

Higgs–Matter splitting in Heterotic–String Vacua

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- Develop : tools to study string vacua
- dictionaries between different approaches: free fermion \leftrightarrow orbifold

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Plan

1. Higgs–matter splitting in free fermion models
2. $SO(10)$ Classification & spinor–vector duality
3. Higgs–matter splitting in orbifold
4. Conclusions

Standard Model: $(SU_{321}) \oplus (Q \ L \ U \ D \ E \ N) \oplus (h) :$

\longrightarrow Unification $\longrightarrow SU(5)$

$\longrightarrow SO(10) \oplus (6 + 2 + \bar{3} + \bar{3} + 1 + 1) = 16 \oplus (5 + \bar{5}) = 10$

$\longrightarrow E_6 \rightarrow 16 + 10 + 1 = 27$

BUT need large representations : *e.g* 126 in $SO(10)$;

351 in E_6

Heterotic-string theory $E_8 \times E_8 \rightarrow E_6 \times U(1)_2 \times E_8$

BUT no large massless representations

What can we learn from phenomenological string models?

Realistic free fermionic models

'Phenomenology of the Standard Model and string unification'

- Top quark mass $\sim 175\text{--}180\text{GeV}$ PLB 274 (1992) 47
- Generation mass hierarchy NPB 407 (1993) 57
- CKM mixing NPB 416 (1994) 63 (with Halyo)
- Stringy seesaw mechanism PLB 307 (1993) 311 (with Halyo)
- Gauge coupling unification NPB 457 (1993) 409 (with Dienes)
- Proton stability NPB 428 (1994) 111
- Squark degeneracy NPB 526 (1998) 21 (with Pati)
- Minimal Superstring Standard Model PLB 455 (1999) 135
(with Cleaver & Nanopoulos)
- Moduli fixing NPB 728 (2005) 83

Other efforts

Greene, Kirklin, Miron, Ross (1987)
Donagi, Ovrut, Pantev, Waldram (1999)
Blumenhagen, Moster, Reinbacher, Weigand (2006)
Heckman, Vafa (2008)

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Geometrical

Orbifolds

Ibanez, Nilles, Quevedo (1987)
Bailin, Love, Thomas (1987)
Kobayashi, Raby, Zhang (2004)
Lebedev, Nilles, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter (2007)
Blaszczyk, Groot-Nibbelink, Ruehle, Trapletti, Vaudrevange (2010)

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Other CFTs

Gepner (1987)
Schellekens, Yankielowicz (1989)
Gato-Rivera, Schellekens (2009)

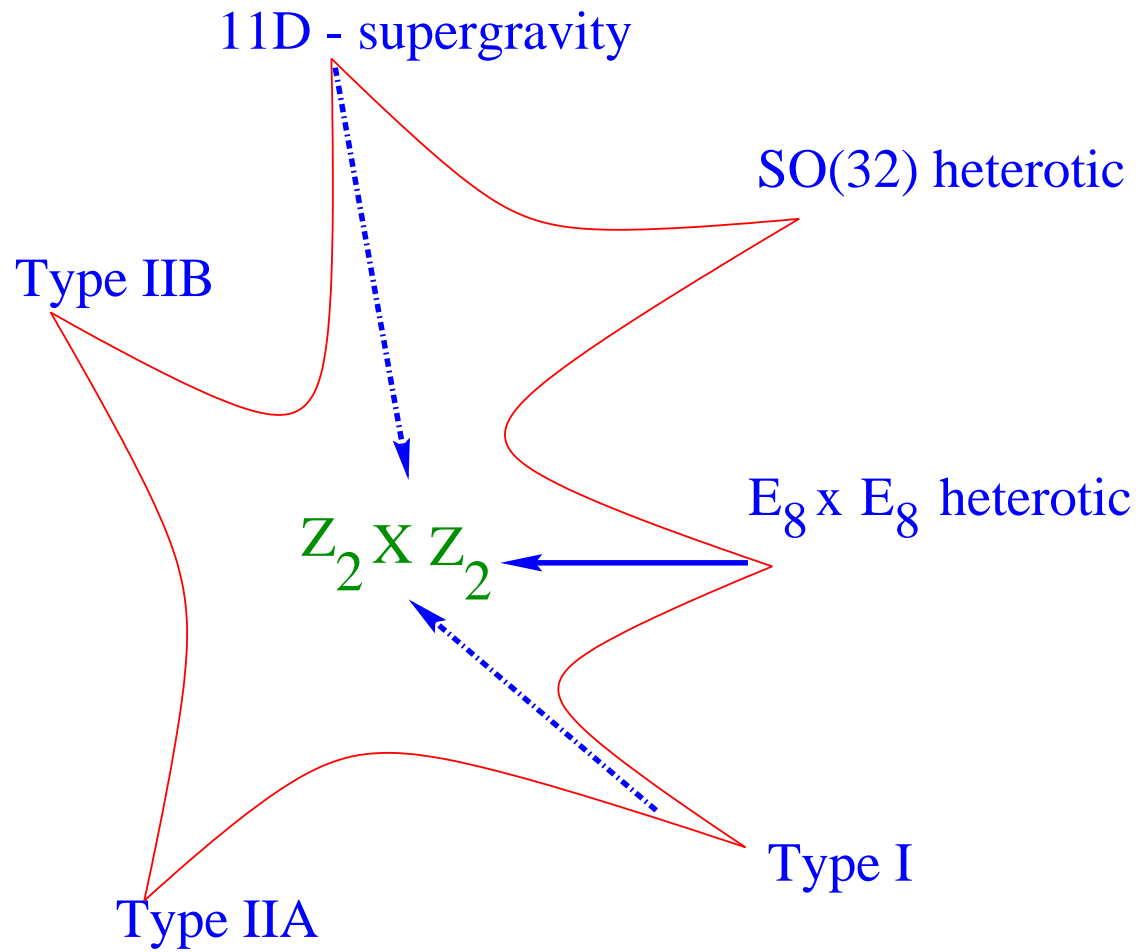
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Orientifolds

Cvetic, Shiu, Uranga (2001)
Ibanez, Marchesano, Rabadan (2001)
Kiristis, Schellekens, Tsulaia (2008)

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Point, String, Membrane



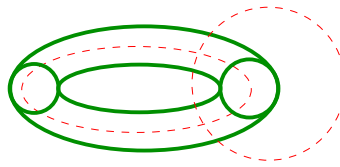
Free Fermionic Construction

Left-Movers: $\psi_{1,2}^\mu$, χ_i , y_i , ω_i ($i = 1, \dots, 6$)

Right-Movers

$$\bar{\phi}_{A=1, \dots, 44} = \left\{ \begin{array}{ll} \bar{y}_i, \bar{\omega}_i & i = 1, \dots, 6 \\ \bar{\eta}_i & i = 1, 2, 3 \\ \bar{\psi}_{1, \dots, 5} \\ \bar{\phi}_{1, \dots, 8} \end{array} \right.$$

$V \longrightarrow V$



$f \longrightarrow -e^{i\pi\alpha(f)} f$

$$Z = \sum_{\text{all spin structures}} c\left(\begin{array}{c} \vec{\alpha} \\ \vec{\beta} \end{array}\right) Z\left(\begin{array}{c} \vec{\alpha} \\ \vec{\beta} \end{array}\right)$$

Models \longleftrightarrow Basis vectors + one-loop phases

Construction of the physical states

$$b_j \quad j = 1, \dots, N \quad \rightarrow \quad \Xi = \sum_j n_j b_j$$

$$\text{For } \vec{\alpha} = (\vec{\alpha}_L; \vec{\alpha}_R) \in \Xi \Rightarrow H_{\vec{\alpha}}$$

$$\alpha(f) = 1 \Rightarrow |\pm\rangle \quad ; \quad \alpha(f) \neq 1 \Rightarrow f, f^* \quad , \quad \nu_{f, f^*} = \frac{1 \mp \alpha(f)}{2}$$

$$M_L^2 = -\frac{1}{2} + \frac{\vec{\alpha}_L \cdot \vec{\alpha}_L}{8} + N_L = -1 + \frac{\vec{\alpha}_R \cdot \vec{\alpha}_R}{8} + N_R = M_R^2 \quad (\equiv 0)$$

GSO projections $e^{i\pi(\vec{b}_i \cdot \vec{F}_\alpha)} |s\rangle_{\vec{\alpha}} = \delta_{\alpha c^*} \begin{pmatrix} \vec{\alpha} \\ \vec{b}_i \end{pmatrix} |s\rangle_{\vec{\alpha}}$

$$F_\alpha(f) \rightarrow \text{fermion \# operator} = \begin{cases} -1, & |-\rangle \\ 0, & |+\rangle \end{cases} = \begin{cases} +1, & f \\ -1, & f^* \end{cases}$$

$$Q(f) = \frac{1}{2}\alpha(f) + F(f) \rightarrow \text{U(1) charges}$$

The NAHE set : $\{ \mathbf{1}, S, b_1, b_2, b_3 \}$

$$N = 4 \rightarrow 2 \quad 1 \quad 1 \text{ vacua}$$

$Z_2 \times Z_2$ orbifold compactification

$$\Rightarrow \text{Gauge group } SO(10) \times SO(6)^{1,2,3} \times E_8$$

beyond the NAHE set Add $\{\alpha, \beta, \gamma\}$

number of generations is reduced to three

$$SO(10) \longrightarrow SU(3) \times SU(2) \times U(1)_{T_{3R}} \times U(1)_{B-L}$$

$$U(1)_Y = \frac{1}{2}(B - L) + T_{3R} \in SO(10) !$$

$$SO(6)^{1,2,3} \longrightarrow U(1)^{1,2,3} \times U(1)^{1,2,3}$$

$$\text{NAHE} \oplus (\xi_2 = \{\bar{\psi}_{1,\dots,5}, \bar{\eta}^1, \bar{\eta}^2, \bar{\eta}^3\} = 1) \rightarrow \{1, S, \xi_1, \xi_2, b_1, b_2\}$$

Gauge group: $SO(4)^3 \times E_6 \times U(1)^2 \times E_8$ and 24 generations.

toroidal compactification

$$g_{ij} = \begin{pmatrix} 2 & -1 & 0 & 0 & 0 & 0 \\ -1 & 2 & -1 & 0 & 0 & 0 \\ 0 & -1 & 2 & -1 & 0 & 0 \\ 0 & 0 & -1 & 2 & -1 & -1 \\ 0 & 0 & 0 & -1 & 2 & 0 \\ 0 & 0 & 0 & -1 & 0 & 2 \end{pmatrix} \quad b_{ij} = \begin{cases} g_{ij} & i < j \\ 0 & i = j \\ -g_{ij} & i > j \end{cases}$$

$R_i \rightarrow$ the free fermionic point \rightarrow G.G. $SO(12) \times E_8 \times E_8$

mod out by a $Z_2 \times Z_2$ with standard embedding

\Rightarrow Exact correspondence

In the realistic free fermionic models

replace $\xi_2 \equiv x = \{\bar{\psi}^{1,\dots,5}, \bar{\eta}^1, \bar{\eta}^2, \bar{\eta}^3\} = 1$

with $2\gamma = \{\bar{\psi}^{1,\dots,5}, \bar{\eta}^1, \bar{\eta}^2, \bar{\eta}^3, \bar{\phi}^{1,\dots,4}\} = 1$

Then $\{\vec{1}, \vec{S}, \vec{\xi}_1 = \vec{1} + \vec{b}_1 + \vec{b}_2 + \vec{b}_3, 2\gamma\} \rightarrow$ N=4 SUSY and

$$SO(12) \times SO(16) \times SO(16)$$

apply $b_1 \times b_2 \rightarrow Z_2 \times Z_2 \rightarrow$ N=1 SUSY and

$$SO(4)^3 \times SO(10) \times U(1)^3 \times SO(16)$$

$$b_1, \quad b_2, \quad b_3 \quad \Rightarrow \quad (3 \times 8) \cdot 16 \quad \text{of} \quad SO(10)_O$$

$$b_1 + 2\gamma, \quad b_2 + 2\gamma, \quad b_3 + 2\gamma \quad \Rightarrow \quad (3 \times 8) \cdot 16 \quad \text{of} \quad SO(16)_H$$

$$\text{Alternatively, } c \begin{pmatrix} \xi_1 \\ \xi_2 \end{pmatrix} = +1 \quad \rightarrow \quad -1$$

$$b_1 + \xi_1, \quad b_2 + \xi_1, \quad b_3 + \xi_1 \quad \Rightarrow \quad (3 \times 8) \cdot 16 \quad \text{of} \quad SO(16)_H$$

Basis vectors: consistent modular blocks 4,8 periodic fermions

$$1 = \{\psi^\mu, \chi^{1,\dots,6}, y^{1,\dots,6}, \omega^{1,\dots,6} \mid \bar{y}^{1,\dots,6}, \bar{\omega}^{1,\dots,6}, \bar{\eta}^{1,2,3}, \bar{\psi}^{1,\dots,5}, \bar{\phi}^{1,\dots,8}\}$$

$$S = \{\psi^\mu, \chi^{1,\dots,6}\},$$

$$z_1 = \{\bar{\phi}^{1,\dots,4}\},$$

$$z_2 = \{\bar{\phi}^{5,\dots,8}\},$$

$$e_i = \{y^i, \omega^i \mid \bar{y}^i, \bar{\omega}^i\}, \quad i = 1, \dots, 6,$$

$N = 4$ Vacua

$$b_1 = \{\chi^{34}, \chi^{56}, y^{34}, y^{56} \mid \bar{y}^{34}, \bar{y}^{56}, \bar{\eta}^1, \bar{\psi}^{1,\dots,5}\},$$

$N = 4 \rightarrow N = 2$

$$b_2 = \{\chi^{12}, \chi^{56}, y^{12}, y^{56} \mid \bar{y}^{12}, \bar{y}^{56}, \bar{\eta}^2, \bar{\psi}^{1,\dots,5}\},$$

$N = 2 \rightarrow N = 1$

Vector bosons: NS, $z_{1,2}$, $z_1 + z_2$, $x = 1 + s + \sum e_i + z_1 + z_2$

impose: $c \begin{bmatrix} z_1 \\ z_2 \end{bmatrix} = -1$ & Gauge group $SO(10) \times U(1)^3 \times \text{hidden}$

Independent phases $c_{[v_j]}^{[v_i]} = \exp[i\pi(v_i|v_j)]:$ **upper block**

$$\begin{array}{c}
 1 \\
 S \\
 e_1 \\
 e_2 \\
 e_3 \\
 e_4 \\
 e_5 \\
 e_6 \\
 z_1 \\
 z_2 \\
 b_1 \\
 b_2
 \end{array}
 \left(
 \begin{array}{cccccccccccc}
 1 & S & e_1 & e_2 & e_3 & e_4 & e_5 & e_6 & z_1 & z_2 & b_1 & b_2 \\
 -1 & -1 & \pm & \pm & \pm & \pm & \pm & \pm & \pm & \pm & \pm & \pm \\
 & & -1 & -1 & -1 & -1 & -1 & -1 & -1 & -1 & 1 & 1 \\
 & & & \pm & \pm & \pm & \pm & \pm & \pm & \pm & \pm & \pm \\
 & & & & \pm & \pm & \pm & \pm & \pm & \pm & \pm & \pm \\
 & & & & & \pm & \pm & \pm & \pm & \pm & \pm & \pm \\
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 & & & & & & & & & & \pm & \pm \\
 & & & & & & & & & & & \pm
 \end{array}
 \right)$$

Apriori 55 independent coefficients $\rightarrow 2^{55}$ distinct vacua

Impose: Gauge group $SO(10) \times U(1)^3 \times SO(8)^2$

\rightarrow 40 independent coefficients

The twisted matter spectrum:

$$B_{\ell_3^1 \ell_4^1 \ell_5^1 \ell_6^1}^1 = S + b_1 + \ell_3^1 e_3 + \ell_4^1 e_4 + \ell_5^1 e_5 + \ell_6^1 e_6$$

$$B_{\ell_1^2 \ell_2^2 \ell_5^2 \ell_6^2}^2 = S + b_2 + \ell_1^2 e_1 + \ell_2^2 e_2 + \ell_5^2 e_5 + \ell_6^2 e_6$$

$$B_{\ell_1^3 \ell_2^3 \ell_3^3 \ell_4^3}^3 = S + b_3 + \ell_1^3 e_1 + \ell_2^3 e_2 + \ell_3^3 e_3 + \ell_4^3 e_4 \quad \ell_i^j = 0, 1$$

sectors $B_{pqrs}^i \rightarrow 16$ or $\overline{16}$ of $SO(10)$ with multiplicity $(1, 0, -1)$

$B_{pqrs}^i + x \rightarrow 10$ of $SO(10)$ with multiplicity $(1, 0)$

Counting: for each B_{pqrs}^i :

Projectors:

$$P_{p^1 q^1 r^1 s^1}^{(1)} = \frac{1}{16} \prod \left(1 - c \left(B_{p^1 q^1 r^1 s^1}^{(1) e_i} \right) \right) \prod \left(1 - c \left(B_{p^1 q^1 r^1 s^1}^{(1) z_i} \right) \right)$$

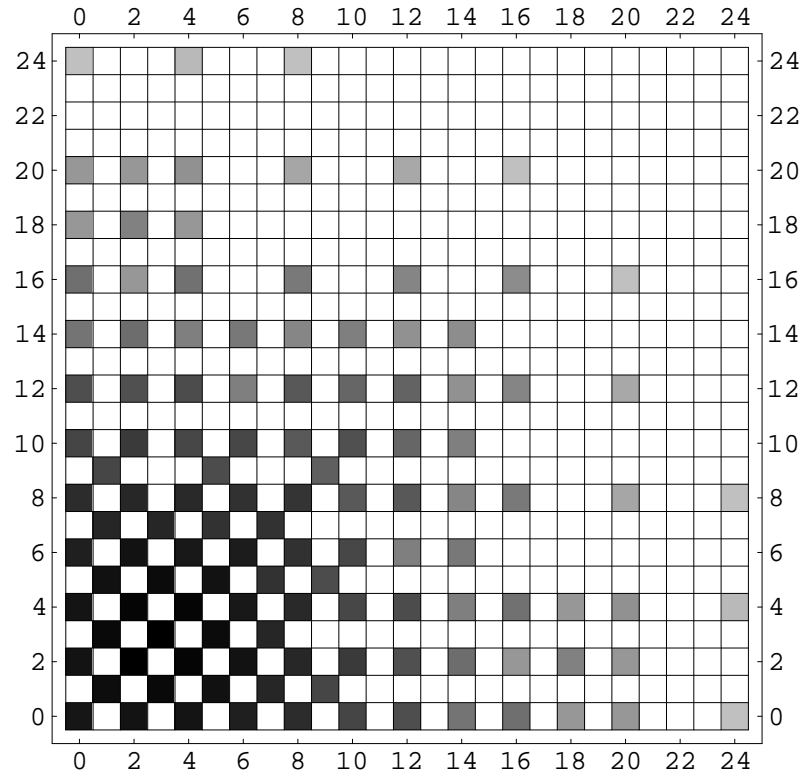
$$S_{\pm}^{(i)} = \sum_{pqrs} \frac{1 \pm X^{(i)}}{2} P_{p^i q^i r^i s^i}^{(i)}, \quad i = 1, 2, 3$$

similarly for vectorials

Algebraic formulas for $S = \sum_{i=1}^3 S_+^{(i)} - S_-^{(i)}$ and $V = \sum_{i=1}^3 V^{(i)}$

Spinor–vector duality:

Invariance under exchange of $\#(16 + \overline{16}) < - > \#(10)$



Symmetric under exchange of rows and columns

$$E_6 : \quad 27 = 16 + 10 + 1 \quad \overline{27} = \overline{16} + 10 + 1$$

Self-dual: $\#(16 + \overline{16}) = \#(10)$ without E_6 symmetry

⇒ Algebraic proof of spinor-vector duality

The number of vectorials and spinorials from a specific orbifold plane I are interchanged when the ranks of the associated Y -vectors are interchanged

$$\text{rank} \left[\Delta^{(I)}, Y_{16}^{(I)} \right] \longleftrightarrow \text{rank} \left[\Delta^{(I)}, Y_{10}^{(I)} \right]$$

Induced by GSO phase change

Using the level-one $SO(2n)$ characters

$$O_{2n} = \frac{1}{2} \left(\frac{\theta_3^n}{\eta^n} + \frac{\theta_4^n}{\eta^n} \right), \quad V_{2n} = \frac{1}{2} \left(\frac{\theta_3^n}{\eta^n} - \frac{\theta_4^n}{\eta^n} \right),$$
$$S_{2n} = \frac{1}{2} \left(\frac{\theta_2^n}{\eta^n} + i^{-n} \frac{\theta_1^n}{\eta^n} \right), \quad C_{2n} = \frac{1}{2} \left(\frac{\theta_2^n}{\eta^n} - i^{-n} \frac{\theta_1^n}{\eta^n} \right).$$

where

$$\theta_3 \equiv Z_f \begin{pmatrix} 0 \\ 0 \end{pmatrix} \quad \theta_4 \equiv Z_f \begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad \theta_2 \equiv Z_f \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \theta_1 \equiv Z_f \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

The partition function of the heterotic string on $SO(12)$ lattice:

$$Z_+ = (V_8 - S_8) \left[|O_{12}|^2 + |V_{12}|^2 + |S_{12}|^2 + |C_{12}|^2 \right] (\bar{O}_{16} + \bar{S}_{16}) (\bar{O}_{16} + \bar{S}_{16}) ,$$

and

$$\begin{aligned} Z_- = (V_8 - S_8) & \left[\left(|O_{12}|^2 + |V_{12}|^2 \right) (\bar{O}_{16}\bar{O}_{16} + \bar{C}_{16}\bar{C}_{16}) \right. \\ & + \left(|S_{12}|^2 + |C_{12}|^2 \right) (\bar{S}_{16}\bar{S}_{16} + \bar{V}_{16}\bar{V}_{16}) \\ & + (O_{12}\bar{V}_{12} + V_{12}\bar{O}_{12}) (\bar{S}_{16}\bar{V}_{16} + \bar{V}_{16}\bar{S}_{16}) \\ & \left. + (S_{12}\bar{C}_{12} + C_{12}\bar{S}_{12}) (\bar{O}_{16}\bar{C}_{16} + \bar{C}_{16}\bar{O}_{16}) \right] . \end{aligned}$$

where \pm refers to

$$c \begin{pmatrix} \xi_1 \\ \xi_2 \end{pmatrix} = \pm 1$$

connected by : $Z_- = Z_+ / a \otimes b ,$

$$a = (-1)^{F_L^{\text{int}} + F_\xi^1} , \quad b = (-1)^{F_L^{\text{int}} + F_\xi^2} .$$

Starting from:

$$Z_+ = (V_8 - S_8) \left(\sum_{m,n} \Lambda_{m,n} \right)^{\otimes 6} (\bar{O}_{16} + \bar{S}_{16}) (\bar{O}_{16} + \bar{S}_{16}) ,$$

where as usual, for each circle,

$$p_{L,R}^i = \frac{m_i}{R_i} \pm \frac{n_i R_i}{\alpha'} ,$$

and

$$\Lambda_{m,n} = \frac{q^{\frac{\alpha'}{4} p_L^2} q^{\frac{\alpha'}{4} p_R^2}}{|\eta|^2} .$$

apply $Z_2 \times Z'_2 : g \times g' = (-1)^{F_{\xi^1}} \delta_1 \times (-1)^{F_{\xi^2}} \delta_1$

with $\delta_1 X^9 = X_9 + \pi R_9$,

$$\begin{aligned}
 Z_-^{9d} = (V_8 - S_8) [& \Lambda_{2m,n} (\bar{O}_{16} \bar{O}_{16} + \bar{C}_{16} \bar{C}_{16}) \\
 & + \Lambda_{2m+1,n} (\bar{S}_{16} \bar{S}_{16} + \bar{V}_{16} \bar{V}_{16}) \\
 & + \Lambda_{2m,n+\frac{1}{2}} (\bar{S}_{16} \bar{V}_{16} + \bar{V}_{16} \bar{S}_{16}) \\
 & + \Lambda_{2m+1,n+\frac{1}{2}} (\bar{O}_{16} \bar{C}_{16} + \bar{C}_{16} \bar{O}_{16})] .
 \end{aligned}$$

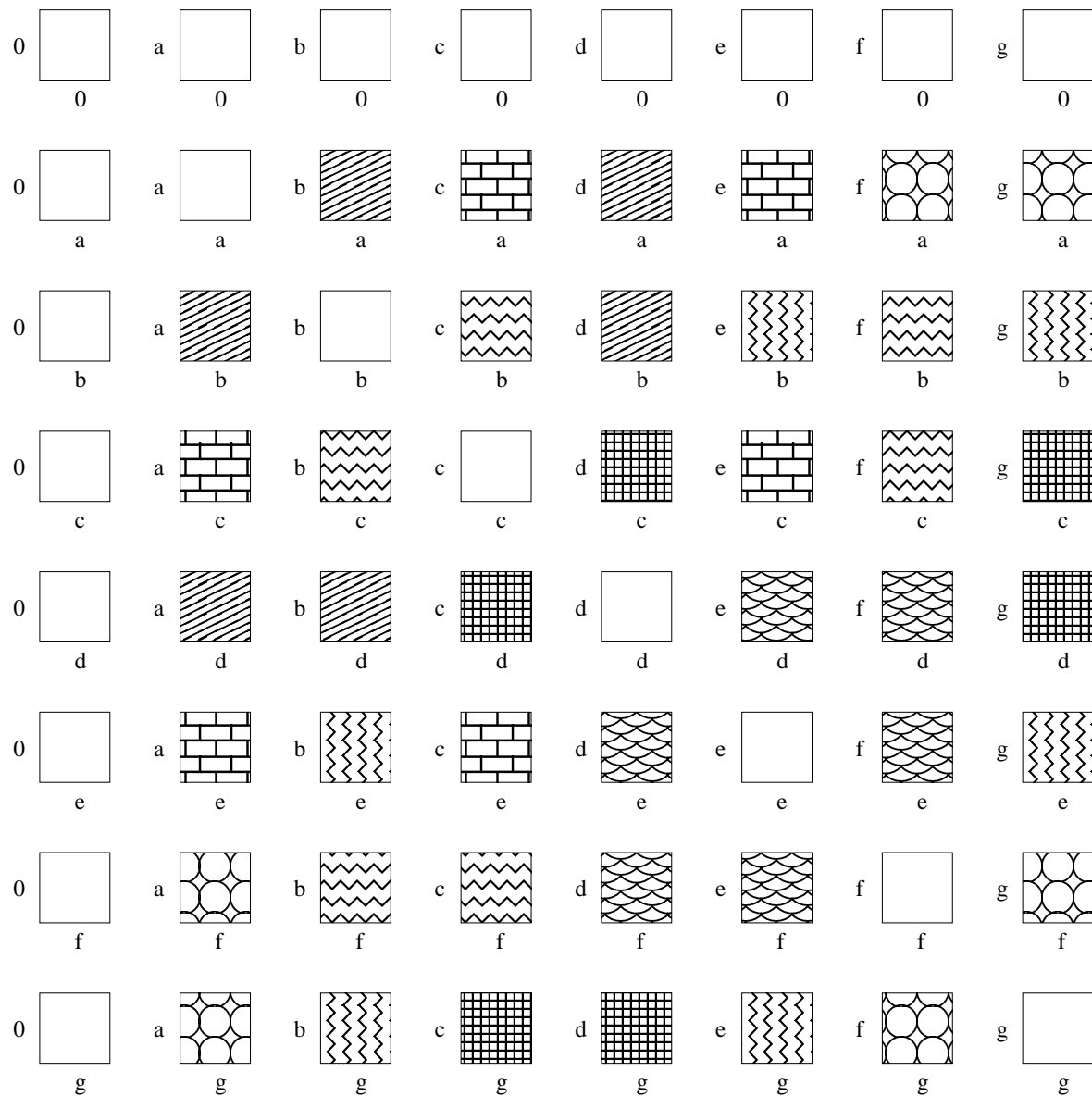
Add Z_2'' : $(x_4, x_5, x_6, x_7, x_8, x_9) \longrightarrow (+x_4, +x_5, -x_6, -x_7, -x_8, -x_9)$

Naively

$$\left(\frac{Z_+}{(Z_2 \times Z_2')} \right) / Z_2''$$

Produces massless vectorials. No massless spinorials. (Elisa Manno, 0908.3164)

\implies Analyze $\left(\frac{Z_+}{(Z_g \times Z_{g'} \times Z_{g''})} \right)$



eight independent orbits $\rightarrow \epsilon_i \quad i = 1, \dots, 7$ discrete torsions

● sector c

$$Q_c \left(\frac{1}{2} \left(\left| \frac{2\eta}{\theta_4} \right|^4 + \left| \frac{2\eta}{\theta_3} \right|^4 \right) \Lambda_{p,q} \left(\frac{\epsilon_{06}^- + \epsilon_{24}^+ (-1)^m}{2} \right) \Lambda_{m,n} \right) \bar{V}_{12} \bar{S}_4 \bar{O}_{16}$$

$$Q_c \left(\frac{1}{2} \left(\left| \frac{2\eta}{\theta_4} \right|^4 + \left| \frac{2\eta}{\theta_3} \right|^4 \right) \Lambda_{p,q} \left(\frac{\epsilon_{06}^+ - \epsilon_{24}^- (-1)^m}{2} \right) \Lambda_{m,n} \right) \bar{S}_{12} \bar{O}_4 \bar{O}_{16}$$

$\epsilon_1 = +1, \epsilon_2 = -1, \epsilon_3 = +1, \epsilon_4 = +1, \epsilon_5 = -1, \epsilon_6 = +1, \epsilon_7 = -1,$
 No gauge enhancement. Spinors massless. Vectors Massive.

$\epsilon_1 = +1, \epsilon_2 = +1, \epsilon_3 = +1, \epsilon_4 = +1, \epsilon_5 = +1, \epsilon_6 = -1, \epsilon_7 = +1,$
 No gauge enhancement. Spinors massive. Vectors Massless.

Spinor–Vector duality map $\{\epsilon_2, \epsilon_5, \epsilon_6, \epsilon_7\} \rightarrow -\{\epsilon_2, \epsilon_5, \epsilon_6, \epsilon_7\}$

Conclusions

Phenomenological string models produce interesting lessons

Higgs–matter splitting – A Cheshire Cat’s Grin

Spinor–vector duality

All string vacua are connected

String point of view: Organisation of low energy spectrum → secondary

Consistency *i.e.* number of *d.o.f.* → primary