarrow (\frac{\partial^2}{\partial t^2} - \nabla^2 + m^2) \phi'(-\vec{r}, t) = 0$$

hence ϕ, ϕ' are solutions of the same eq

3/3/06.2 / MPS, 88 / Friday / Abington /

○ In the case of the Dirac eq. the scalar is:

$$\Phi = \bar{\psi} \psi = \psi^\dagger \gamma^0 \psi$$

check: $\bar{\psi}(\vec{r}, t) = \psi^\dagger(\vec{r}, t) \gamma^0 \psi(\vec{r}, t)$

$$\begin{aligned} \bar{\psi}^P(\vec{r}, t) &= \psi^\dagger(-\vec{r}, t) \gamma^{0\dagger} \gamma^0 \underbrace{\gamma^0 \psi(-\vec{r}, t)}_{P\psi(\vec{r}, t)} = \\ &= \psi^\dagger(-\vec{r}, t) \gamma^0 \psi(-\vec{r}, t) = \bar{\psi}(-\vec{r}, t) \end{aligned}$$

→ $\bar{\psi} \psi$ transforms as a scalar under parity trans.

○ Similarly, \vec{J}^μ is a true vector.

$$\vec{J}^\mu(\vec{r}, t) = \psi^\dagger(\vec{r}, t) \gamma^0 \gamma^\mu \psi(\vec{r}, t)$$

$$\vec{J}^{\mu P}(\vec{r}, t) = \psi^\dagger(-\vec{r}, t) \gamma^{0\dagger} \gamma^0 \gamma^\mu \gamma^0 \psi(-\vec{r}, t)$$

but $\gamma^{0\dagger} \gamma^0 \gamma^\mu \gamma^0 = \gamma^\mu \gamma^0 = \gamma^0 \gamma^\mu$ for $\mu = 0$,
 $= -\gamma^0 \gamma^\mu$ for $\mu = 1, 2, 3$.

Hence $\vec{J}^{00}(\vec{r}, t) = \vec{J}^0(-\vec{r}, t)$ $\vec{J}^{\mu P}(\vec{r}, t) = -\vec{J}^\mu(-\vec{r}, t)$

As we would expect from a true 4-vector, $(t, \vec{x}) \rightarrow (t, -\vec{x})$

○ we define the matrix

$$\gamma^5 = i \gamma^0 \gamma^1 \gamma^2 \gamma^3 \gamma^4 = \begin{pmatrix} 0 & \mathbb{I} \\ \mathbb{I} & 0 \end{pmatrix} \leftarrow \text{in our representation}$$

3 | MPS, 89 | Friday | Abingdon

○ we will see that weak interactions involve the axial current.

$$\vec{J}_A^\mu = \bar{\psi} \gamma^\mu \gamma^5 \psi = \psi^\dagger \gamma^0 \gamma^\mu \gamma^5 \psi$$

under parity transformations

$$\vec{J}_A^\mu = \psi^\dagger(-\vec{r}, t) \gamma^0 \gamma^\mu \gamma^5 \psi(-\vec{r}, t) = \psi^\dagger(\vec{r}, t) \gamma^0 \gamma^\mu \gamma^5 \psi(\vec{r}, t)$$

Now $\{\gamma^\mu, \gamma^5\} = 0$ for $\mu = 0, 1, 2, 3$.

$$(\gamma^5)^2 = \mathbb{I}$$

○ hence

$$\vec{J}_A^{\mu 0}(\vec{r}, t) = -\vec{J}_A^\mu(-\vec{r}, t) \quad \vec{J}_A^{\mu 1}(\vec{r}, t) = \vec{J}_A^\mu(-\vec{r}, t)$$

As expected for an axial vector

similarly: $\bar{\Phi}_p = \bar{\psi} \gamma^5 \psi$ is a pseudo-scalar.

$$\bar{\Phi}_p^{\mu 1}(\vec{r}, t) = \psi^\dagger(-\vec{r}, t) \gamma^5 \gamma^0 \psi(-\vec{r}, t) =$$

$$= -\psi^\dagger(-\vec{r}, t) \gamma^5 \psi(\vec{r}, t) =$$

$$= -\bar{\Phi}_p(-\vec{r}, t)$$

3/3/06.4 / MRS. 90 / Friday / Abingdon

$$\vec{\alpha} = \begin{pmatrix} 0 & \sigma \\ \sigma & 0 \end{pmatrix}$$

Massless Dirac Particles

The Dirac eq $H\psi = i\hbar \frac{\partial}{\partial t} \psi = (-i\vec{\alpha} \cdot \vec{\nabla} + \beta m) \psi$

For $m=0$ the positive energy free particle solutions are.

$$\psi = u(E, \vec{p}) e^{-i(Et - \vec{p} \cdot \vec{x})}$$

For $m=0 \Rightarrow E = |\vec{p}|$ and $u = \begin{pmatrix} \phi \\ \chi \end{pmatrix}$ gives

$$E \cdot I u = \vec{\alpha} \cdot \vec{p} u = \begin{pmatrix} 0 & \vec{\sigma} \cdot \vec{p} \\ \vec{\sigma} \cdot \vec{p} & 0 \end{pmatrix} u$$

hence $\begin{pmatrix} |\vec{p}| & -\vec{\sigma} \cdot \vec{p} \\ -\vec{\sigma} \cdot \vec{p} & |\vec{p}| \end{pmatrix} \begin{pmatrix} \phi \\ \chi \end{pmatrix} = 0 \Rightarrow \begin{matrix} \vec{\sigma} \cdot \vec{p} \chi = |\vec{p}| \phi \\ \vec{\sigma} \cdot \vec{p} \phi = |\vec{p}| \chi \end{matrix}$

$$\Rightarrow \chi = \frac{\vec{\sigma} \cdot \vec{p}}{|\vec{p}|} \phi \quad \& \quad \phi = \frac{\vec{\sigma} \cdot \vec{p}}{|\vec{p}|} \chi \Rightarrow \chi = \left(\frac{\vec{\sigma} \cdot \vec{p}}{|\vec{p}|} \right)^2 \chi$$

$$\Rightarrow \left(\frac{\vec{\sigma} \cdot \vec{p}}{|\vec{p}|} \right)^2 = I$$

$\Rightarrow \Lambda = \frac{\vec{\sigma} \cdot \vec{p}}{|\vec{p}|}$ is the helicity operator with $\Lambda^2 = 1$

and eigenvalues $\Lambda = \pm 1 \rightarrow$ spin along $\vec{p} \rightarrow$ right / left hand

helicity operators projection of spin on $\frac{\vec{p}}{|\vec{p}|}$

○

\Rightarrow For massless particles $m=0$ the 2 component spinor χ, ϕ are eigenstates of the helicity operators.

3/3/06.5 / MPS. 91 / Friday / Abingdon /

○ For massless particles, helicity is Lorentz invariant,

Note that if ψ represents a massless particle, then

$$\gamma^5 \psi = \begin{pmatrix} 0 & \mathbb{I} \\ \mathbb{I} & 0 \end{pmatrix} \begin{pmatrix} \phi \\ \chi \end{pmatrix} = \begin{pmatrix} \chi \\ \phi \end{pmatrix} = \begin{pmatrix} \Lambda \phi \\ \phi \end{pmatrix} = \Lambda \psi \quad (\Lambda^2 = \mathbb{1})$$

hence γ^5 is the helicity operator for massless particles.
(minus helicity for massless antiparticles).

11. in the case of massless particles, we can decompose the Dirac eq. into two equations for the two helicity eigenstates.

○ we can introduce the basis:

$$\gamma^0 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \gamma^j = \begin{pmatrix} 0 & \sigma^j \\ \sigma^j & 0 \end{pmatrix} \quad \gamma^5 = \begin{pmatrix} -1 & 0 \\ 0 & +1 \end{pmatrix}$$

in this basis (chiral),
$$\begin{pmatrix} \gamma^0 E - \gamma^j p_j \\ \gamma^0 E - \gamma^j p_j \end{pmatrix} \begin{pmatrix} \phi \\ \chi \end{pmatrix} = \begin{pmatrix} 0 & \mathbb{1} - \vec{\sigma} \cdot \vec{p} \\ \mathbb{1} + \vec{\sigma} \cdot \vec{p} & 0 \end{pmatrix} \begin{pmatrix} \phi \\ \chi \end{pmatrix} = 0$$

hence (in this basis)
$$\frac{\vec{\sigma} \cdot \vec{p}}{|\vec{p}|} \chi = +1 \chi \quad \frac{\vec{\sigma} \cdot \vec{p}}{|\vec{p}|} \phi = -1 \phi$$

therefore in this basis χ and ϕ are the eigenstates of the helicity operator with eigenvalue

○ $+1$ and -1 respectively.

$$\chi = \psi_R \quad \phi = \psi_L$$

3/3/06.6 / MPS, 92 / Friday / Abingdon /

○ ψ_L and ψ_R are two component spinors

they transform as $(\frac{1}{2}, 0)$ and $(0, \frac{1}{2})$

Representations of the Lorentz group.

A Dirac spinor can be written as

$$\psi = \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix} \quad \psi_L, \psi_R \text{ are called Weyl spinors}$$

we define the operators $P_{L,R} = \frac{(1 \pm \gamma_5)}{2}$

○ In the chiral basis we have.

$$P_L = \frac{1}{2} \left[\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} - \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \right] = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \quad P_L^2 = P_L$$

$$P_R = \frac{1}{2} \left[\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \right] = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \quad P_R^2 = P_R$$

and $P_R P_L = P_L P_R = 0$, $P_L + P_R = \frac{1+\gamma_5}{2} + \frac{1-\gamma_5}{2} = 1$
 $P_L \gamma^\mu = \gamma^\mu P_R$, $P_R \gamma^\mu = \gamma^\mu P_L$

hence we have $P_L \psi = \begin{pmatrix} \psi_L \\ 0 \end{pmatrix} = \psi_L$

$$P_R \psi = \begin{pmatrix} 0 \\ \psi_R \end{pmatrix} = \psi_R$$

○ Furthermore from $P_R P_L = 0$ we have $P_R \psi_L = 0$
 $P_L \psi_R = 0$

The Weak interactions were observed experimentally to have the Vector - Axial vector form, $(V-A)$ i.e.

3/3/06.7 / MPS. 93 / Friday / Abingdon /

$$\circ \quad (\overline{J}^{\mu} - \overline{J}_A^{\mu})_{f_i} = \overline{\psi}_f \gamma^{\mu} (1 - \gamma_5) \psi_i$$

If i is a massless particle then $(1 - \gamma_5) \psi_i$ vanishes for helicity $+1$, i.e. only left-handed fields interact.

The same applies to particle f since

$$\begin{aligned} \overline{\psi}_f \gamma^{\mu} (1 - \gamma_5) \psi_i &= \psi_f^{\dagger} \gamma^0 (1 + \gamma_5) \gamma^{\mu} \psi_i = \psi_f^{\dagger} (1 - \gamma_5) \gamma^0 \gamma^{\mu} \psi_i \\ &= [(1 - \gamma_5) \psi_f]^{\dagger} \gamma^0 \gamma^{\mu} \psi_i \end{aligned}$$

\circ Hence this is non vanishing only if the f -particle is a left-handed field.

In the Standard Model only left-handed fields interact via the weak interactions.

The Lagrangian density that gives the Dirac eq. of motion.

$$\mathcal{L}_D = \overline{\psi}_i \gamma^{\mu} \partial_{\mu} \psi - m \overline{\psi} \psi$$

$$\circ = \overline{\psi} (P_L^2 + P_R^2) i \gamma^{\mu} \partial_{\mu} \psi - m \overline{\psi} (P_L^2 + P_R^2) \psi =$$

$$\begin{aligned} &= \psi^{\dagger} P_R \gamma^0 i \gamma^{\mu} \partial_{\mu} P_R \psi + \psi^{\dagger} P_L \gamma^0 i \gamma^{\mu} \partial_{\mu} P_L \psi - m \psi^{\dagger} P_R \gamma^0 P_L \psi \\ &\quad - m \psi^{\dagger} P_L \gamma^0 P_R \psi = \end{aligned}$$

3/3/06.8 | MPS 94 / Friday / Abingdon

$$\begin{aligned} \circ &= \psi_R^\dagger \gamma^0 i \gamma^\mu \partial_\mu \psi_R + \psi_L^\dagger \gamma^0 i \gamma^\mu \partial_\mu \psi_L - m (\psi_R^\dagger \gamma^0 \psi_L + \psi_L^\dagger \gamma^0 \psi_R) \\ &= \bar{\psi}_R i \gamma^\mu \partial_\mu \psi_R + \bar{\psi}_L i \gamma^\mu \partial_\mu \psi_L - m (\bar{\psi}_R \psi_L + \bar{\psi}_L \psi_R) \end{aligned}$$

↑ Dirac mass term

we see that the kinetic terms containing the derivatives

involve $L \leftrightarrow L$ $R \leftrightarrow R$ terms

whereas: the mass terms involve

$L \leftrightarrow R$ $R \leftrightarrow L$ terms, ← Dirac mass term.

○ This is a crucial result for modern particle physics

Majorana fields

so far we encountered Weyl and Dirac spinors.

A Majorana spinor is a Dirac spinor in which ψ_L and ψ_R are not independent.

rather | $\psi_M = \begin{pmatrix} \psi_L \\ i\sigma_2 \psi_L^* \end{pmatrix} \rightarrow$ same # of Dof. as Weyl spinor.

A Majorana spinor is invariant under charge conjugation.

$$\psi_M^c = \psi_M$$

○ with a Majorana spinor $\psi_R = i\sigma_2 \psi_L^*$

- Majorana spinor \rightarrow neutral field. \rightarrow its own anti-particle
 \Rightarrow $m \bar{\psi}_M \psi_M$

3/3/06.9 / MPS. 95 / FRICLAY / Abington

classification of elementary particles

we assembled some of the ingredients that are needed to classify elementary particles

We saw: spin: 0 - scalars $\frac{1}{2}$ - fermions + 1 gauge bosons + 2 gravitons
 Poincare mass
 charge - electric - weak - strong.

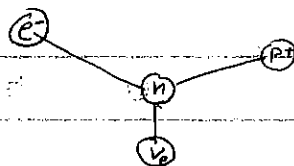
The charges depend on the particle interactions.

Additional properties: light heavy
 don't interact strongly \rightarrow leptons Hadrons \rightarrow interact strongly

1940's	electron, neutrino	Proton neutron	hadron
fermions	e ν_e	P N	$\tau \sim 15 \text{ min}$
bosons	γ	$\tau > 10^{32} \text{ year}$	

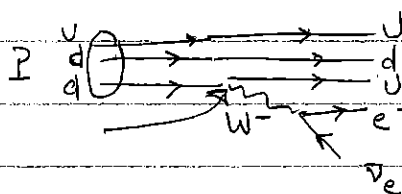
beta decay

τ - lifetime depends on global local conservation rules interactions.



in Fermi Theory $G_F \bar{\psi} \gamma^\mu \psi \bar{\psi} \gamma^\nu \psi \leftarrow$ four fermi interact
 $G_F \sim 10^{-5}$

in modern particle physics



interaction mediated by a heavy vector boson.

$G_F \sim \frac{1}{M_W^2}$
 $M_W \sim 80 \text{ GeV}$

4/4/06.1 / MPS.96 / Saturday / Abingdon /

○ Isospin symmetry \rightarrow classify elementary particles \rightarrow broken symmetry

$S = \frac{1}{2}$
 $M =$
e-charge

$N^0 \text{ --- } p$
939 MeV, 938 MeV \rightarrow ;
0 +1

\rightarrow proton & neutron form a doublet.
if we turn off E-interactions
can't distinguish P, N

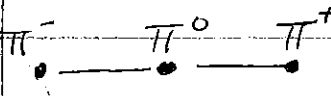
$SU(2)$ - Isospin - global continuous $su(2)$ symmetry
Exact if we ignore E-M interactions
Approximate in nature.
VERSUS E&M which is exact.

○ In the 1950's a slew of particles (resonances) have been discovered:

All the particles that interacted strongly formed families of isospin interactions.

Examples

1) Pions

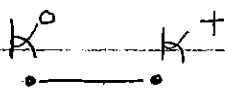


$m(\pi^0) \sim 139 \text{ MeV} ; m(\pi^\pm) = 139.5 \text{ MeV}$

$S_{\text{pin}} = 0$

Isospin = +1 \rightarrow triplet.

2) Kaons



$m(K^+) \sim 493.7 \text{ MeV} ; m(K^0) = 497.8 \text{ MeV}$

$S = 0$

Isospin = $\frac{1}{2}$ \rightarrow doublet.

3)

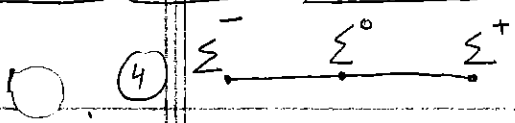


$m(P^-) \sim 938 \text{ MeV} \quad m(N) \sim 939 \text{ MeV}$

$S = \frac{1}{2}$

Isospin = $\frac{1}{2}$

4/4/06, 2 | MP5.97 | Saturday | Abingdon |



$$\begin{aligned} m(\Sigma^+) &= 1189.36 \text{ MeV} \\ m(\Sigma^0) &= 1192.46 \text{ MeV} \\ m(\Sigma^-) &= 1197.34 \text{ MeV} \end{aligned}$$

$$S = \frac{1}{2}$$

$$I_{\text{isospin}} = +1$$

⑤



$$\begin{aligned} m(\Lambda^0) &= 1115.6 \text{ MeV} \quad S = \frac{1}{2} \\ I_{\text{isospin}} &= 0 \end{aligned}$$

⑥



$$\begin{aligned} m(\eta) &= 548.8 \text{ MeV} \quad S = 0 \\ I_{\text{isospin}} &= 0 \end{aligned}$$

Classification: states with same spin and comparable mass form Isospin families

○

Relations between decay products.

The observed resonances decay via their strong, electromagnetic, weak interactions. The decays are typified by their decay rates $\sim \frac{1}{\text{lifetime}}$.

Strong $\sim 10^{-24}$ sec

EM $\sim 10^{-18}$ sec

Weak $\sim 10^{-8}$ sec

Hadrons - strongly interacting $\begin{cases} \text{baryons spin} = N + \frac{1}{2} \quad N=0 \\ \text{mesons spin} = N \quad N=0 \end{cases}$

○

lepton - not strongly interacting $\begin{cases} \text{charged} \rightarrow e, \mu, \tau \\ \text{neutral} \rightarrow \nu_e, \nu_\mu, \nu_\tau \end{cases}$

gauge bosons spin 1 γ, W^\pm, Z, G

5/5/06.11 / MPS, 98 / Sunday / Abingdon

○ All interactions respect familiar conservation laws.

e.g. → charge, energy, momentum, Angular momentum.

In Addition. some additional conservation laws must be imposed.

e.g. $P \rightarrow e^+ \pi^0$

→ Respects conservation of charge, angular momentum, energy

but not observed in nature $\tau_p \geq 10^{32}$ years → on going search

"we know it in our bones"

○ → introduce conserved baryon charge $B(P) = +1$ $B(\bar{P}) = -1$
 $B(L) = 0 = B(M)$

⇒ $P \not\rightarrow e^+ \pi^0$

similarly for leptons $\mu^- \rightarrow e^- \bar{\nu}_e \nu_\mu$ allowed,

$\mu^- \rightarrow e^- \gamma$ forbidden

introduce. L_e, L_μ, L_τ .

○ $L_e = +1$ for e^- and $\bar{\nu}_e$
 $L_e = -1$ for e^+ and ν_e
 $L_e = 0$ for everyone else.

5/5/06.2 / MP 5.99 / Sunday / Abingdon

○ Thus	$\mu^- \rightarrow e^- \bar{\nu}_e \nu_\mu$	
spin	$\frac{1}{2} \rightarrow \frac{1}{2} \quad \frac{1}{2} \quad \frac{1}{2}$	$\vec{J}_f = J_1 + J_2 - J_3 = \frac{1}{2}$ ✓
charge	$-1 \rightarrow -1 \quad 0 \quad 0$	✓
$\frac{1}{2}\mu$	$+1 \rightarrow 0 \quad 0 \quad +1$	✓
$\frac{1}{2}e$	$0 \rightarrow 1 \quad -1 \quad 0$	✓

Baryon & Lepton number are exactly conserved in nature (As observed).

Some conservation laws may be approximate, e.g.

$K^+ \rightarrow \pi^+ \pi^0 \rightarrow \sim 20\%$ branching ratio
 $\tau(K^+) \sim 10^{-8}$ sec \rightarrow weak decay. ?
 Why not strong K^+ decay?

○ Gellmann & Nishijima \rightarrow A new additive conserved quantum number
 $S \rightarrow$ strangeness

$$S(P) = S(\pi) = 0 \quad / \quad S(K^+) = S(K^0) = +1 \quad / \quad S(\Lambda, \Sigma) = -1 \quad / \quad S(\Xi) = -2$$

Strong & electromagnetic interactions conserve strangeness
 weak interaction violates strangeness.

\rightarrow classification by T spin is not sufficient to classify hadronic states

\rightarrow need a larger symmetry group, G such that

$$○ \quad SU(2)_I \subset G \quad \leftarrow \quad SU(2)_I \text{ subgroup of } G.$$

$G = ? \rightarrow G = SU(3)_{flavour} \rightarrow$ Gellmann & Neeman
 \rightarrow the eight fold way

5/3/06.3 | MPS.100 | Sunday | Abingdon

Unitary groups $SU(N)$
← simple ← unitary Rank \rightarrow # of diagonal generators mutually commuting.

Unitarity $U^\dagger U = \mathbb{I} \Rightarrow U^\dagger = U^{-1}$
Simple $\det U = 1$

Examples $N=1 \quad \psi \rightarrow U\psi \quad U^\dagger = U^{-1} \rightarrow U = e^{i\alpha} \quad U^\dagger = e^{-i\alpha} = U^{-1}$

$N=2 \quad \psi \rightarrow U\psi$
 $\begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} \rightarrow \begin{pmatrix} A & B \\ C & D \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$

$U = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \quad U^\dagger = \begin{pmatrix} A^* & C^* \\ B^* & D^* \end{pmatrix} \quad U^{-1} = \frac{1}{(AD-BC)} \begin{pmatrix} D & -B \\ -C & A \end{pmatrix}$

$U^\dagger = U^{-1} \Rightarrow A^* = \frac{D}{\det U} \quad D^* = \frac{A}{\det U} \quad C^* = -\frac{B}{\det U} \quad B^* = -\frac{C}{\det U} \quad (**)$

unitary matrices: $U^\dagger = U^{-1} \Rightarrow U^\dagger U = \mathbb{I}$

$$\det U^{-1} = (\det U)^{-1}; \quad \det U^\dagger = (\det U)^*$$

$$U^\dagger = U^{-1} \Rightarrow (\det U)^* = \frac{1}{\det U} \Rightarrow |\det U| = \pm 1$$

since $|\det U| = 1$ we get from the above eqs. that

$$|A| = |D| \quad \wedge \quad |B| = |C|$$

The most general 2D unitary matrix can therefore be written as

$$U = \begin{pmatrix} A & B \\ C & D \end{pmatrix} = \begin{pmatrix} e^{i\alpha} & 0 \\ 0 & e^{i\beta} \end{pmatrix} \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} e^{i\gamma} & 0 \\ 0 & e^{i\delta} \end{pmatrix}$$

10/3/06.1 / MPS, 101 / Friday / Abingdon

○ This product is the solution of the eqs. in (***)

of D.o.F. in \bar{U} is 4: θ + three of $\alpha, \beta, \gamma, \delta$

The phases appearing in U are $\alpha+\delta, \alpha+\delta, \beta+\delta, \beta+\delta$.

in a 2×2 unitary matrix there are 4 D.o.f.

generalization: an $N \times N$ unitary matrix has N^2 D.o.f.

Theorem: A unitary matrix \bar{U} can be written as $\bar{U} = e^{iH}$

○ where H is hermitian. ($H^\dagger = H$)

Assume an hermitian $N \times N$ matrix: $H = S + iA$

real symmetric matrix real anti-symmetric matrix

The # of D.o.f. in H : $S \rightarrow N + \frac{N^2 - N}{2} = \frac{N(N+1)}{2}$

diagonal Diagonal (//) $\rightarrow S$

$$A \rightarrow \frac{N^2 - N}{2} = \frac{N(N-1)}{2}$$

○ Hence: # of D.o.f. in H : $\frac{N(N+1)}{2} + \frac{N(N-1)}{2} = N^2$

A unitary matrix also has N^2 D.o.f. freedom.

10/3/06.2 / MP2, 102 / Frickay / Abmgdon /

○ In $U(2)$: write the most general 2×2 unitary matrix.

we need 4 independent hermitian matrices:

the space of 2×2 hermitian matrices is spanned by the

BASIS: $H = \left[\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \vec{\tau} \right]$ $\tau_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ $\tau_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ $\tau_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$
↑
Pauli matrices

general H -matrix $H = \beta \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \vec{\alpha} \cdot \vec{\tau}$

general $U(2)$ matrix: $U = e^{i(\beta I + \vec{\alpha} \cdot \vec{\tau})}$

○ $SU(2) \rightarrow \det \bar{U} = 1$

simple

if $U = e^{iH}$ then $\det U = e^{i \operatorname{tr} H}$

in general, $\det A \neq 0 \Rightarrow \det A = \det PAP^{-1} = \det A_0 = \lambda_1 \dots \lambda_n$

if $\det A \neq 0 \Rightarrow \operatorname{tr} A = \operatorname{tr} PAP^{-1} = \operatorname{tr} A_0 = \lambda_1 + \dots + \lambda_n$

hence: if $\bar{U} = e^A$ $\det U = \det e^A = \det \left(I + A + \frac{A^2}{2} + \dots \right) =$
 $= \det \left(I + PAP^{-1} + PAP^{-1} PAP^{-1} + \dots \right) =$
 $= \det \left(I + A_0 + \frac{A_0^2}{2} + \dots \right) = \det \begin{pmatrix} e^{\lambda_1} & & \\ & \dots & \\ & & e^{\lambda_n} \end{pmatrix} =$
 $= e^{\lambda_1} \dots e^{\lambda_n} = e^{\lambda_1 + \dots + \lambda_n} = e^{\operatorname{tr} A}$

○ $\Rightarrow \det e^A = e^{\operatorname{tr} A}$

hence $\det U = e^{i \operatorname{tr} H} \Leftrightarrow \det U = 1 \Rightarrow \operatorname{tr} H = 0$

10/3/06.3 | MPS.103 | Friday | Abingdon

○ $\Rightarrow \beta = 0. \quad \text{tr} \mathbb{I} = 2 \quad \text{tr} \tau_j = 0$

Therefore the most general $SU(2)$ matrix $\vec{c} = (c_1, c_2, c_3)$

end of lecture 24 $\rightarrow U = e^{i \vec{\alpha} \cdot \vec{\tau}} \approx \mathbb{I} + i \vec{\alpha} \cdot \vec{\tau}$

Find the most general hermitian 3×3 matrix. $H_{3 \times 3}$.

$\#(\text{D.o.F.}) = 9$

if $\text{tr} H = 0 \rightarrow \#(\text{D.o.F.}) = 8$. for $\det U = 1$

○ In $SU(2)$ we had 3-matrices & 3 coefficients.

In $SU(3)$ we have 8-matrices & 8 coefficients

such that $\det \bar{U} = e^{i \text{tr} H} = 1$.

$\bar{U} = e^{i \vec{\alpha} \cdot \vec{\lambda}}$ are hermitian matrices with $\text{tr} \lambda_j = 0$
 $\lambda_1, \dots, \lambda_8$

The number of $SU(3)$ group generators is eight,
" " " $SU(2)$ " " " Three.

○ For $SU(2)$ we have only one diagonal hermitian matrix with trace $H_{2 \times 2} = 0$, $\rightarrow \tau_3 = \begin{pmatrix} 1 & \\ & -1 \end{pmatrix}$.

The other two matrices are $\tau_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ $\tau_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$.

10/3/06.4 | MPS, 104 | Friday | Abingdon

For $SU(2) \rightarrow$ only one diagonal matrix.

○

in $SU(3)$ find $\vec{\lambda}$.

of Diagonal matrices, $\lambda = \begin{pmatrix} \alpha & & \\ & \beta & \\ & & \gamma \end{pmatrix}$ $\text{tr } \lambda = 0 \Rightarrow \lambda = \begin{pmatrix} \alpha & & \\ & \beta & \\ & & -\alpha-\beta \end{pmatrix}$

\Rightarrow two diagonal matrices:

$$\lambda_3 = \begin{pmatrix} 1 & & \\ & -1 & \\ & & 0 \end{pmatrix} \quad \lambda_8 = \begin{pmatrix} 1 & & \\ & +1 & \\ & & -2 \end{pmatrix}$$

The importance of the diagonal matrices is that they provide the maximal set of mutually commuting operators whose eigenvalues characterize the elementary particles.

○

The other $\vec{\lambda}$ matrices are not diagonal

$$\lambda_1 = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_2 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \lambda_4 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

$$\lambda_5 = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} \quad \lambda_6 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \quad \lambda_7 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}$$

$(\lambda_1, \lambda_2, \lambda_3)$ form an $SU(2)$ subgroup of $SU(3)$.

Subgroup: a subgroup of generators that satisfy commutation relations among themselves,

○

$$[\lambda_1, \lambda_2] = i\lambda_3, \text{ etc.}$$

In $SU(2)$

$$\psi \rightarrow U\psi$$

$$U \rightarrow 2 \times 2 \text{ matrix } \psi \text{ - 2-vector,}$$

10/3/06.5 | MPS.105 | Friday | Abingdon

Take $\frac{1}{2} \tau_3 = \begin{pmatrix} \frac{1}{2} & 0 \\ 0 & -\frac{1}{2} \end{pmatrix}$; $\begin{pmatrix} \frac{1}{2} & 0 \\ 0 & -\frac{1}{2} \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 \\ 0 \end{pmatrix}$

$$\begin{pmatrix} \frac{1}{2} & 0 \\ 0 & -\frac{1}{2} \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = -\frac{1}{2} \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

The spinors $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$ & $\begin{pmatrix} 0 \\ 1 \end{pmatrix}$ are eigenvectors with eigenvalues $+\frac{1}{2}$ & $-\frac{1}{2}$

These are the eigenstates of τ_3 .

In $SU(2)$ we cannot characterize these states with an additional eigenvalue

we can characterize the spin exactly only in one direction, say along the z -axis.

In $SU(3)$ the analog of τ_3 is λ_3 .

$$\frac{1}{2} \lambda_3 = \begin{pmatrix} \frac{1}{2} & 0 & 0 \\ 0 & -\frac{1}{2} & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

The three eigenvectors of $\frac{1}{2} \lambda_3$ are:

$$\begin{pmatrix} \frac{1}{2} & 0 & 0 \\ 0 & -\frac{1}{2} & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \frac{1}{2} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \quad \begin{pmatrix} \frac{1}{2} & 0 & 0 \\ 0 & -\frac{1}{2} & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = -\frac{1}{2} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad \begin{pmatrix} \frac{1}{2} & 0 & 0 \\ 0 & -\frac{1}{2} & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = 0 \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

similarly to $SU(2)$ the physical states can be characterized by the eigenvalues of the operator $(\frac{1}{2} \lambda_3)$.

The eigenvectors of $\frac{1}{2} \lambda_3$ are also eigenvectors of λ_8

$$\frac{1}{2} \lambda_8 = \begin{pmatrix} \frac{1}{2\sqrt{3}} & & \\ & \frac{1}{2\sqrt{3}} & \\ & & -\frac{1}{\sqrt{3}} \end{pmatrix}$$

10/3/06, 6 | MYS | U6 | Friday | Abingdon

$$\frac{1}{2} \begin{pmatrix} 1/\sqrt{3} & & \\ & 1/\sqrt{3} & \\ & & -2/\sqrt{3} \end{pmatrix} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \frac{1}{\sqrt{12}} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \quad \frac{1}{2} \begin{pmatrix} 1/\sqrt{3} & & \\ & 1/\sqrt{3} & \\ & & -2/\sqrt{3} \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = \frac{1}{\sqrt{12}} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad \frac{1}{2} \begin{pmatrix} 1/\sqrt{3} & & \\ & 1/\sqrt{3} & \\ & & -2/\sqrt{3} \end{pmatrix} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = -\frac{1}{\sqrt{3}} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

There are no additional diagonal matrices among $\lambda_1, \dots, \lambda_8$
 therefore these are eigenvectors of λ_3 and λ_8 only.

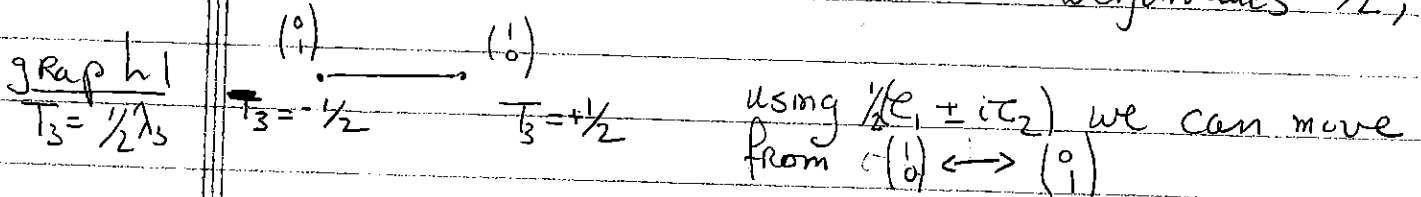
⇒ Eigenstates of $SU(3)$ are characterized by eigenvalues of λ_3 & λ_8

In $SU(2)$ we classified particles according to the value of τ_3 .

Graphical description

we define $T_3 = \frac{1}{2} \lambda_3$
 $Y = \frac{1}{3} \lambda_8$

in $SU(2)$ we had a doublet with 2 eigenvalues $\frac{1}{2}, -\frac{1}{2}$



$$e_+ = \frac{1}{2}(e_1 + iT_2) = \frac{1}{2} \left[\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} + i \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \right] = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad e_+ \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

$$e_- = \frac{1}{2}(e_1 - iT_2) = \frac{1}{2} \left[\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} - i \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \right] = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad e_- \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$e_+ \downarrow = \uparrow \quad e_+ \uparrow = 0 \quad e_- \uparrow = \downarrow \quad e_- \downarrow = 0$$

The proton and the neutron form an Isospin doublet.

⇒ therefore $T_3(p) = \frac{1}{2} \quad T_3(n) = -\frac{1}{2}$

For $SU(3)$ we characterize the states by T_3 & Y .

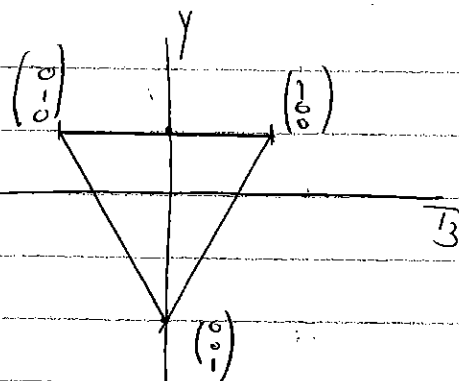
10/3/06, 7 / MPS, 107 / Friday / Abingdon /

○ $\rightarrow (\bar{3}, 4)$ plane:

$$(\bar{3}, 4) : \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \left(\frac{1}{2}, \frac{1}{3} \right)$$

$$(\bar{3}, 4) : \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = \left(-\frac{1}{2}, \frac{1}{3} \right)$$

$$(\bar{3}, 4) : \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = \left(0, -\frac{2}{3} \right)$$



\rightarrow Graphical representation of the fundamental triplet representation of $SU(3)$

The physical quantities

T_3 - third component of Isospin (same as for $SU(2)$)

Y - hypercharge.

we can exchange the τ_1, τ_2, τ_3 generators of $SU(2)$

with, $e_{\pm} = \frac{1}{2}(\tau_1 \pm i\tau_2)$ & τ_3

Similarly in $SU(3)$ define $\tau^{\pm} = \frac{1}{2}(\lambda_1 \pm i\lambda_2)$

$$e^{+} = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad \tau^{-} = \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$e^{+} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = 0 \quad \tau^{-} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \quad \tau^{+} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = 0$$

$$e^{-} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad \tau^{-} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = 0 \quad \tau^{-} \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = 0$$

○ The three points on the graphic triangular representation of the triplet of $SU(3)$ form a doublet $\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$ and a singlet $\begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$ of $SU(2)$.

10/3/06.8 | MPS. 108 | Friday | Abingdon

○ if we use only $\lambda_1, \lambda_2, \lambda_3$ we can only exchange $\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$ & $\begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$
but cannot act on $\begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$.

→ therefore we have a doublet & a singlet of $SU(2)$.

$$SU(3) \rightarrow SU(2)_I \times U(1)_Y$$
$$3 = 2_{1/3} + 1_{-3/2}$$

triplet = doublet + singlet.

The representations of $SU(3)$ decompose under $SU(2) \times U(1)$.

In $SU(3)$ we can form generators that exchange $\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$ & $\begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$.

○ $(\lambda_4 \pm i\lambda_5)$ exchanges $\begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$ & $\begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$

$(\lambda_6 \pm i\lambda_7)$ exchanges $\begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$ & $\begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$.

we used here the other $SU(3)$ generators that are not in $SU(2)_I$.

For every particle we know both T_3 & Y .

hence $SU(3) \supset SU(2)_I \times U(1)_Y$.

○ in $SU(2)$ we can have higher order representations.

For example 3: $\begin{matrix} -1 & & +1 \\ \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} & \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} & \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \end{matrix}$

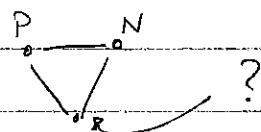
$$\begin{matrix} T_1 & T_2 & T_3 \\ \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \begin{pmatrix} 0 & -i & 0 \\ i & 0 & -i \\ 0 & 0 & 0 \end{pmatrix} & \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix} \end{matrix}$$

check $[T_i, T_j] = i\epsilon_{ijk} T_k$.

10/3/06.9 / MPS. 109 / FRIDAY / Abingdon /

○ Any 3 $n \times n$ matrices that satisfy $[T_i, T_j] = i\epsilon_{ijk} T_k$ form a representation of the $SU(2)$ algebra.

The Proton & the neutron formed a doublet of $SU(2)_I$

In the fundamental rep. of $SU(3)$  ?

There isn't a third particle with $m(?) \sim m(P) \sim m(N)$ that fits

\Rightarrow P, N form an Isospin doublet but are not part of an $SU(3)$ triplet

○ Can it be that P, N form an Isospin doublet in a higher order representation of $SU(3)$.

We want to find higher reps of $SU(3)$.

The $SU(3)$ generators obey the algebra

$$(***) \quad [T_i, T_j] = i f_{ijk} T_k$$

With f_{ijk} totally antisymmetric under exchange of any 2 indices

And:

$$f_{123} = 1 \quad f_{147} = \frac{1}{2} \quad f_{156} = -\frac{1}{2} \quad f_{246} = \frac{1}{2} \quad f_{257} = \frac{1}{2}$$

$$f_{345} = \frac{1}{2} \quad f_{357} = -\frac{1}{2} \quad f_{458} = \frac{\sqrt{3}}{2} \quad f_{678} = \frac{\sqrt{3}}{2}$$

All the others vanish.

\rightarrow matrices of higher order reps satisfy the (***) algebra.

10/3/06.10 | MPS.110 | Friday | Abingdon |

○ For $SU(2)$ we have a solution for any with matrices $(2l+1) \times (2l+1)$

For $SU(3)$ there isn't a solution at every order (e.g. order 2)

To find the higher reps we use a different method similar to the addition of angular momentum for $SU(2)$.

For $SU(2)$ $\psi^\alpha = \{|\uparrow\rangle, |\downarrow\rangle\}$. $S^2|\uparrow\rangle = \frac{1}{2}(\frac{1}{2}+1)|\uparrow\rangle$ $S^2|\downarrow\rangle = \frac{1}{2}(\frac{1}{2}+1)|\downarrow\rangle$
 $S_z|\uparrow\rangle = +\frac{1}{2}|\uparrow\rangle$ $S_z|\downarrow\rangle = -\frac{1}{2}|\downarrow\rangle$

$\psi, \phi \rightarrow$ two spin $\frac{1}{2}$ wave functions.

$\psi^\alpha \otimes \phi^\beta$:

⊖

Triplet: $\begin{cases} |\uparrow_\psi \uparrow_\phi\rangle & T=1 \quad T_3=1 \\ \frac{1}{\sqrt{2}}(|\uparrow_\psi \downarrow_\phi\rangle + |\downarrow_\psi \uparrow_\phi\rangle) & T=1 \quad T_3=0 \\ |\downarrow_\psi \downarrow_\phi\rangle & T=1 \quad T_3=-1 \end{cases}$
 ← symmetric combinations

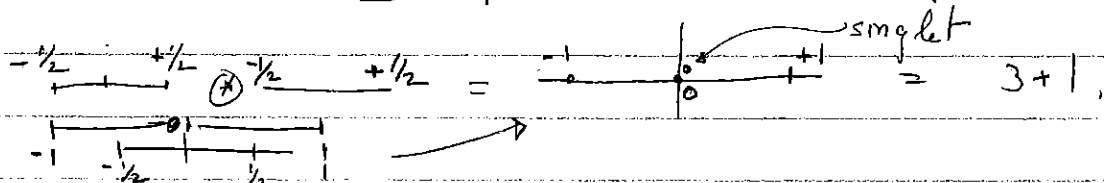
Singlet $\frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$ ← $T=0 \quad T_3=0$
 ← anti symmetric.

by taking the product of 2 isospin doublets we get states with $T_{\text{isospin}} = 1$ OR 0 .

$2 \times 2 = 3_S + 1_A$

○ we built a higher 3 representation with spin 1 from the 2 representation with spin $\frac{1}{2}$.

Graphically

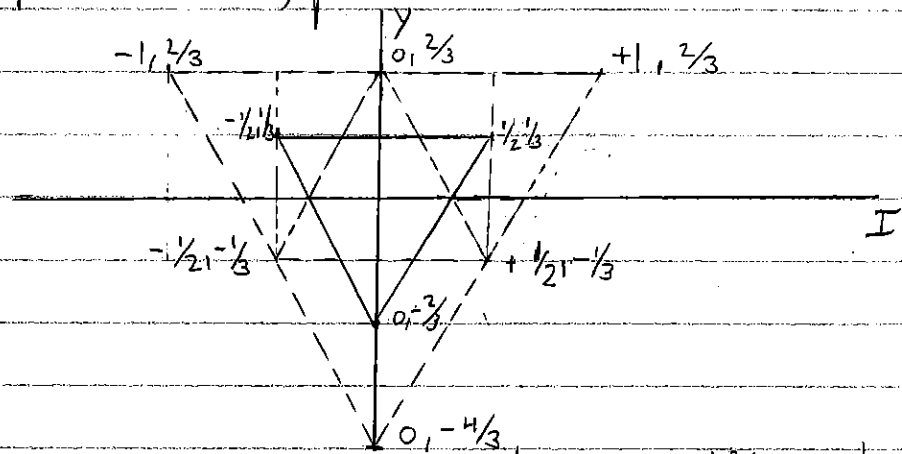


10/3/06.11 | MPS. III | Friday (Abingdon)

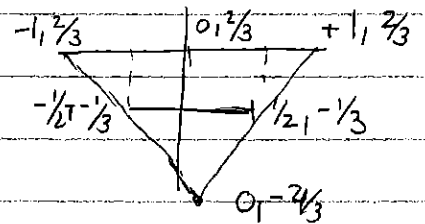
we can repeat the graphic analysis for $SU(3)$

$\psi^\alpha \psi^\beta$

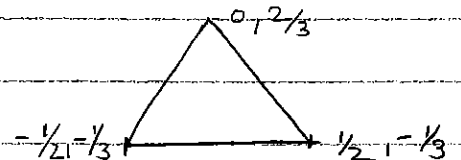
$\alpha, \beta = 1, 2, 3$



we get the following $SU(3)$ reps.



And



$$3 \times 3 = 6 + \bar{3}$$

inside the $6 = \begin{cases} (-1, \frac{2}{3}) & (0, \frac{2}{3}) & (+1, \frac{2}{3}) & \rightarrow SU(2)_I \text{ triplet} \\ (-\frac{1}{2}, \frac{1}{3}) & (\frac{1}{2}, \frac{1}{3}) & & \rightarrow SU(2)_I \text{ doublet} \\ & (0, -\frac{2}{3}) & & \rightarrow SU(2)_I \text{ singlet} \end{cases}$

$$SU(3) \supset SU(2) \times U(1)$$

$$6 = 3_{\frac{2}{3}} + 2_{-\frac{1}{3}} + 1_{-\frac{4}{3}}$$

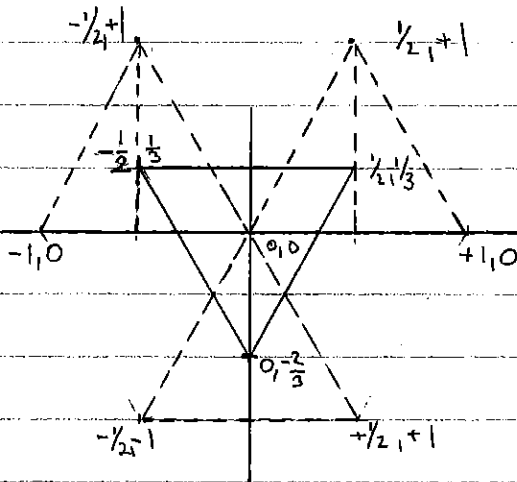
$$\bar{3} = 2_{-\frac{1}{3}} + 1_{\frac{2}{3}}$$

we got the $\bar{3}$ representation $\bar{3} \neq 3$ in $SU(2)$ $\bar{2} = 2$.

10/3/06.12 / MPS.112 / Friday / Abingdon

Are there physical particles that fit the $6 \times \bar{3}$ together with $P, 1$ not yet. Look at another possibility, $3 \times \bar{3}$

multiply every point of $\bar{3}$ at \rightarrow

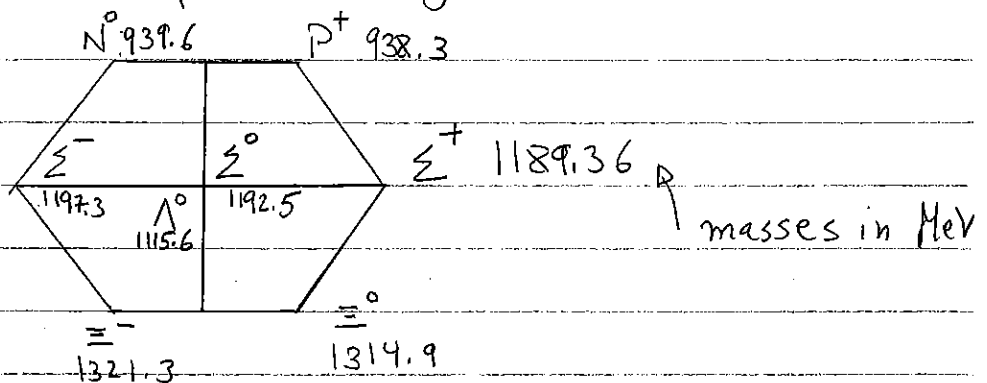


$$3 \times \bar{3} = 8 + 1 \rightarrow \text{octet} + \text{singlet}$$

under $SU(2) \times U(1)$ the octet decomposes as

$$8 = 2_{+1} + 3_0 + 2_{-1} + 1_0$$

The octet has a physical assignment.



The spin of the particles is $S = \frac{1}{2}$
Baryon number $B = +1$

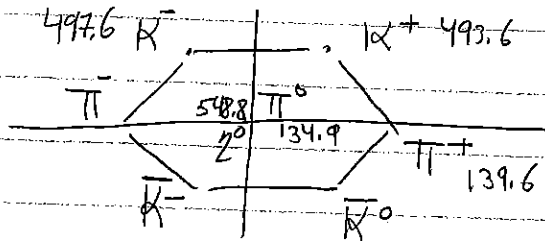
We can find a relation between the electric charge and the Isospin T_3 and hypercharge Y .

$$Q = \alpha T_3 + \beta Y \quad \left. \begin{array}{l} Q(P) = +1 = \alpha \cdot \frac{1}{2} + \beta \cdot 1 \\ Q(N) = 0 = \alpha \cdot -\frac{1}{2} + \beta \cdot 1 \end{array} \right\} \Rightarrow \alpha = 1 \quad \beta = \frac{1}{2}$$

12/3/06.1 / MPS. 113 / Sunday / Abington

Second Example

$Q = T_3 + \frac{1}{2}Y \rightarrow$ Holds for the other particles in the octet:



MESONS: $S=0$
 $B=0$

question: which representations of $SU(3)$ can represent particles and

8 - Baryons, mesons.

$3, 3^*, 6, 6^*$ - don't represent integrally charged particles

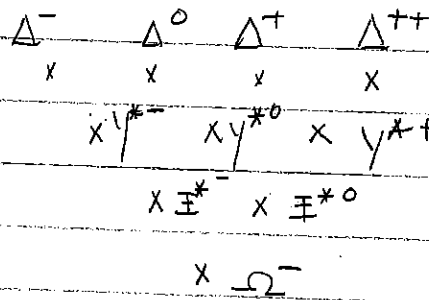
$Q = -\frac{1}{2} + \frac{1}{2} \cdot \frac{1}{3} = -\frac{1}{3} \leftarrow Q = -\frac{1}{3}$

$Q = +\frac{2}{3} \rightarrow Q = T_3 + \frac{1}{2}Y = \frac{1}{2} + \frac{1}{2}(\frac{1}{3}) = \dots$

$Q = -\frac{1}{3} \rightarrow Q = 0 + \frac{1}{2}(-\frac{2}{3}) = -\frac{1}{3}$

Perhaps the physical representations are those that yield integrally charged states.

Another physical Rep.



$S = \frac{3}{2}$

$B = \frac{3}{2}$

$m(\Delta) \sim 1230 - 1234 \text{ MeV}$
 $m(\Sigma^*) \sim 1382 \text{ MeV}$
 $m(\Xi^*) \sim 1531 \text{ MeV}$

} 150 MeV
} 150 MeV,

"The eight fold way"

The Ω^- was predicted by Gellmann with $m(\Omega^-) \sim 1680 \text{ MeV}$ and was discovered in 1964

The $SU(3)$ representations are obtained from products of $3, \bar{3}$

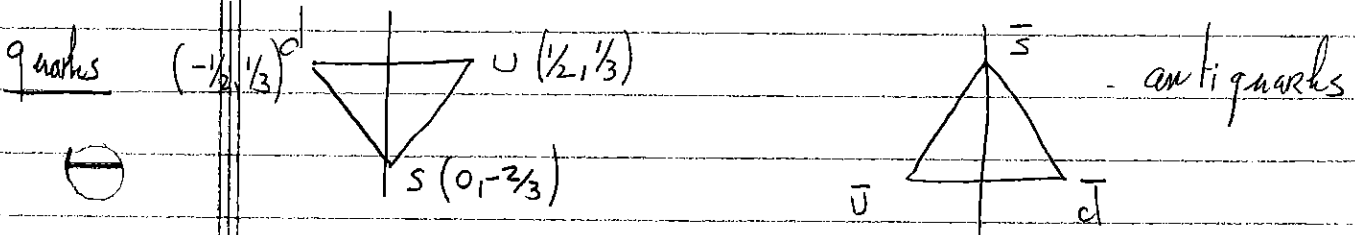
12/3/06.2 | MPS.114 | Sunday | Abingdon

○

Physical	unphysical
$3 \times \bar{3} = 8 + 1$	$3 \times 3 = 6 + \bar{3}$
$3 \times 3 \times 3 = 10 + 8 + 8 + 1$	
$\bar{3} \times \bar{3} \times \bar{3} = \bar{10} + 8 + 8 + 1$	

questions: only some products of $3, \bar{3}$ are physical, why?
 is there a physical meaning to the $3, \bar{3}$?

Gellmann & Zweig | The baryons & mesons are made of more elementary building blocks \rightarrow quarks.



- $Q(u) = \frac{2}{3}$
- $Q(d) = -\frac{1}{3}$
- $Q(s) = -\frac{1}{3}$

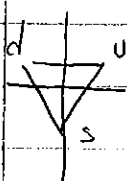

- $Q(\text{anti}u) = -\frac{2}{3}$
- $Q(\text{anti}d) = -\frac{1}{3}$
- $Q(\text{anti}s) = +\frac{1}{3}$

The spin of the quarks must be $S = \frac{1}{2} \Rightarrow S(P, N) = \frac{1}{2}$

The physical states therefore correspond to bound states of

	T_3	Y	$T_3 + \frac{1}{2}Y = C$	
quark-antiquark $\rightarrow 3 \times \bar{3}$	u	$\frac{1}{2}$	$\frac{1}{3}$	$\frac{2}{3}$
quark-quark-quark $\rightarrow 3 \times 3 \times 3$	d	$-\frac{1}{2}$	$\frac{1}{3}$	$-\frac{1}{3}$
antiquark-antiquark-antiquark $\rightarrow \bar{3} \times \bar{3} \times \bar{3}$	s	0	$-\frac{2}{3}$	$-\frac{1}{3}$

○

	T_3	Y	$T_3 + \frac{1}{2}Y = C$	
	\bar{u}	$-\frac{1}{2}$	$-\frac{1}{3}$	$-\frac{2}{3}$
	d	$\frac{1}{2}$	$-\frac{1}{3}$	$\frac{1}{3}$
	\bar{s}	0	$\frac{2}{3}$	$\frac{1}{3}$

C.C. \rightarrow Reflection about the origin

$\Gamma_n \text{ SU}(2) \quad 2 = \bar{2}$

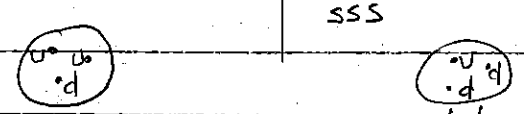
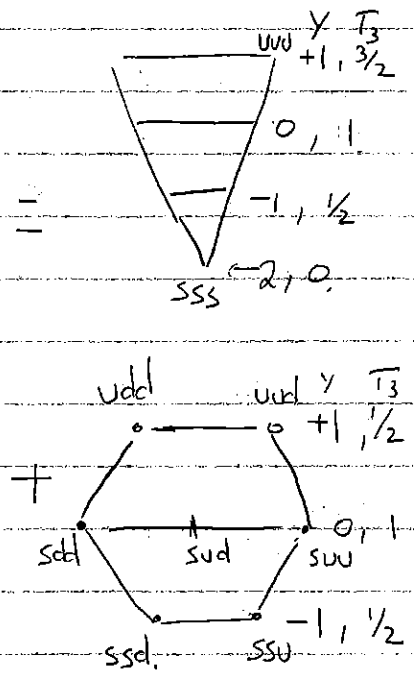
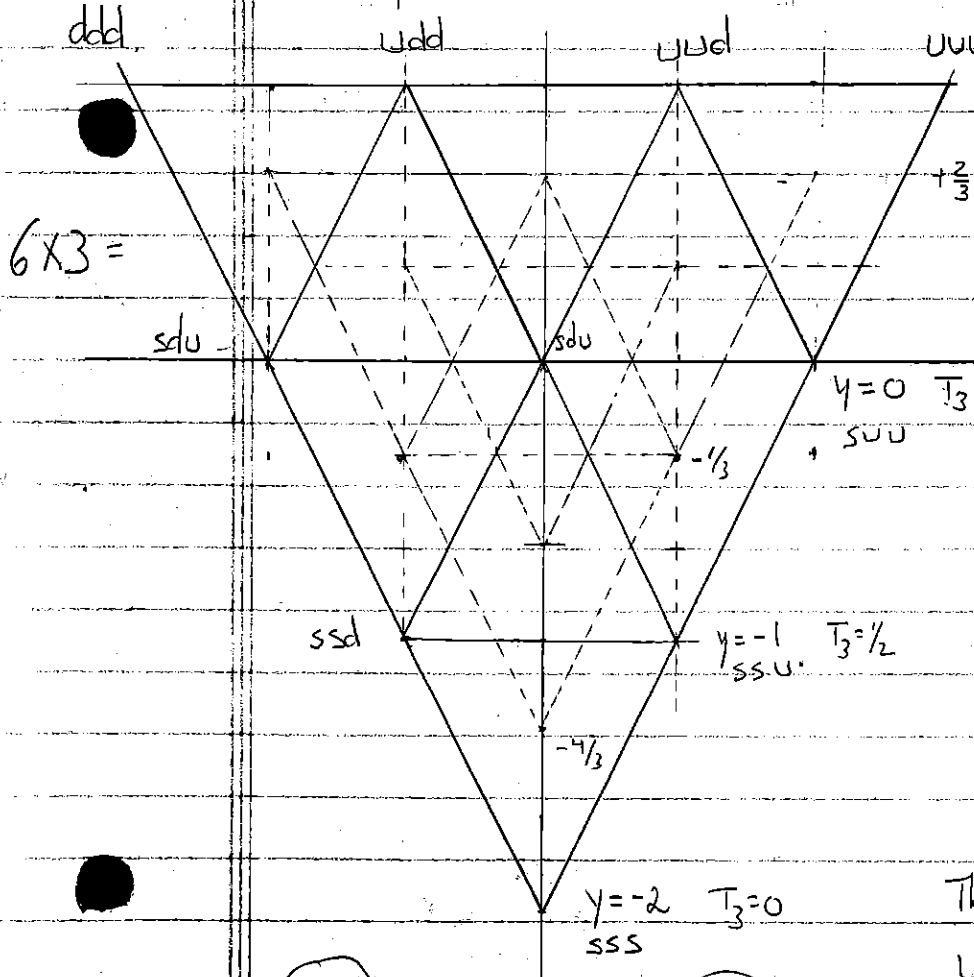
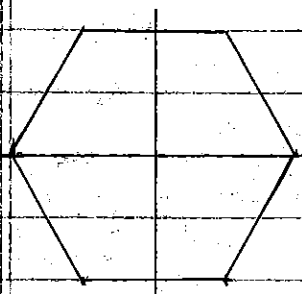
$\Gamma_n \text{ SU}(3) \quad 3 \neq \bar{3}$

Meson & baryons in the quark model.

We can now see how the proton & neutron and all the other slew of hadron resonances fit in the quark model.

baryons

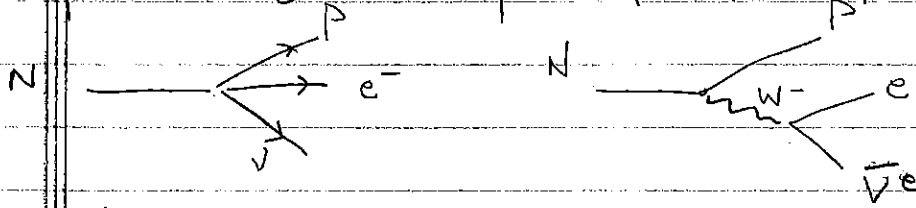
$$3 \times 3 \times 3 = (6 + \bar{3}) \times 3 = 6 \times 3 + \bar{3} \times 3 = 10 + 8 + 8 + 1$$



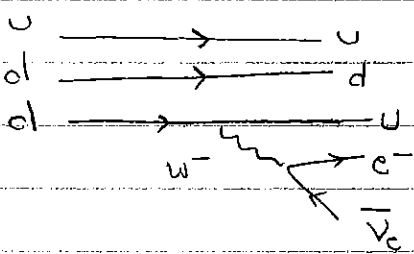
so $\underline{1}^p = uud$ $N = udd$

The 10 is a symmetric rep.
 $uuu \rightarrow \text{spin } 3/2$

○ Beta decay from the quark point of view $\bar{p} \rightarrow \bar{n}$

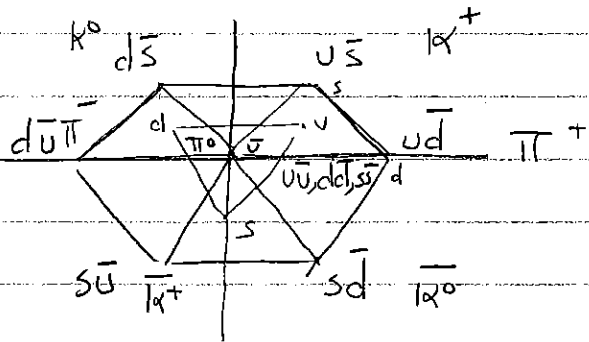


quark picture



mesons

$$3 \times \bar{3} = 8 + 1$$



$$\begin{aligned} \pi^0 &= \frac{1}{\sqrt{2}} (u\bar{u} - d\bar{d}) \\ \eta &= \frac{1}{\sqrt{6}} (u\bar{u} + d\bar{d} - 2s\bar{s}) \\ \eta' &= \frac{1}{\sqrt{3}} (u\bar{u} + d\bar{d} + s\bar{s}) \end{aligned} \left. \begin{array}{l} \\ \\ \end{array} \right\} \begin{array}{l} \in 8 \\ \\ \rightarrow 1 \end{array}$$

The decuplet is in a fully symmetric rep of SU(3) and spin

$$\begin{aligned} \Delta^{++} &= U^\uparrow U^\uparrow U^\uparrow \\ \Delta^+ &= \frac{1}{\sqrt{3}} (U^\uparrow U^\uparrow d^\uparrow + U^\uparrow d^\uparrow U^\uparrow + d^\uparrow U^\uparrow U^\uparrow) \\ \Delta^0 &= \frac{1}{\sqrt{3}} (udd + ddu + ddU) \\ \Delta^- &= d^\uparrow d^\uparrow d^\uparrow \end{aligned} \quad \frac{1}{\sqrt{2}} (\lambda_1 - i\lambda_2)$$

○ To go down the side, we operate with a lowering operator $(\lambda_4 - i\lambda_5)$ etc.

$$\Psi^+ = \frac{1}{\sqrt{3}} (UUS + USU + SUU)$$

17/3/06.3 / MP5.117

$$Y^0 = \frac{1}{\sqrt{6}} (u d s + d u s + d s u + u s d + s d u + s u d)$$

$$\Omega^- = s^\uparrow s^\uparrow s^\uparrow$$

what is the wave function of Λ ?

Λ is orthogonal to Δ^+ , both combinations of uud .

$$\Lambda = (\alpha uud + \beta udu + \gamma duu)$$

$$\Lambda \cdot \Delta^+ = 0 \Rightarrow \alpha + \beta + \gamma = 0 \Rightarrow 2 \text{ solutions.}$$

$$\Lambda_A = \sqrt{\frac{1}{2}} (ud - du) u$$

Asymmetric under $1 \leftrightarrow 2$

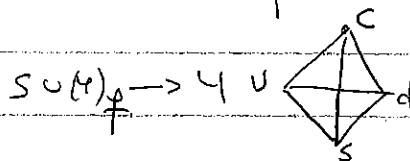
$$\Lambda_S = \sqrt{\frac{1}{6}} [(ud + du)u - 2uud]$$

symmetric under $1 \leftrightarrow 2$

Nice... but...

Problems: 1) $\Delta^{++} = u^\uparrow u^\uparrow u^\uparrow$ fully symmetric \rightarrow conflict with Pauli's spin-statistics relation.

2) charm was discovered $\rightarrow SU(3)_f \rightarrow$ not enough.



In 1974 J/ψ particle with $m \sim 3.1 \text{ GeV}$, $S_{pm} = 0 / c\bar{c}$.

bottom was discovered in 1978 $m(\Upsilon) \approx 10 \text{ GeV}$, $b\bar{b}$

top was discovered in 1994 $m_t \sim 175 \text{ GeV}$.

17/3/06.4 / MPS. 118 / Friday / Abingdon!

○ To resolve the conflict with Pauli's Exclusion principle.
A new quantum attribute is introduced - color,

All color bound states form color singlets, hence the Δ^{++} wave function is asymmetric under color and symmetric under flavor, \times spin \times space quantum numbers.

$$(qqq)_{\text{col. singlet}} = \frac{1}{\sqrt{6}} (RGB - RBG + BRG - BGR + GBR - GRB)$$

This wave function is asymmetric under exchange of any two colors.

○ \rightarrow quarks are in the fundamental of $SU(3)_{\text{color}}$ $q = \begin{pmatrix} R \\ G \\ B \end{pmatrix}$ $\bar{q} = \begin{pmatrix} \bar{R} \\ \bar{G} \\ \bar{B} \end{pmatrix}$

$SU(3)_{\text{color}}$ is a new degree of freedom different from $SU(3)_f$,

$SU(3)_{\text{color}}$ is exact $SU(3)_f$ is approximate and accidental.

similarly to $SU(3)_f$ we can introduce $SU(4)_f$, U, d, s, c ,

$SU(3)_f$ violated by mass differences of $O(100 \text{ MeV})$.

$SU(4)_f$ violated by mass differences of $O(1 \text{ GeV})$.

○ $4\bar{4} \rightarrow$ mesons, $(3\bar{3})_{\text{color}} = 8 + 1$

$4 \cdot 4 \cdot 4 \rightarrow$ baryons, $(3 \cdot 3 \cdot 3)_{\text{color}} = 10 + 8 + 8 + 1$

quarks have spin $\frac{1}{2} \rightarrow$ fermions.

○ $SU(3)_{\text{color}} \rightarrow$ Exact symmetry \rightarrow strong interactions

$U(1)_{\text{em}} \rightarrow$ Exact symmetry \rightarrow EM interactions.

under color: $q \rightarrow \bar{U} q = e^{i\vec{\alpha}(x) \cdot \vec{\lambda}} q$ $\lambda = (\lambda_1 \dots \lambda_8)$
 $\alpha = (\alpha_1 \dots \alpha_8)$

Gauge symmetry \rightarrow local phase invariance \rightarrow gauge bosons

$$\psi (\partial_\mu + i A_\mu \cdot \lambda) \psi$$

Here $A = (A_1, \dots, A_8)$

The strong interactions correspond to local

Phase invariance under $SU(3)_{\text{color}}$.

quarks are observed only in confined states inside hadrons.

\rightarrow not observed as free quarks.

Observed electric charge is integral $Q(u) = 2/3$ $Q(d) = -1/3$
 $Q(c) = 2/3$ $Q(s) = -1/3$

The bound states are (qqq) and $(q\bar{q}) \Rightarrow$ only integral combinations are observed.

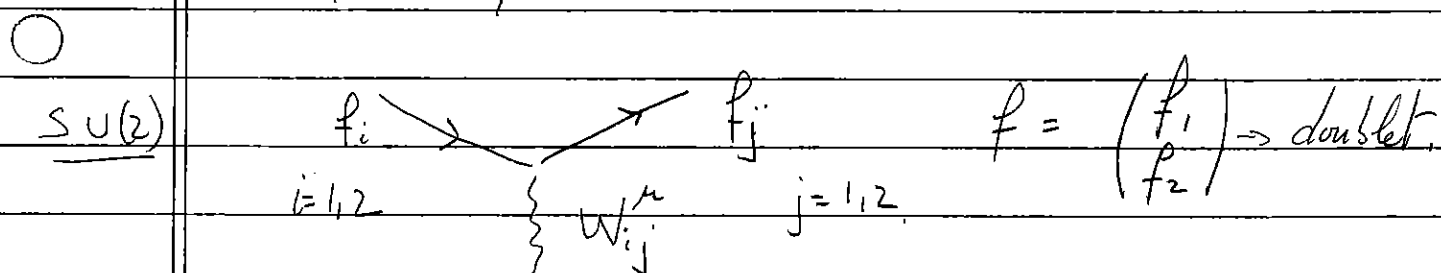
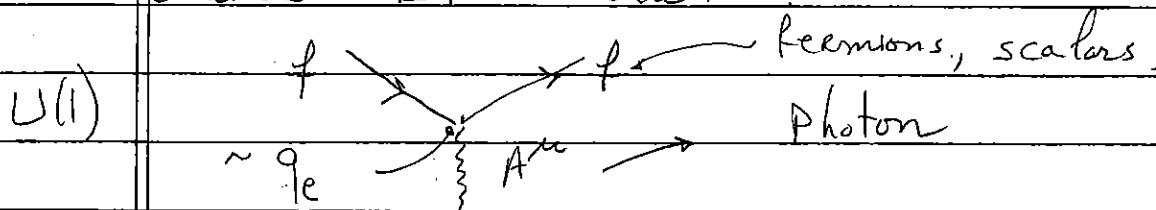
11/3/06, 6 / MPS 120 / Friday / Abington

○ what about the weak interactions?

EDM $\rightarrow U(1)$ local symmetry \rightarrow Abelian.

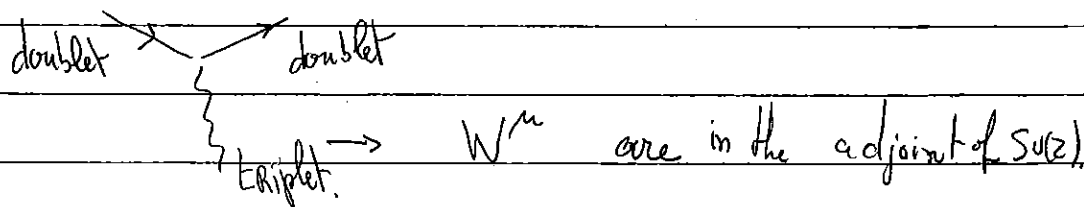
Weak $\rightarrow SU(2)$ local symmetry } \rightarrow Non Abelian
 Strong $\rightarrow SU(3)$ " }

consider EDM interactions.



The EDM interactions don't change the identity of the particles

Weak interactions f_1 may be different from f_2 .



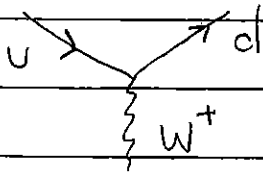
○ The gauge bosons are always in the adjoint rep. $N \times \bar{N} = \underbrace{(N^2 - 1)}_{\text{adj}} + 1$

○

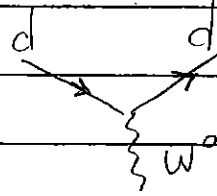
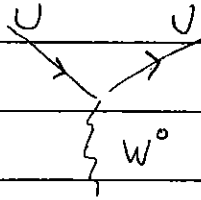
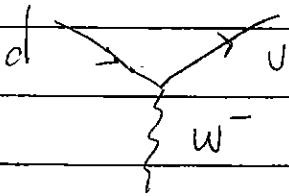
$$W_{\alpha}^{\mu}$$

$\mu = 0, 1, 2, 3$ Lorentz index
 $\alpha = 1, 2, 3$

Couplings



$$Q(u) = 2/3 \rightarrow Q(d) = -1/3$$



The current in the Lagrangian has the form,

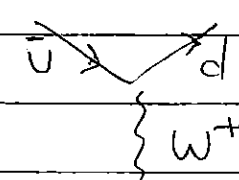
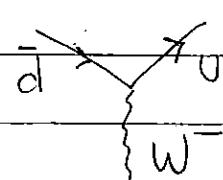
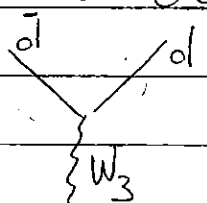
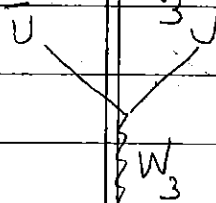
○

$$(\bar{U}, \bar{d}) \begin{pmatrix} & \\ & \\ & \end{pmatrix} \begin{pmatrix} U \\ d \end{pmatrix} \sum_{i=1}^3 \tau_i W_i^{\mu} = \vec{\tau} \cdot \vec{W}^{\mu}$$

$$= (\bar{U}, \bar{d}) \left[\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} W_1^{\mu} + \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} W_2^{\mu} + \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} W_3^{\mu} \right] \begin{pmatrix} U \\ d \end{pmatrix}$$

$$= (\bar{U}, \bar{d}) \begin{vmatrix} W_3^{\mu} & W_1^{\mu} - iW_2^{\mu} \\ W_1^{\mu} + iW_2^{\mu} & -W_3^{\mu} \end{vmatrix} \begin{pmatrix} U \\ d \end{pmatrix}$$

$$= W_3^{\mu} (\bar{U} U - \bar{d} d) + (W_1^{\mu} - iW_2^{\mu}) \bar{U} d + (W_1^{\mu} + iW_2^{\mu}) \bar{d} U$$



○

In $SU(3)$

$$(\bar{U}_1, \bar{U}_2, \bar{U}_3)$$

color

$$\begin{pmatrix} U_1 \\ U_2 \\ U_3 \end{pmatrix}$$

$$\vec{\lambda} \cdot \vec{A}^{\mu} = \lambda_j A_j^{\mu} \quad j=1, \dots, 8$$

Gellmann matrices

17/3/06.8

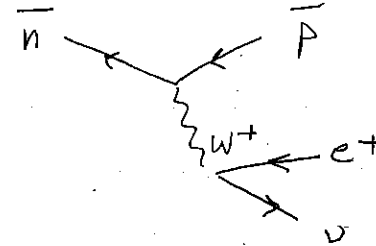
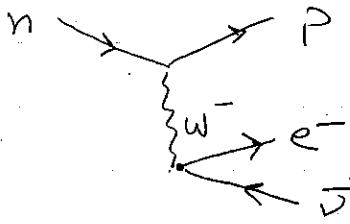
MPS.122 / Friday / Abingdon

Unification of EM & weak interactions

Glashow 1961
Weinberg 1967
Salam

Problems

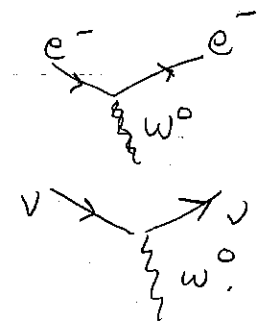
Weak interactions also involve leptons.



However, if $W^-, W^+ \in SU(2)$

we must also have

$W^0 \in SU(2)$

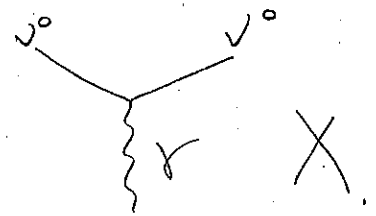


Do these currents exist in nature?

? identify $W^0 = \gamma \rightarrow$ photon?



but



ν is neutral → does not couple to γ

must have a new W^0 that couples to ν

The new W^0 must be a mixture of W^3 and B

such that $Q(W^\pm) = \pm 1$

3/6/11 | MPS, 123 | Saturday | Abington

we saw: Weak interactions only couple to left-handed fields

EM couples to both left & right handed fields,

→ only (e_L, ν_L) (u_L, d_L) interact weakly.

(e_L, e_R) (u_L, u_R, d_L, d_R) interact EM.

$$\alpha(\nu_R) = 0$$

so far no need for (ν_R)

○ i.e. no strong, weak OR EM interactions for ν_R .

Left-handed fields form doublets of $SU(2)_W$.
Right-handed fields are singlets of $SU(2)_W$.

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L$$

$$\begin{pmatrix} u \\ d \end{pmatrix}_L$$

e_R u_R d_R

$$\begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L$$

$$\begin{pmatrix} c \\ s \end{pmatrix}_L$$

μ_R c_R s_R

$$\begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}_L$$

$$\begin{pmatrix} t \\ b \end{pmatrix}_L$$

τ_R t_R b_R

○ The quarks are triplets of $SU(3)_{\text{color}}$.

The leptons are singlets of $SU(3)_{\text{color}}$.

18.3.06.2 | MPs, 124 | Saturday | Abingdon

The $SU(2)_w$ doublets have T_3^w quantum numbers.
for The $SU(2)$ singlets $T_3^w = 0$.

The $SU(2)_w$ does not contain EM interaction

We have to introduce a $U(1)$ symmetry to incorporate EM charges

$$SU(2)_w \times U(1)_y$$

But the new $U(1)_y \neq U(1)_{em}$.

All $SU(2)$ representations must have the same

$U(1)_y$ charge. But $Q(e_L) \neq Q(\nu_L)$

hence $U(1)_y \neq U(1)_{em}$.

combination $Q_{em} = T_{3w} + \frac{1}{2} Y$

Find values for Y such that Q_{em} is reproduced for the different particle state.

	T_3	$\frac{1}{2} Y$	Q_{em}		T_3	$\frac{1}{2} Y$	Q_{em}
$\begin{pmatrix} \nu_L \\ e_L \end{pmatrix}$	$\frac{1}{2}$	$-\frac{1}{2}$	0	$\begin{pmatrix} U \\ d \end{pmatrix}$	$\frac{1}{2}$	$\frac{1}{6}$	$\frac{2}{3}$
e_L	$-\frac{1}{2}$	$-\frac{1}{2}$	-1	d	$-\frac{1}{2}$	$\frac{1}{6}$	$-\frac{1}{3}$
e_R	0	-1	-1	u_R	0	$\frac{2}{3}$	$\frac{2}{3}$
ν_R	0	0	0	d_R	0	$-\frac{1}{3}$	$-\frac{1}{3}$

how can we write a four vector current j^μ that will incorporate both the weak & electromagnetic interactions

$$J_\mu^+(x) = \bar{\chi}_L \gamma_\mu \tau_+ \chi_L$$

$$J_\mu^-(x) = \bar{\chi}_L \gamma_\mu \tau_- \chi_L$$

$$J_\mu^3(x) = \bar{\chi}_L \gamma_\mu \frac{1}{2} \tau_3 \chi_L$$

$$\tau_\pm = \frac{1}{2} (\tau_1 \pm i \tau_2)$$

$$\chi_L = \begin{pmatrix} \nu \\ e^- \end{pmatrix}_L$$

$$= (\bar{\nu}, e^-)_L \gamma_\mu \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} \nu \\ e^- \end{pmatrix}_L =$$

$$= \frac{1}{2} \bar{\nu}_L \gamma_\mu \nu_L - \frac{1}{2} \bar{e}_L \gamma_\mu e_L$$

we can write these in the form.

$$J_\mu^i(x) = \bar{\chi}_L \gamma_\mu \frac{1}{2} \tau_i \chi_L \quad \text{with } i = 1, 2, 3.$$

These currents couple to the vector bosons.

$$W^{\mu\pm} = \frac{1}{\sqrt{2}} (W^\pm + i W^2)$$

using the identity $\frac{1}{2} (\tau_1 W^1 + \tau_2 W^2) = \frac{1}{\sqrt{2}} (\tau^+ W^+ + \tau^- W^-)$

we can write

$$\frac{1}{\sqrt{2}} (J_\mu^+ W^{+\mu} + J_\mu^- W^{-\mu}) + \underbrace{J_\mu^3 W^{3\mu}} = \sum_{i=1}^3 j_i^\mu W_i^\mu$$

charged currents

neutral current

The electromagnetic current.

$$j_{em}^\mu A_\mu = e Q \bar{\psi} \gamma^\mu \psi A_\mu = \underbrace{e Q \bar{\psi} \gamma^\mu \psi}_{\text{electric charge}} \underbrace{A_\mu}_{\text{coupling}} = \underbrace{e}_{\text{coupling}} \underbrace{\bar{\psi} \gamma^\mu \psi}_{\text{charge}} A_\mu$$

photon
photon
photon

24/3/06.2 | MPS.126 | Friday | Abingdon.

○ The new $U(1)$ current $\rightarrow \frac{g'}{2} \bar{\Psi} \gamma^\mu \Psi B_\mu$
 gauge coupling hyper charge gauge field.

The neutral $SO(2)$ current is.

$$g \bar{\Psi} \gamma^\mu T_3 \Psi W_\mu^3$$

with $T^3 = \tau_3/2$

To get consistency with charge assignment we should have.

$$Q = T_3 + \frac{1}{2} Y \Rightarrow J_\mu^{em} = J_\mu^3 + \frac{1}{2} J_\mu^Y$$

○ we obtain this by making a rotation on B_μ, W_μ^3

$$\begin{pmatrix} A_\mu \\ Z_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta_w & \sin \theta_w \\ -\sin \theta_w & \cos \theta_w \end{pmatrix} \begin{pmatrix} B_\mu \\ W_\mu^3 \end{pmatrix}$$

$$= \begin{pmatrix} \cos \theta_w B_\mu + \sin \theta_w W_\mu^3 \\ -\sin \theta_w B_\mu + \cos \theta_w W_\mu^3 \end{pmatrix}$$

OR. inversely.

$$\begin{pmatrix} B_\mu \\ W_\mu^3 \end{pmatrix} = \begin{pmatrix} \cos \theta_w & -\sin \theta_w \\ \sin \theta_w & \cos \theta_w \end{pmatrix} \begin{pmatrix} A_\mu \\ Z_\mu \end{pmatrix}$$

○ We get $g J_\mu^3 W_\mu^3 + \frac{g'}{2} J_\mu^Y B_\mu =$ Weinberg angle.

$$= g J_\mu^3 (\sin \theta_w A^\mu + \cos \theta_w Z^\mu) +$$

$$\frac{g'}{2} J_\mu^Y (\cos \theta_w A^\mu - \sin \theta_w Z^\mu)$$

14/3/06.3 / MPS, 127 / Friday / Abmgdon

$$= \left(g \sin \theta_w \frac{\vec{J}_\mu^3}{2} + g' \cos \theta_w \frac{\vec{J}_\mu^Y}{2} \right) A^\mu + \left(g \cos \theta_w \frac{\vec{J}_\mu^3}{2} - g' \sin \theta_w \frac{\vec{J}_\mu^Y}{2} \right) Z^\mu$$

The first term is the electromagnetic interaction

$$e \vec{J}_\mu^{\text{em}} A^\mu = e \left(\vec{J}_\mu^3 + \frac{1}{2} \vec{J}_\mu^Y \right) A^\mu$$

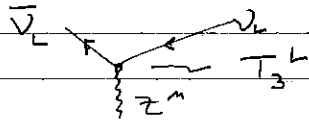
Therefore we have to impose

$$e = g \sin \theta_w = g' \cos \theta_w \Rightarrow \tan \theta_w = g'/g$$

We can express the neutral current interaction in the form

$$\frac{g}{\cos \theta_w} \left(\frac{\vec{J}_\mu^3}{2} - \sin^2 \theta_w \vec{J}_\mu^{\text{em}} \right) Z^\mu = \frac{g}{\cos \theta_w} \vec{J}_\mu^{\text{NC}} Z^\mu$$

We now have a new neutral current coupling neutrinos to Z



This neutral current was proposed by Glashow in 1961 and observed at CERN in 1970.

→ we still have a problem.

→ EM interactions → long range → $m_\gamma = 0$

→ weak interactions → short range → $m_{W/Z} \neq 0$

now → symmetry breaking.

Lagrangian - invariant, but, vacuum → symmetry broken

22/4/06.1 | MPS.128 | Saturday | Abingdon

○ The vacuum \rightarrow the state of lowest energy.

The Higgs mechanism

consider a scalar field with the Lagrangian

$$\mathcal{L} \equiv T - V = \frac{1}{2} (\partial_\mu \phi)^2 - \left(\frac{1}{2} \mu^2 \phi^2 + \frac{1}{4} \lambda \phi^4 \right)$$

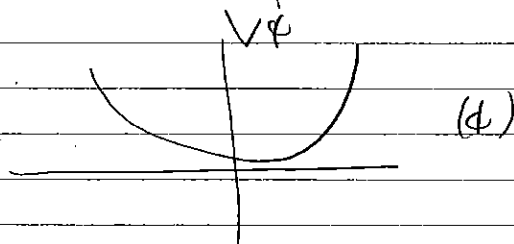
$$V(\phi) = \frac{1}{2} \mu^2 \phi^2 + \frac{1}{4} \lambda \phi^4$$

with $\lambda > 0$.

This Lagrangian is invariant under the transformation,

$$\phi \rightarrow -\phi$$

○ For $\mu^2 > 0$ the potential looks like



The Lagrangian describes a self-interacting scalar field with coupling λ and mass μ .

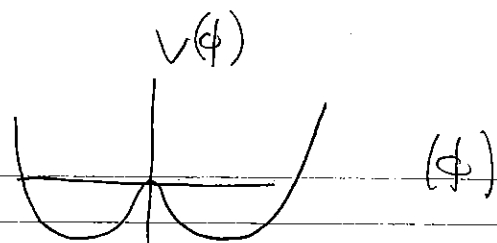
The ground states correspond to $\langle \phi \rangle = 0$.

and it obeys the reflection symmetry of

○ the Lagrangian.

12/4/06, 2 / MPS, 129 / Saturday / Abingdon /

○ For $\mu^2 < 0$



The potential has two minima at

$$\frac{\partial V}{\partial \phi} = \phi (\mu^2 + \lambda \phi^2) = 0$$

$$\Rightarrow \langle \phi \rangle = \pm v \quad \text{with} \quad v = \sqrt{\frac{-\mu^2}{\lambda}}$$

The extremum $\phi = 0$ does not correspond to the minimum of the energy.

we perform perturbative calculation around

○ the classical minimum.

$$\phi = v \quad \text{OR} \quad \phi = -v.$$

we write

$$\phi(x) = v + \eta(x)$$

$\eta(x)$ represents quantum fluctuations about

this minimum.

substituting into the Lagrangian we obtain

$$\mathcal{L}' = \frac{1}{2} \partial_\mu (v + \eta) \partial^\mu (v + \eta) - \frac{1}{2} (\mu^2 (v + \eta)^2 + \frac{\lambda}{4} (v + \eta)^4)$$

$$= \frac{1}{2} (\partial_\mu \eta)^2 - \left(\frac{\mu^2}{2} (v^2 + 2v\eta + \eta^2) + \frac{\lambda}{4} (v^4 + 4v^3\eta + 6v^2\eta^2 \right.$$

$$\left. + 4v\eta^3 + \eta^4 \right) = \frac{1}{2} (\partial_\mu \eta)^2 + \frac{\lambda v^2}{2} (v^2 + 2v\eta + \eta^2)$$

2/4/06.3 / MPS.130

$$-\frac{\lambda}{4}(v^4 + 4v^3\eta + 6v^2\eta^2 + 4v\eta^3 + \eta^4) =$$

$$\circ = \frac{1}{2}(\partial_\mu \eta)^2 - \lambda v^2 \eta^2 - \lambda v \eta^3 - \frac{\lambda}{4} \eta^4 + \text{const},$$

The field η has a mass term with the correct sign.

$$m_\eta = \sqrt{2\lambda v^2} = \sqrt{2}\mu^2$$

The higher order terms in η are self-interaction terms.

We do perturbation theory around a stable minimum.

$$\phi = +v + \eta, \quad \dagger$$

η is a massive field

The reflection symmetry is broken by the choice of the vacuum.

consider now a complex scalar field.

$$\phi = (\phi_1 + i\phi_2)/\sqrt{2}.$$

$$\mathcal{L} = (\partial_\mu \phi)^\dagger (\partial^\mu \phi) - \mu^2 \phi^\dagger \phi - \lambda (\phi^\dagger \phi)^2$$

\mathcal{L} is invariant under the global U(1) symmetry.

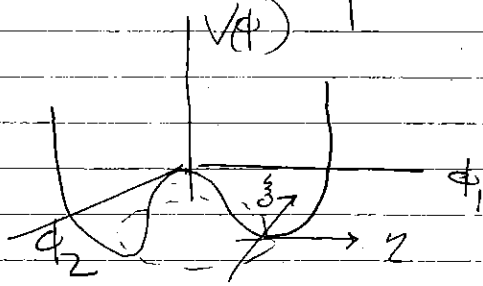
$$\phi \rightarrow e^{i\alpha} \phi.$$

For λ and $\mu^2 < 0$

(1) we rewrite the Lagrangian

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi_1)^2 + \frac{1}{2} (\partial_\mu \phi_2)^2 - \frac{1}{2} \mu^2 (\phi_1^2 + \phi_2^2) - \frac{\lambda}{4} (\phi_1^2 + \phi_2^2)^2$$

The is now a circle of minima



at $\phi_1^2 + \phi_2^2 = v^2$ with $v^2 = -\frac{\mu^2}{\lambda}$

We translate the field ϕ to, $\phi_1 = v$ $\phi_2 = 0$.

Expand the Lagrangian around the vacuum.

with $\phi(x) = \sqrt{\frac{1}{2}} [v + \eta(x) + i\zeta(x)]$

$$\mathcal{L}' = \frac{1}{2} (\partial_\mu \eta)^2 + \frac{1}{2} (\partial_\mu \zeta)^2 + \mu^2 \eta^2 + \text{const.} + \text{cubic \& quartic terms in } \eta, \zeta.$$

The term $\mu^2 \eta^2$ is a mass term for the η field $m_\eta = \sqrt{-2\mu^2}$ as before.

There is no corresponding mass term for ζ .

→ massless scalar field.

Goldstone theorem → spontaneously broken

continuous global symmetry → Goldstone boson.

22.4.06. 5 / MPS. B 2 + Saturday (Abingdon)

○ consider now a complex scalar field coupled to a continuous $U(1)$ symmetry.

$$\phi(x) \rightarrow e^{i\alpha(x)} \phi(x)$$

As we saw local invariance requires that we replace ∂_μ by

$$D_\mu = \partial_\mu - ie A_\mu$$

and the gauge field A_μ transforms as

$$A_\mu \rightarrow A_\mu + \frac{1}{e} \partial_\mu \alpha$$

○ The gauge invariant Lagrangian is

$$\mathcal{L} = (\partial_\mu + ieA_\mu) \phi^* (\partial_\mu - ieA_\mu) \phi - \frac{1}{2} \mu^2 \phi^* \phi - \lambda (\phi^* \phi)^2 - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

For $\mu^2 > 0$

Lagrangian for charged self-interacting scalar field with mass μ .

For $\mu^2 < 0$ Expand

$$\phi(x) = \frac{1}{\sqrt{2}} (v + \eta(x) + i\zeta(x))$$

$$\Rightarrow \mathcal{L}' = \frac{1}{2} (\partial_\mu \zeta)^2 + \frac{1}{2} (\partial_\mu \eta)^2 - v^2 \lambda \eta^2 + \frac{1}{2} e^2 v^2 A_\mu A_\mu - e v A_\mu \partial^\mu \zeta - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \text{interaction terms}$$

2.7.06, 6 | MPS, 133 | Saturday | Abingdon

○ The particle spectrum appears to be
massless Goldstone boson ξ , $M_\xi = 0$

massive scalar η , $m_\eta = \sqrt{2\pi}v$

a massive vector boson A_μ , $M_A = ev$

However the interpretation should be revised.

massless $A_\mu \rightarrow 2$ physical degrees of freedom,

massive $A_\mu \rightarrow 2_T + 1_L$ physical degrees of freedom,

where did the third D.O.F come from?

note that to lowest order,

○
$$\phi = \frac{1}{\sqrt{2}} (\sigma + \eta(x) + i\xi(x)) \approx \frac{1}{\sqrt{2}} (\sigma + \eta(x)) e^{i\xi(x)/v}$$

\rightarrow use a different set of fields h, θ, A_μ

$$\phi \rightarrow \frac{1}{\sqrt{2}} (\sigma + h(x)) e^{i\theta(x)/v}$$

$$A_\mu \rightarrow A_\mu + \frac{1}{ev} \partial_\mu \theta$$

substitute into \mathcal{L}

we get
$$\mathcal{L}'' = \frac{1}{2} (\partial_\mu h)^2 - \lambda v^2 h^2 + \frac{1}{2} e^2 v^2 A_\mu A^\mu - \lambda v h^3 - \frac{1}{4} \lambda h^4$$
$$+ \frac{1}{2} e^2 A_\mu h^2 + ve^2 A_\mu^2 h - \frac{1}{4} \bar{F}_{\mu\nu} F^{\mu\nu}$$

○ The Goldstone boson disappeared altogether.

\rightarrow The Goldstone boson is absorbed as the longitudinal

mode of $A_\mu \rightarrow$ only 2 physical fields h, A_μ

22.4.06.7 / MPS, 134 / Saturday / Abingdon /

we are ready to see how the Higgs mechanism operates in the standard model.

take $\mathcal{L} = (\partial_\mu \phi)^\dagger (\partial_\mu \phi) - \mu^2 (\phi^\dagger \phi) - \lambda (\phi^\dagger \phi)^2$

with $\phi = \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \phi_1 + i\phi_2 \\ \phi_3 + i\phi_4 \end{pmatrix}$.

The Lagrangian is invariant under the gauge transformation

$$\phi \rightarrow \phi' = e^{i\alpha_a \tau_a / 2} \phi$$

The covariant Derivative.

$\mathcal{D}_\mu = \partial_\mu + ig \frac{\tau_a}{2} W_\mu^a$ $a=1,2,3$.

under

$$\begin{aligned} \phi(x) &\rightarrow \phi'(x) = \left(1 + \alpha(x) \cdot \frac{\vec{\tau}}{2}\right) \phi(x) \\ \vec{W}_\mu &\rightarrow \vec{W}'_\mu = \frac{1}{g} \partial_\mu \alpha - \alpha \times \vec{W}_\mu \end{aligned}$$

The gauge invariant Lagrangian is

$$\begin{aligned} \mathcal{L} &= (\partial_\mu \phi + ig \frac{\vec{\tau}}{2} \cdot \vec{W}_\mu \phi)^\dagger (\partial^\mu \phi + ig \frac{\vec{\tau}}{2} \cdot \vec{W}^\mu \phi) - V(\phi) \\ &\quad - \frac{1}{4} \vec{W}_{\mu\nu} \cdot \vec{W}^{\mu\nu} \end{aligned}$$

where

$$\vec{W}_{\mu\nu} = \partial_\mu \vec{W}_\nu - \partial_\nu \vec{W}_\mu - g \vec{W}_\mu \times \vec{W}_\nu$$

Take $\mu^2 < 0$ $\lambda > 0$.

○ The potential has a minimum at
 $\phi^\dagger \phi = \frac{1}{2} (\phi_1^2 + \phi_2^2 + \phi_3^2 + \phi_4^2) = -\frac{\mu^2}{2\lambda}$

Expand about a minimum. choose

$$\phi_1 = \phi_2 = \phi_4 = 0 \quad \phi_3^2 = -\frac{\mu^2}{\lambda} = v^2$$

Expand $\phi(x)$ about the vacuum,

$$\phi_0 = \sqrt{\frac{1}{2}} \begin{pmatrix} 0 \\ v \end{pmatrix}$$

○
$$\phi(x) = \sqrt{\frac{1}{2}} \begin{pmatrix} 0 \\ v + h(x) \end{pmatrix}$$

The three additional degrees of freedom are absorbed as the longitudinal components of $W_1^\mu, W_2^\mu, W_3^\mu$.

The mass term

$$\left| i g \frac{1}{2} \vec{\epsilon} \cdot \vec{W}_\mu \phi \right|^2 =$$

$$\frac{g^2}{8} \left| \begin{pmatrix} W_\mu^3 & W_\mu^1 - i W_\mu^2 \\ W_\mu^1 + i W_\mu^2 & W_\mu^3 \end{pmatrix} \begin{pmatrix} 0 \\ v \end{pmatrix} \right|^2$$

$$= \frac{g^2 v^2}{8} \left[(W_\mu^1)^2 + (W_\mu^2)^2 + (W_\mu^3)^2 \right]$$

12.4.06.9 | MPS.136 | Saturday | Abingdon

Now consider the Lagrangian of the $SU(2)_L \times U(1)_Y$ of the Standard Model coupled to ϕ .

$$\phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} \text{ with } \begin{aligned} \phi^+ &= \frac{1}{\sqrt{2}} (\phi_1 + i\phi_2) \\ \phi^0 &= \frac{1}{\sqrt{2}} (\phi_3 + i\phi_4) \end{aligned}$$

$$\frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$\mathcal{L}_2 = \left| \left(i \not{\partial}_\mu - g \vec{T} \cdot \vec{W}_\mu - g' \frac{Y}{2} B_\mu \right) \phi \right|^2 - V(\phi)$$

$$V(\phi) = \frac{\mu^2}{2} (\phi^+ \phi) + \frac{\lambda}{4} (\phi^+ \phi)^2$$

with $\mu^2 < 0$ $\lambda > 0$.

Expand about $\phi_0 = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix}$

⊖ The mass terms for the gauge bosons.

$$\left| \left(-i g \frac{\vec{T}}{2} \cdot \vec{W}_\mu - i g' \frac{Y}{2} B_\mu \right) \phi \right|^2$$

$$= \frac{1}{2} \left| \begin{pmatrix} g W_\mu^3 + g' B_\mu & g(W_\mu^1 - i W_\mu^2) \\ g(W_\mu^1 + i W_\mu^2) & -g W_\mu^3 + g' B_\mu \end{pmatrix} \begin{pmatrix} 0 \\ v \end{pmatrix} \right|^2$$

$$= \frac{1}{8} v^2 g^2 \left[(W_\mu^1)^2 + (W_\mu^2)^2 \right] + \frac{v^2}{8} (g' B_\mu - g W_\mu^3) (g' B_\mu - g W_\mu^3)$$

$$\circ = \frac{1}{2} (vg)^2 W_\mu^+ W_\mu^- + \frac{1}{8} v^2 (W_\mu^3 B_\mu) \begin{pmatrix} g^2 & -gg' \\ -gg' & g'^2 \end{pmatrix} \begin{pmatrix} W_\mu^3 \\ B_\mu \end{pmatrix}$$

○ The first term is the mass term for W^+ , W^-

$$M_{W^\pm} = \frac{1}{2} v g.$$

The second term gives

$$\frac{1}{8} v^2 [g W_\mu^3 - g' B_\mu]^2 + 0 [g' W_\mu^3 + g B_\mu]^2$$

$$= \frac{1}{2} M_Z^2 Z_\mu^2 + \frac{1}{2} M_A^2 A_\mu^2$$

↓ massive ↓ massless.

normalizing the fields.

$$A_\mu = \frac{g' W_\mu^3 + g B_\mu}{\sqrt{g^2 + g'^2}} \quad \text{with } M_A = 0.$$

$$Z_\mu = \frac{g W_\mu^3 - g' B_\mu}{\sqrt{g^2 + g'^2}} \quad \text{with } M_Z = \frac{1}{2} v \sqrt{g^2 + g'^2}$$

using the relation $g'/g = \tan \theta_w$.

we have $\frac{M_W}{M_Z} = \cos \theta_w$.

○ → verified experimentally to high precision