

# ASPECTS OF FREE FERMIONIC HETEROTIC-STRING MODELS

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- AEF, 1990's (top quark mass, CKM, MSSM w Cleaver and Nanopoulos)
- AEF, C. Kounnas, J. Rizos, 2003-2009
- AEF, PLB2002, work in progress with Angelantonj, Tsulaia

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## DATA $\rightarrow$ STANDARD MODEL

$$\text{SU}(3) \times \text{SU}(2) \times \text{U}(1)_Y \longrightarrow \text{SU}(5) \longrightarrow \text{SO}(10)$$

$$\left[ \begin{pmatrix} \nu \\ e \end{pmatrix} + D_L^c \right] + \left[ U_L^c + \begin{pmatrix} u \\ d \end{pmatrix} + E_L^c \right] + N_L^c$$

$$\bar{5} \quad + \quad 10 \quad 1 \quad \quad \quad \frac{\quad}{16}$$

## STANDARD MODEL $\rightarrow$ UNIFICATION

### ADDITIONAL EVIDENCE:

Logarithmic running, proton longevity, neutrino masses

### PRIMARY GUIDES:

3 generations

SO(10) embedding

## Realistic free fermionic models

### ‘Phenomenology of the Standard Model and string unification’

- Top quark mass  $\sim 175\text{--}180\text{GeV}$  PLB 274 (1992) 47
- Generation mass hierarchy NPB 407 (1993) 57
- CKM mixing NPB 416 (1994) 63 (with Halyo)
- Stringy seesaw mechanism PLB 307 (1993) 311 (with Halyo)
- Gauge coupling unification NPB 457 (1993) 409 (with Dienes)
- Proton stability NPB 428 (1994) 111
- Squark degeneracy NPB 526 (1998) 21 (with Pati)
- Minimal Superstring Standard Model PLB 455 (1999) 135  
(with Cleaver & Nanopoulos)
- Moduli fixing NPB 728 (2005) 83

# Free Fermionic Construction

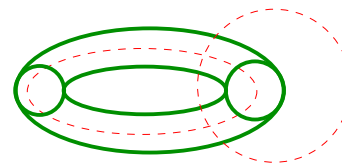
Left-Movers:  $\psi_{1,2}^\mu$ ,  $\chi_i$ ,  $y_i$ ,  $\omega_i$  ( $i = 1, \dots, 6$ )

Right-Movers

$$\bar{\phi}_{A=1, \dots, 44} = \left\{ \begin{array}{ll} \bar{y}_i, \bar{\omega}_i & i = 1, \dots, 6 \\ \bar{\eta}_i & i = 1, 2, 3 \\ \bar{\psi}_{1, \dots, 5} & \\ \bar{\phi}_{1, \dots, 8} & \end{array} \right.$$

$$f \longrightarrow -e^{i\pi\alpha(f)} f$$

$$V \longrightarrow V$$



$$Z = \sum_{\text{all spin structures}} c\left(\vec{\alpha}_{\vec{\beta}}\right) Z\left(\vec{\alpha}_{\vec{\beta}}\right)$$

Models  $\longleftrightarrow$  Basis vectors + one-loop phases

## Model building – Construction of the physical states

$$b_j \quad j = 1, \dots, N \quad \rightarrow \quad \Xi = \sum_j n_j b_j$$

$$\text{For } \vec{\alpha} = (\vec{\alpha}_L; \vec{\alpha}_R) \in \Xi \Rightarrow H_{\vec{\alpha}}$$

$$\alpha(f) = 1 \Rightarrow |\pm\rangle \quad ; \quad \alpha(f) \neq 1 \Rightarrow f, f^* \quad , \quad \nu_{f, f^*} = \frac{1 \mp \alpha(f)}{2}$$

$$M_L^2 = -\frac{1}{2} + \frac{\vec{\alpha}_L \cdot \vec{\alpha}_L}{8} + N_L = -1 + \frac{\vec{\alpha}_R \cdot \vec{\alpha}_R}{8} + N_R = M_R^2 \quad ( \equiv 0 )$$

$$\text{GSO projections} \quad e^{i\pi(\vec{b}_i \cdot \vec{F}_\alpha)} |s\rangle_{\vec{\alpha}} = \delta_\alpha c^* \begin{pmatrix} \vec{\alpha} \\ \vec{b}_i \end{pmatrix} |s\rangle_{\vec{\alpha}}$$

$$Q(f) = \frac{1}{2}\alpha(f) + F(f) \rightarrow \text{U(1) charges}$$

## Calculation of Mass Terms

nonvanishing correlators

$$\langle V_1^f V_2^f V_3^b \cdots V_N^b \rangle$$

gauge & string invariant

“anomalous”  $U(1)_A$

$$\text{Tr} Q_A \neq 0 \Rightarrow D_A = 0 = A + \sum Q_k^A |\langle \phi_k \rangle|^2$$

$$D_j = 0 = \sum Q_k^j |\langle \phi_k \rangle|^2$$

$$\langle W \rangle = \langle \frac{\partial W_N}{\partial \eta_i} \rangle = 0 \quad N = 3 \cdots$$

Supersymmetric vacuum  $\langle F \rangle = \langle D \rangle = 0$ .

nonrenormalizable terms  $\rightarrow$  effective renormalizable operators

$$V_1^f V_2^f V_3^b \cdots V_N^b \rightarrow V_1^f V_2^f V_3^b \frac{\langle V_4^b \cdots V_N^b \rangle}{M^{N-3}}$$

## The NAHE set:

$$1 = \{\psi^\mu, \chi^{1,\dots,6}, y^{1,\dots,6}, \omega^{1,\dots,6} \mid \bar{y}^{1,\dots,6}, \bar{\omega}^{1,\dots,6}, \bar{\eta}^{1,2,3}, \bar{\psi}^{1,\dots,5}, \bar{\phi}^{1,\dots,8}\}$$

$$S = \{\psi^\mu, \chi^{1,\dots,6}\}, \quad N = 4 \text{ Vacua}$$

$$b_1 = \{\chi^{34}, \chi^{56}, y^{34}, y^{56} \mid \bar{y}^{34}, \bar{y}^{56}, \bar{\eta}^1, \bar{\psi}^{1,\dots,5}\}, \quad N = 4 \rightarrow N = 2$$

$$b_2 = \{\chi^{12}, \chi^{56}, y^{12}, \omega^{56} \mid \bar{y}^{12}, \bar{\omega}^{56}, \bar{\eta}^2, \bar{\psi}^{1,\dots,5}\}, \quad N = 2 \rightarrow N = 1$$

$$b_3 = \{\chi^{12}, \chi^{34}, \omega^{12}, \omega^{34} \mid \bar{\omega}^{12}, \bar{\omega}^{34}, \bar{\eta}^3, \bar{\psi}^{1,\dots,5}\}, \quad N = 2 \rightarrow N = 1$$

$Z_2 \times Z_2$  orbifold compactification

$$\implies \text{Gauge group } SO(10) \times SO(6)^{1,2,3} \times E_8$$

beyond the NAHE set

Add  $\{\alpha, \beta, \gamma\}$

	$\psi^\mu$	$\chi^{12}$	$\chi^{34}$	$\chi^{56}$	$y^3 y^6$	$y^4 \bar{y}^4$	$y^5 \bar{y}^5$	$\bar{y}^3 \bar{y}^6$	$y^1 \omega^5$	$y^2 \bar{y}^2$	$\omega^6 \bar{\omega}^6$	$\bar{y}^1 \bar{\omega}^5$	$\omega^2 \omega^4$	$\omega^1 \bar{\omega}^1$	$\omega^3 \bar{\omega}^3$	$\bar{\omega}^2 \bar{\omega}^4$	$\bar{\psi}^{1,\dots,5}$	$\bar{\eta}^1$	$\bar{\eta}^2$	$\bar{\eta}^3$	$\bar{\phi}^{1,\dots,8}$
$\alpha$	0	0	0	0	1	0	0	1	0	0	1	1	0	0	1	1	1 1 1 0 0	1	0	0	1 1 0 0 0 0 0 0
$\beta$	0	0	0	0	0	0	1	1	1	0	0	1	0	1	0	1	1 1 1 0 0	0	1	0	0 0 1 1 0 0 0 0
$\gamma$	0	0	0	0	0	1	0	0	0	1	0	0	1	0	0	0	$\frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	0 0 0 $\frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2}$ 0

number of generations is reduced to three

$$SO(10) \longrightarrow SU(3) \times SU(2) \times U(1)_{T_{3R}} \times U(1)_{B-L}$$

$$U(1)_Y = \frac{1}{2}(B - L) + T_{3R} \in SO(10) !$$

$$SO(6)^{1,2,3} \longrightarrow U(1)^{1,2,3} \times U(1)^{1,2,3}$$



# The massless spectrum

Three twisted generations

$$b_1, \quad b_2, \quad b_3$$

Untwisted Higgs doublets

$$h_{1,0,0}$$

$$\bar{h}_{1-1,0,0}$$

$$h_{2,0,1,0}$$

$$\bar{h}_{2,0,-1,0}$$

$$h_{3,0,0,1}$$

$$\bar{h}_{3,0,0,-1}$$

Sector  $b_1 + b_2 + \alpha + \beta$

$$h_{\alpha\beta_{-\frac{1}{2},-\frac{1}{2},0,0,0,0}}$$

$$\bar{h}_{\alpha\beta_{\frac{1}{2},\frac{1}{2},0,0,0,0}}$$

$\oplus$   $SO(10)$  singlets

Sectors  $b_j + 2\gamma \quad j = 1, 2, 3 \quad \longrightarrow \quad$  hidden matter multiplets

“standard”  $SO(10)$  representations

NAHE +  $\{ \alpha, \beta, \gamma \} \rightarrow$  exotic vector-like matter  $\rightarrow$  superheavy

$\oplus$  Quasi-realistic phenomenology

## Fermion mass hierarchy

### Fermion mass terms

$$cg f_i f_j h \left( \frac{\langle \phi \rangle}{M} \right)^{N-3}$$

c - calculable coefficients g - gauge coupling

$$f_i, f_j \in b_j \quad j = 1, 2, 3$$

$h \rightarrow$  light Higgs multiplets

$$M \sim 10^{18} \text{ GeV}$$

$\langle \phi \rangle$  generalized VEVs, several sources

## Top quark mass prediction

$$\text{only } \lambda_t = \langle t^c Q_t \bar{h}_1 \rangle = \sqrt{2}g \neq 0 \text{ at } N=3$$

$$W_4 \longrightarrow b^c Q_b h_{\alpha\beta} \Phi_1 + \tau^c L_\tau h_{\alpha\beta} \Phi_1$$
$$\implies \lambda_b = \left( c_b \frac{\langle \phi \rangle}{M} \right) \quad \lambda_\tau = \left( c_\tau \frac{\langle \phi \rangle}{M} \right)$$

$$\longrightarrow \lambda_b = \lambda_\tau = 0.35g^3 \sim \frac{1}{8}\lambda_t$$

Evolve  $\lambda_t$  ,  $\lambda_b$  to low energies

$$m_t = \lambda_t v_1 = \lambda_t \frac{v_0}{\sqrt{2}} \sin \beta$$

$$m_b = \lambda_b v_2 = \lambda_b \frac{v_0}{\sqrt{2}} \cos \beta$$

$$\text{where } v_0 = \frac{2m_W}{g_2(M_Z)} = 246\text{GeV} \quad \text{and} \quad (v_1^2 + v_2^2) = \frac{v_0^2}{2}$$

$$m_t = \lambda_t(m_t) \frac{v_0}{\sqrt{2}} \frac{\tan \beta}{(1 + \tan^2 \beta)^{\frac{1}{2}}} \implies m_t \sim 175\text{GeV} \quad \text{PLB274(1992)47}$$

Find anomaly free solution

$$M_d \sim \begin{pmatrix} \epsilon & \frac{V_2 \bar{V}_3 \Phi_{\alpha\beta}}{M^3} & 0 \\ \frac{V_2 \bar{V}_3 \Phi_{\alpha\beta} \xi_1}{M^4} & \frac{\bar{\Phi}_2^- \xi_1}{M^2} & 0 \\ 0 & 0 & \frac{\Phi_1^+ \xi_2}{M^2} \end{pmatrix} v_2,$$

$$\frac{V_2 \bar{V}_3 \Phi_{\alpha\beta}}{M^3} = \frac{\sqrt{5} g^6}{64 \pi^3} \approx 2 - 3 \times 10^{-4}.$$

$\epsilon < 10^{-8}$ . Fix  $\xi_1, \xi_2, \bar{\Phi}_2^-$  to fit  $m_b, m_s$

$$\Rightarrow |V| \sim \begin{pmatrix} 0.98 & 0.2 & 0 \\ 0.2 & 0.98 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Three generation mixing  $\longrightarrow$  NPB 416 (1994) 63

$$|J| \sim 10^{-6}$$

$$\text{NAHE} \oplus (\xi_2 = \{\bar{\psi}_{1,\dots,5}, \bar{\eta}^1, \bar{\eta}^2, \bar{\eta}^3\} = 1) \rightarrow \{1, S, \xi_1, \xi_2, b_1, b_2\}$$

Gauge group:  $SO(4)^3 \times E_6 \times U(1)^2 \times E_8$  and 24 generations.

toroidal compactification  $(6_L + 6_R)$   $g_{ij}, b_{ij}$

$$g_{ij} = \begin{pmatrix} 2 & -1 & 0 & 0 & 0 & 0 \\ -1 & 2 & -1 & 0 & 0 & 0 \\ 0 & -1 & 2 & -1 & 0 & 0 \\ 0 & 0 & -1 & 2 & -1 & -1 \\ 0 & 0 & 0 & -1 & 2 & 0 \\ 0 & 0 & 0 & -1 & 0 & 2 \end{pmatrix} \quad b_{ij} = \begin{cases} g_{ij} & i \neq j \\ 0 & i = j \\ -g_{ij} & i \neq j \end{cases}$$

$R_i \rightarrow$  the free fermionic point  $\rightarrow$  G.G.  $SO(12) \times E_8 \times E_8$

mod out by a  $Z_2 \times Z_2$  with standard embedding

$\Rightarrow SO(4)^3 \times E_6 \times U(1)^2 \times E_8$  with 24 generations

Exact correspondence

In the realistic free fermionic models

replace  $X = \{\bar{\psi}^{1,\dots,5}, \bar{\eta}^1, \bar{\eta}^2, \bar{\eta}^3\} = 1$

with  $2\gamma = \{\bar{\psi}^{1,\dots,5}, \bar{\eta}^1, \bar{\eta}^2, \bar{\eta}^3, \bar{\phi}^{1,\dots,4}\} = 1$

Then  $\{\vec{1}, \vec{S}, \vec{\xi}_1 = \vec{1} + \vec{b}_1 + \vec{b}_2 + \vec{b}_3, 2\gamma\} \rightarrow$  N=4 SUSY and

$$SO(12) \times SO(16) \times SO(16)$$

apply  $b_1 \times b_2 \rightarrow Z_2 \times Z_2 \rightarrow$  N=1 SUSY and

$$SO(4)^3 \times SO(10) \times U(1)^3 \times SO(16)$$

$$b_1, \quad b_2, \quad b_3 \quad \Rightarrow \quad (3 \times 8) \cdot 16 \quad \text{of} \quad SO(10)_O$$

$$b_1 + 2\gamma, \quad b_2 + 2\gamma, \quad b_3 + 2\gamma \quad \Rightarrow \quad (3 \times 8) \cdot 16 \quad \text{of} \quad SO(16)_H$$

$$\text{Alternatively, } c \begin{pmatrix} \xi_1 \\ \xi_2 \end{pmatrix} = +1 \rightarrow -1$$

## $Z_2 \times Z_2$ orbifolds

A torus      One complex parameter       $Z = Z + n e_1 + m e_2$

$T^2 \times T^2 \times T^2 \longrightarrow$  Three complex coordinates  $z_1$ ,  $z_2$  and  $z_3$

$Z_2$  orbifold :       $Z = -Z + \sum_i m_i e_i \longrightarrow$  4 fixed points

$$Z = \{ 0, 1/2 e_1, 1/2 e_2, 1/2 (e_1 + e_2) \}$$

$$\frac{T^2 \times T^2 \times T^2}{Z_2 \times Z_2}$$

$$\begin{aligned} \alpha : (z_1, z_2, z_3) &\rightarrow (-z_1, -z_2, +z_3) \rightarrow 16 \\ \beta : (z_1, z_2, z_3) &\rightarrow (+z_1, -z_2, -z_3) \rightarrow 16 \\ \alpha\beta : (z_1, z_2, z_3) &\rightarrow (-z_1, +z_2, -z_3) \rightarrow \underline{16} \\ &48 \end{aligned}$$

$$\gamma : (z_1, z_2, z_3) \rightarrow (z_1 + 1/2, z_2 + 1/2, z_3 + 1/2) \longrightarrow 24$$

# Classification of fermionic $Z_2 \times Z_2$ orbifolds

(FKNR, FKR)

Basis vectors: consistent modular blocks 4,8 periodic fermions

$$1 = \{\psi^\mu, \chi^{1,\dots,6}, y^{1,\dots,6}, \omega^{1,\dots,6} \mid \bar{y}^{1,\dots,6}, \bar{\omega}^{1,\dots,6}, \bar{\eta}^{1,2,3}, \bar{\psi}^{1,\dots,5}, \bar{\phi}^{1,\dots,8}\}$$

$$S = \{\psi^\mu, \chi^{1,\dots,6}\},$$

$$z_1 = \{\bar{\phi}^{1,\dots,4}\},$$

$$z_2 = \{\bar{\phi}^{5,\dots,8}\},$$

$$e_i = \{y^i, \omega^i \mid \bar{y}^i, \bar{\omega}^i\}, \quad i = 1, \dots, 6,$$

$N = 4$  Vacua

$$b_1 = \{\chi^{34}, \chi^{56}, y^{34}, y^{56} \mid \bar{y}^{34}, \bar{y}^{56}, \bar{\eta}^1, \bar{\psi}^{1,\dots,5}\}, \quad N = 4 \rightarrow N = 2$$

$$b_2 = \{\chi^{12}, \chi^{56}, y^{12}, y^{56} \mid \bar{y}^{12}, \bar{y}^{56}, \bar{\eta}^2, \bar{\psi}^{1,\dots,5}\}, \quad N = 2 \rightarrow N = 1$$

Vector bosons: NS,  $z_{1,2}$ ,  $z_1 + z_2$ ,  $x = 1 + s + \sum e_i + z_1 + z_2$

impose: Gauge group  $SO(10) \times U(1)^3 \times \text{hidden}$



Independent phases  $c_{[v_j]}^{[v_i]} = \exp[i\pi(v_i|v_j)]:$  **upper block**

---

$$\begin{array}{c}
 1 \\
 S \\
 e_1 \\
 e_2 \\
 e_3 \\
 e_4 \\
 e_5 \\
 e_6 \\
 z_1 \\
 z_2 \\
 b_1 \\
 b_2
 \end{array}
 \begin{pmatrix}
 1 & S & e_1 & e_2 & e_3 & e_4 & e_5 & e_6 & z_1 & z_2 & b_1 & b_2 \\
 -1 & -1 & \pm & \pm & \pm & \pm & \pm & \pm & \pm & \pm & \pm & \pm \\
 & & -1 & -1 & -1 & -1 & -1 & -1 & -1 & -1 & 1 & 1 \\
 & & & \pm & \pm & \pm & \pm & \pm & \pm & \pm & \pm & \pm \\
 & & & & \pm & \pm & \pm & \pm & \pm & \pm & \pm & \pm \\
 & & & & & \pm & \pm & \pm & \pm & \pm & \pm & \pm \\
 & & & & & & \pm & \pm & \pm & \pm & \pm & \pm \\
 & & & & & & & \pm & \pm & \pm & \pm & \pm \\
 & & & & & & & & \pm & \pm & \pm & \pm \\
 & & & & & & & & & \pm & \pm & \pm \\
 & & & & & & & & & & \pm & \pm \\
 & & & & & & & & & & & \pm
 \end{pmatrix}$$

**Apriori 55 independent coefficients  $\rightarrow 2^{55}$  distinct vacua**

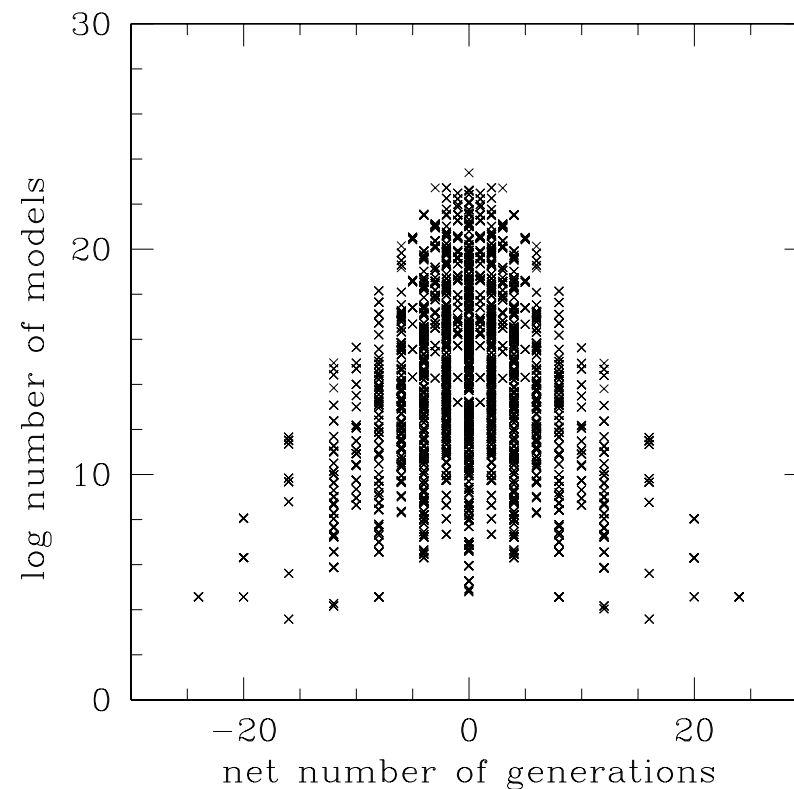
**Impose: Gauge group  $SO(10) \times U(1)^3 \times SO(8)^2$**

**$\rightarrow$  40 independent coefficients**

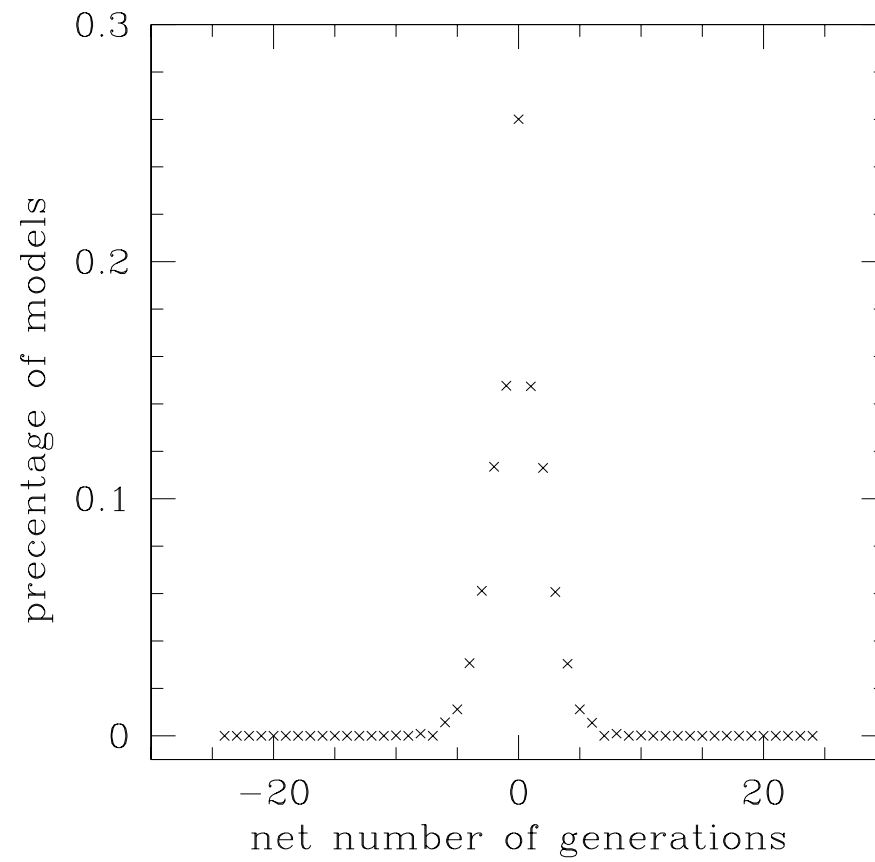
## RESULTS:

FKR I: Random sampling of phases.  $SO(10) \times U(1)^3 \times \text{hidden}$

FKR II: Complete classification.  $SO(10) \times U(1)^3 \times SO(8)^2$



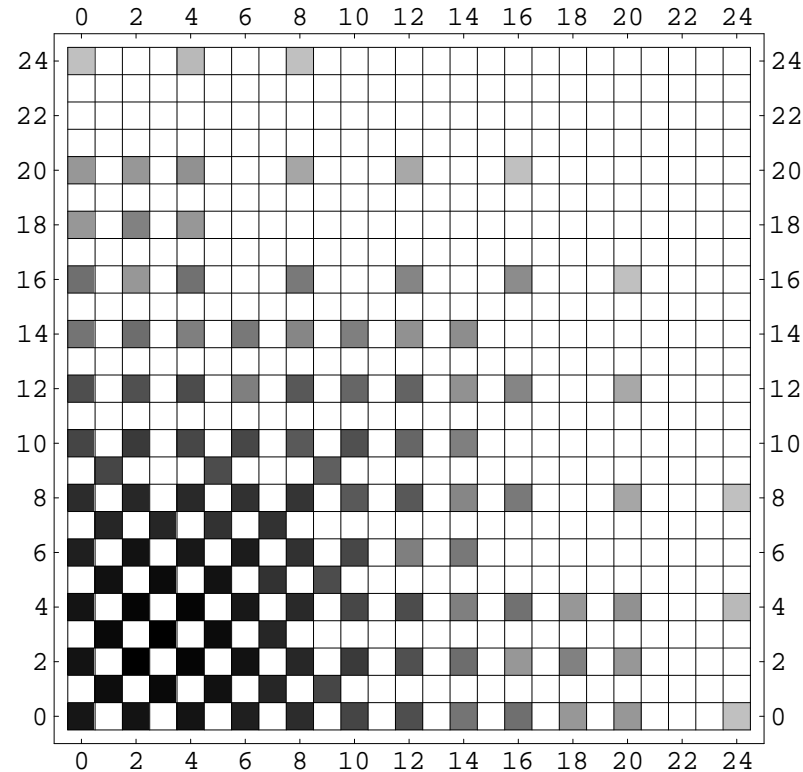
RESULTS ARE SIMILAR



$7 \times 10^9$  models  $\sim$  15% with 3 gen FKRI

## Spinor–vector duality:

Invariance under exchange of  $\#(16 + \overline{16}) < - > \#(10)$



Symmetric under exchange of rows and columns

Self-dual:  $\#(16 + \overline{16}) = \#(10)$  without  $E_6$  symmetry

Future:

Understand in geometrical terms:

Progress:

Tristan Catelin-Jullien, AEF, C. Kounnas, J. Rizos, NPB2009 →

Operational understanding in terms of partition function free phases

## NAHE-based partition functions:

Question:

$$\frac{T^6}{Z_2 \times Z_2} \rightarrow 48 \text{ fixed points}$$

$$\frac{SO(12)}{Z_2 \times Z_2} \rightarrow 24 \text{ fixed points}$$

$$Z_2 \text{ shift} : 48 \longleftrightarrow 24$$

Is this the same vacuum? In general, no.

shift that reproduces the  $SO(12)$  lattice at the free fermionic point?

### Possible shifts:

$$A_1 : X_{L,R} \rightarrow X_{L,R} + \frac{1}{2}\pi R ,$$

$$A_2 : X_{L,R} \rightarrow X_{L,R} + \frac{1}{2} \left( \pi R \pm \frac{\pi \alpha'}{R} \right) ,$$

$$A_3 : X_{L,R} \rightarrow X_{L,R} \pm \frac{1}{2} \frac{\pi \alpha'}{R} .$$

Using the level-one  $SO(2n)$  characters

$$\begin{aligned} O_{2n} &= \frac{1}{2} \left( \frac{\vartheta_3^n}{\eta^n} + \frac{\vartheta_4^n}{\eta^n} \right) , & V_{2n} &= \frac{1}{2} \left( \frac{\vartheta_3^n}{\eta^n} - \frac{\vartheta_4^n}{\eta^n} \right) , \\ S_{2n} &= \frac{1}{2} \left( \frac{\vartheta_2^n}{\eta^n} + i^{-n} \frac{\vartheta_1^n}{\eta^n} \right) , & C_{2n} &= \frac{1}{2} \left( \frac{\vartheta_2^n}{\eta^n} - i^{-n} \frac{\vartheta_1^n}{\eta^n} \right) . \end{aligned}$$

## The partition function of the heterotic string on $SO(12)$ lattice:

$$Z_+ = (V_8 - S_8) \left[ |O_{12}|^2 + |V_{12}|^2 + |S_{12}|^2 + |C_{12}|^2 \right] (\bar{O}_{16} + \bar{S}_{16}) (\bar{O}_{16} + \bar{S}_{16}) ,$$

and

$$\begin{aligned} Z_- = (V_8 - S_8) & \left[ \left( |O_{12}|^2 + |V_{12}|^2 \right) (\bar{O}_{16}\bar{O}_{16} + \bar{C}_{16}\bar{C}_{16}) \right. \\ & + \left( |S_{12}|^2 + |C_{12}|^2 \right) (\bar{S}_{16}\bar{S}_{16} + \bar{V}_{16}\bar{V}_{16}) \\ & + (O_{12}\bar{V}_{12} + V_{12}\bar{O}_{12}) (\bar{S}_{16}\bar{V}_{16} + \bar{V}_{16}\bar{S}_{16}) \\ & \left. + (S_{12}\bar{C}_{12} + C_{12}\bar{S}_{12}) (\bar{O}_{16}\bar{C}_{16} + \bar{C}_{16}\bar{O}_{16}) \right] . \end{aligned}$$

where  $\pm$  refers to

$$c \begin{pmatrix} \xi_1 \\ \xi_2 \end{pmatrix} = \pm 1$$

connected by :  $Z_- = Z_+ / a \otimes b ,$

$$a = (-1)^{F_L^{\text{int}} + F_\xi^1} , \quad b = (-1)^{F_L^{\text{int}} + F_\xi^2} .$$



Starting from:

$$Z_+ = (V_8 - S_8) \left( \sum_{m,n} \Lambda_{m,n} \right)^{\otimes 6} (\bar{O}_{16} + \bar{S}_{16}) (\bar{O}_{16} + \bar{S}_{16}) ,$$

where as usual, for each circle,

$$p_{L,R}^i = \frac{m_i}{R_i} \pm \frac{n_i R_i}{\alpha'} ,$$

and

$$\Lambda_{m,n} = \frac{q^{\frac{\alpha'}{4} p_L^2} \bar{q}^{\frac{\alpha'}{4} p_R^2}}{|\eta|^2} .$$

Add shifts :  $(A_1, A_1, A_1)$  ,  $(A_3, A_3, A_3)$

$(48 \rightarrow 24 \text{ yes})$

$(SO(12)? \text{ no})$

Uniquely:

$$g : (A_2, A_2, 0),$$

$$h : (0, A_2, A_2),$$

where each  $A_2$  acts on a complex coordinate

$$(48 \rightarrow 24 \text{ yes})$$

$$(SO(12)? \text{ yes})$$

# A STRINGY DOUBLET-TRIplet SPLITTING MECHANISM

	$\psi^\mu$	$\chi^{12}$	$\chi^{34}$	$\chi^{56}$	$y^3 y^6$	$y^4 \bar{y}^4$	$y^5 \bar{y}^5$	$\bar{y}^3 \bar{y}^6$	$y^1 \omega^5$	$y^2 \bar{y}^2$	$\omega^6 \bar{\omega}^6$	$\bar{y}^1 \bar{\omega}^5$	$\omega^2 \omega^4$	$\omega^1 \bar{\omega}^1$	$\omega^3 \bar{\omega}^3$	$\bar{\omega}^2 \bar{\omega}^4$	$\bar{\psi}^{1,\dots,5}$	$\bar{\eta}^1$	$\bar{\eta}^2$	$\bar{\eta}^3$	$\bar{\phi}^{1,\dots,8}$
$\alpha$	0	0	0	0	1	0	0	1	0	0	1	1	0	0	1	1	1 1 1 0 0	1	0	0	1 1 0 0 0 0 0 0
$\beta$	0	0	0	0	0	0	1	1	1	0	0	1	0	1	0	1	1 1 1 0 0	0	1	0	0 0 1 1 0 0 0 0
$\gamma$	0	0	0	0	0	1	0	0	0	1	0	0	1	0	0	0	$\frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	0 0 0 $\frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2}$

$$\text{NAHE} \quad \rightarrow \quad \chi_j \bar{\psi}^{1,\dots,5} \bar{\eta}_j + c.c. \rightarrow (5 + \bar{5})_j = 10_j \text{ of } SO(10)$$

$$\alpha, \beta \quad \rightarrow \quad SO(10) \quad \rightarrow \quad SO(6) \times SO(4)$$

$$\Delta_j = |\alpha_L(T_2^j) - \alpha_R(T_2^j)|$$

$$\Delta_j = 0 \rightarrow D_j, \bar{D}_j$$

$$\Delta_j = 1 \rightarrow h_j, \bar{h}_j$$

$h_1, \bar{h}_1, D_1, \bar{D}_1, h_2, \bar{h}_2, D_2, \bar{D}_2$  are projected out

$h_3, \bar{h}_3$  remain in the spectrum

## Conclusions

Phenomenological string models produce interesting lessons

Spinor–vector duality

relevance of non–standard geometries

Free Fermionic Models  $\longrightarrow$   $Z_2 \times Z_2$  orbifold near the self–dual point

Duality & Self–Duality  $\Leftrightarrow$  String Vacuum Selection

# The massless spectrum

Three twisted generations

$$b_1, \quad b_2, \quad b_3$$

Untwisted Higgs doublets

$$h_{1,0,0} \quad \bar{h}_{1-1,0,0}$$

$$h_{2,0,1,0} \quad \bar{h}_{2,0,-1,0}$$

$$h_{3,0,0,1} \quad \bar{h}_{3,0,0,-1}$$

Sector  $b_1 + b_2 + \alpha + \beta$

$$h_{\alpha\beta_{-\frac{1}{2},-\frac{1}{2},0,0,0,0}} \quad \bar{h}_{\alpha\beta_{\frac{1}{2},\frac{1}{2},0,0,0,0}}$$

$\oplus$   $SO(10)$  singlets

Sectors  $b_j + 2\gamma \quad j = 1, 2, 3 \quad \longrightarrow \quad$  hidden matter multiplets

“standard”  $SO(10)$  representations

NAHE +  $\{ \alpha, \beta, \gamma \} \rightarrow$  exotic vector-like matter  $\rightarrow$  superheavy

$\oplus$  Quasi-realistic phenomenology

## Moduli?

Untwisted moduli — > shape & size of the internal dimensions

Twisted moduli — > arise from the twisted sectors

## models

$$\frac{T^6}{Z_2 \times Z_2}$$

$$T^6 : \quad G_{IJ} \quad ; \quad B_{IJ} \quad I, J = 1, \dots, 6 .$$

untwisted moduli: coefficients of exactly marginal operators

moduli fields: massless chiral superfields with flat scalar potential

Scalar couplings of  $N = 4$  SUGRA

$$\frac{SO(6,6)}{SO(6) \times SO(6)} \quad \times \quad \frac{SU(1,1)}{U(1)}$$

internal manifold                      dilaton

## Up to orbifold projections

$$\frac{T^6}{Z_2 \times Z_2} \implies \frac{SO(6,6)}{SO(6) \times SO(6)} \longrightarrow \left( \frac{SO(2,2)}{SO(2) \times SO(2)} \right)^3$$

$\Rightarrow$  3 complex structures + 3 Kähler moduli

In all symmetric  $Z_2 \times Z_2$  orbifolds

We would like to identify these moduli in the fermionic formalism

In symmetric orbifolds:

$$\text{EMO} \rightarrow \partial X^I \bar{\partial} X^J$$

$$X^I \quad I = 1, \dots, 6 \rightarrow T^6$$

$$S = \frac{1}{8\pi} \int d^2\sigma \left( G_{IJ} \partial X^I \bar{\partial} X^J + B_{IJ} \partial X^I \bar{\partial} X^J \right)$$

In FFF  $\partial X_L^I \rightarrow y^I \omega^I$

$i\partial X_L^I \rightarrow U(1)$  current in the Cartan subalgebra

In the fermionic language

$$i\partial X_L^I \rightarrow J_L^I = y^I \omega^I$$

$$\Rightarrow \partial X^I \bar{\partial} X^J \rightarrow J_L^I(z) \bar{J}_R(\bar{z})$$

→ WS Thirring interactions  $(R - \frac{1}{R}) J_L(z) \bar{J}(\bar{z})$

Thirring interactions vanish at free fermionic point with  $R = \frac{1}{R}$

To identify the untwisted moduli in the free fermionic models

→ find the operators of the form

$$J_L^I(z) \bar{J}_R^J(\bar{z})$$

that are allowed by the orbifold (fermionic) symmetry group



## Bosonization

$$\xi_i = \sqrt{\frac{1}{2}}(y_i + i\omega_i) = e^{iX_i}, \eta_i = \sqrt{\frac{i}{2}}(y_i - i\omega_i) = ie^{-iX_i}$$

similarly for the right-movers

Boundary conditions translate to twists and shifts

$$X^I(z, \bar{z}) = X_L^I(z) + X_R^I(\bar{z})$$

Complex internal coordinates

$$Z_k^\pm = (X^{2k-1} \pm iX^{2k}), \quad \psi_k^\pm = (\chi^{2k-1} \pm i\chi^{2k}) \quad (k = 1, 2, 3)$$

## Untwisted moduli

$$S = \int d^2z h_{ij}(X) J_L^i(z) \bar{J}_R^j(\bar{z})$$

$$J_L^i \sim y^i \omega^i \quad i = 1, \dots, 6 \text{ are chiral currents of } U(1)_L^6$$

$$J_R^j \sim \bar{\phi}^j \bar{\phi}^{*j} \quad j = 1, \dots, 22 \text{ are chiral currents of } U(1)_R^{22}$$

$h_{ij} \rightarrow$  scalar components of untwisted moduli

some of these operators are projected out in concrete models

$\Rightarrow$  some of the EMO may not be invariant

Models

$\{\mathbf{1}, S\}$

$N = 4$

$SO(44)$

$$\frac{SO(6, 22)}{SO(6) \times SO(22)}$$

Moduli space

$$\chi^i \otimes \bar{\phi}^a \bar{\phi}^{*a} |0\rangle$$

moduli fields

$$6 \times 22$$

scalar fields

$$Z_2 \times Z_2 \quad \{ 1, S, \xi_1, \xi_2 \} + \{ b_1, b_2 \}$$

$$SO(12) \times E_8 \times E_8 \quad Z_2 \times Z_2$$

$$\rightarrow SO(4)^3 \times E_6 \times U(1)^2 \times E_8$$

The Thirring interactions that remain invariant are

$$J_L^{1,2} \bar{J}_R^{1,2} \quad ; \quad J_L^{3,4} \bar{J}_R^{3,4} \quad ; \quad J_L^{5,6} \bar{J}_R^{5,6}$$

$$y^{1,2} \omega^{1,2} \bar{y}^{1,2} \bar{\omega}^{1,2} \quad ; \quad y^{3,4} \omega^{3,4} \bar{y}^{3,4} \bar{\omega}^{3,4} \quad ; \quad y^{5,6} \omega^{5,6} \bar{y}^{5,6} \bar{\omega}^{5,6}$$

These moduli are always present in symmetric  $Z_2 \times Z_2$  orbifolds

in realistic models

$$\{ 1 , S , \xi_1 , \xi_2 \} \oplus \{ b_1 , b_2 \} \oplus \{ \alpha , \beta , \gamma \}$$

$$N = 4$$

$$N = 1$$

$$E_8 \times E_8$$

$$Z_2 \times Z_2$$

new feature Asymmetric orbifold

the key focus: boundary conditions of the internal fermions

$$\{ y , \omega \mid \bar{y} , \omega \}$$

WS fermions that have same B.C. in all basis vectors are paired

pairing of LR fermions  $\rightarrow$  Ising model  $\rightarrow$  symmetric real fermions

pairing of LL & RR fermions  $\rightarrow$  complex fermions  $\rightarrow$  asymmetric

# A STRINGY DOUBLET-TRIplet SPLITTING MECHANISM

$$\text{NAHE} \rightarrow \chi_j \bar{\psi}^{1,\dots,5} \bar{\eta}_j + c.c. \rightarrow (5 + \bar{5})_j = 10_j \text{ of } SO(10)$$

$$\alpha \rightarrow SO(10) \rightarrow SO(6) \times SO(4)$$

$$y_3 \bar{y}_3 \quad y_4 \bar{y}_4 \quad y_5 \bar{y}_5 \quad y_6 \bar{y}_6$$

$$y_3 y_6 \quad y_4 \bar{y}_4 \quad y_5 \bar{y}_5 \quad \bar{y}_3 \bar{y}_6$$

$$1 \quad 0 \quad 0 \quad 1$$

$$1 \quad 0 \quad 0 \quad 0$$

$$\Delta_j = |\alpha_L(T_2^j) - \alpha_R(T_2^j)|$$

$$\Delta_j = 0 \rightarrow D_j, \bar{D}_j$$

$$\Delta_j = 1 \rightarrow h_j, \bar{h}_j$$

$$\Delta_{1,2,3} = 1 \Rightarrow h_j, \bar{h}_j \quad j = 1, 2, 3$$

A superstring solution to the GUT hierarchy problem

# STRING DERIVED STANDARD-LIKE MODEL (PLB278)

	$\psi^\mu$	$\chi^{12}$	$\chi^{34}$	$\chi^{56}$	$y^{3,\dots,6}$	$\bar{y}^{3,\dots,6}$	$y^{1,2,\omega^{5,6}}$	$\bar{y}^{1,2,\bar{\omega}^{5,6}}$	$\omega^{1,\dots,4}$	$\bar{\omega}^{1,\dots,4}$	$\bar{\psi}^{1,\dots,5}$	$\bar{\eta}^1$	$\bar{\eta}^2$	$\bar{\eta}^3$	$\bar{\phi}^{1,\dots,8}$
<b>1</b>	1	1	1	1	1,...,1	1,...,1	1,...,1	1,...,1	1,...,1	1,...,1	1,...,1	1	1	1	1,...,1
<i>S</i>	1	1	1	1	0,...,0	0,...,0	0,...,0	0,...,0	0,...,0	0,...,0	0,...,0	0	0	0	0,...,0
<i>b</i> <sub>1</sub>	1	1	0	0	1,...,1	1,...,1	0,...,0	0,...,0	0,...,0	0,...,0	1,...,1	1	0	0	0,...,0
<i>b</i> <sub>2</sub>	1	0	1	0	0,...,0	0,...,0	1,...,1	1,...,1	0,...,0	0,...,0	1,...,1	0	1	0	0,...,0
<i>b</i> <sub>3</sub>	1	0	0	1	0,...,0	0,...,0	0,...,0	0,...,0	1,...,1	1,...,1	1,...,1	0	0	1	0,...,0

	$\psi^\mu$	$\chi^{12}$	$\chi^{34}$	$\chi^{56}$	$y^3 y^6$	$y^4 \bar{y}^4$	$y^5 \bar{y}^5$	$\bar{y}^3 \bar{y}^6$	$y^1 \omega^5$	$y^2 \bar{y}^2$	$\omega^6 \bar{\omega}^6$	$\bar{y}^1 \bar{\omega}^5$	$\omega^2 \omega^4$	$\omega^1 \bar{\omega}^1$	$\omega^3 \bar{\omega}^3$	$\bar{\omega}^2 \bar{\omega}^4$	$\bar{\psi}^{1,\dots,5}$	$\bar{\eta}^1$	$\bar{\eta}^2$	$\bar{\eta}^3$	$\bar{\phi}^{1,\dots,8}$
$\alpha$	0	0	0	0	1	0	0	0	0	0	1	1	0	0	1	1	1 1 1 0 0	0	0	0	1 1 1 1 0 0 0
$\beta$	0	0	0	0	0	0	1	1	1	0	0	0	0	1	0	1	1 1 1 0 0	0	0	0	1 1 1 1 0 0 0
$\gamma$	0	0	0	0	0	1	0	1	0	1	0	1	1	0	0	0	$\frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2} \ 0 \ 1 \ 1 \ \frac{1}{2} \ \frac{1}{2}$

Asymmetric *BC*  $\Rightarrow$  all untwisted moduli are projected out!

all  $y_i \omega_i \bar{y}_i \bar{\omega}_i$  are disallowed

can be translated to asymmetric bosonic identifications

$$X_L + X_R \rightarrow X_L - X_R$$

moduli fixed at enhanced symmetry point

## Twisted moduli

$$(2,2) \ b_j \oplus b_j + \xi_2 \rightarrow (16 \oplus 10 + 1) + 1 = 27 + 1 \Rightarrow \text{twisted moduli}$$

$$(2,0) \ b_j + b_j + 2\gamma \rightarrow 16_{SO(10)} + 16_{SO(16)}$$

all twisted moduli are projected out

# MINIMAL DOUBLET HIGGS CONTENT (EJPC50)

	$\psi^\mu$	$\chi^{12}$	$\chi^{34}$	$\chi^{56}$	$y^3y^6$	$y^4\bar{y}^4$	$y^5\bar{y}^5$	$\bar{y}^3\bar{y}^6$	$y^1\omega^5$	$y^2\bar{y}^2$	$\omega^6\bar{\omega}^6$	$\bar{y}^1\bar{\omega}^5$	$\omega^2\omega^4$	$\omega^1\bar{\omega}^1$	$\omega^3\bar{\omega}^3$	$\bar{\omega}^2\bar{\omega}^4$	$\bar{\psi}^{1,\dots,5}$	$\bar{\eta}^1$	$\bar{\eta}^2$	$\bar{\eta}^3$	$\bar{\phi}^{1,\dots,8}$
$\alpha$	0	0	0	0	1	0	0	1	0	0	1	1	0	0	1	1	1 1 1 0 0	1	0	0	1 1 0 0 0 0 0 0
$\beta$	0	0	0	0	0	0	1	1	1	0	0	1	0	1	0	1	1 1 1 0 0	0	1	0	0 0 1 1 0 0 0 0
$\gamma$	0	0	0	0	0	1	0	0	0	1	0	0	1	0	0	0	$\frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	0 0 0 $\frac{1}{2} \frac{1}{2} \frac{1}{2} \frac{1}{2}$

(With Elisa Manno and Cristina Timirgaziu)

SYMMETRIC  $\leftrightarrow$  ASYMMETRIC

with respect to  $b_1$  &  $b_2$

$h_1, \bar{h}_1, D_1, \bar{D}_1$  ,  $h_2, \bar{h}_2, D_2, \bar{D}_2$  are projected out

$h_3, \bar{h}_3$  remain in the spectrum

$$\lambda_t Q_3 t_3^c \bar{h}_3 \text{ with } \lambda_t \sim O(1)$$

No Phenomenologically viable flat directions



## Calculation of Mass Terms

nonvanishing correlators

$$\langle V_1^f V_2^f V_3^b \cdots V_N^b \rangle$$

gauge & string invariant

“anomalous”  $U(1)_A$

$$\text{Tr} Q_A \neq 0 \Rightarrow D_A = 0 = A + \sum Q_k^A |\langle \phi_k \rangle|^2$$

$$D_j = 0 = \sum Q_k^j |\langle \phi_k \rangle|^2$$

$$\langle W \rangle = \langle \frac{\partial W_N}{\partial \eta_i} \rangle = 0 \quad N = 3 \dots$$

Supersymmetric vacuum  $\langle F \rangle = \langle D \rangle = 0$ .

nonrenormalizable terms  $\rightarrow$  effective renormalizable operators

$$V_1^f V_2^f V_3^b \cdots V_N^b \rightarrow V_1^f V_2^f V_3^b \frac{\langle V_4^b \cdots V_N^b \rangle}{M^{N-3}}$$

## Exotics superpotential in FNY model (NPB 335 (1990) 347)

$$W_2 = \frac{1}{\sqrt{2}} \{ H_1 H_2 \phi_4 + H_3 H_4 \bar{\phi}_4 + H_5 H_6 \bar{\phi}_4 + (H_7 H_8 + H_9 H_{10}) \phi'_4 + \\ (H_{11} + H_{12})(H_{13} + H_{14}) \bar{\phi}'_4 + V_{41} V_{42} \bar{\phi}_4 + V_{43} V_{44} \bar{\phi}_4 + \\ V_{45} V_{46} \phi_4 + (V_{47} V_{48} + V_{49} V_{50}) \bar{\phi}'_4 + V_{51} V_{52} \phi'_4 \}$$

$\langle \bar{\phi}_4, \bar{\phi}'_4, \phi_4, \phi'_4 \rangle \rightarrow$  massive exotic states at N=3 (PRD46 (1993) 3204)

CFN  $\rightarrow$  Classification of flat directions (PLB 455 (1999) 135)

Example:  $\{\phi_{12}, \phi_{23}, \bar{\phi}_{56}, \phi_4, \phi'_4, \bar{\phi}_4, \bar{\phi}'_4, H_{15}, H_{30}, H_{31}, H_{38}\}$

All Standard Model charged states beyond MSSM  $\rightarrow \approx M_{\text{string}}$

MINIMAL STANDARD HETEROTIC STRING MODEL

Cleaver, Faraggi, Manno, Timirgaziu, PRD 78 (2008) 046009

Classification of F and D flat directions in EMT reduced Higgs model

No D flat direction which is F-flat up to order eight in the superpotential  
no stringent flat directions to all orders

Suggesting no supersymmetric flat directions in this model (class of models)

implying no supersymmetric moduli

only remaining perturbative moduli is the dilaton

quasi-realistic model: SLM; 3 gen;  $SO(10)$  embed; Higgs &  $\lambda_t \sim 1$ ; ...

vanishing one-loop partition function, perturbatively broken SUSY

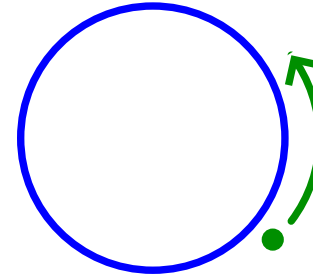
Fixed geometrical, twisted and SUSY moduli

# T1 – COMPACTIFICATION

$X$



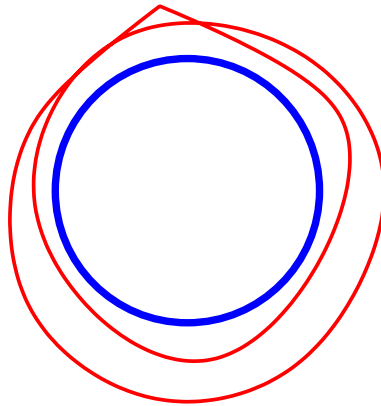
$$X \sim X + 2 \pi R m$$



Point particle

$$\Psi \sim \text{Exp}(i P X) \Rightarrow P = \frac{m}{R}$$

String



$$P_{L,R} = \frac{m}{R} \pm \frac{n R}{\alpha'}$$

## T – DUALITY

$$\text{mass}^2 = \left(\frac{n}{R}\right)^2 + \left(\frac{m R}{\alpha'}\right)^2$$

Invariant under

$$\frac{1}{R} \longleftrightarrow \frac{R}{\alpha'} \quad \text{with} \quad m \longleftrightarrow n$$

An exact symmetry in string perturbation theory!

Self-dual point  $R = \frac{\alpha'}{R}$  = free fermionic point