Spinor-Vector Duality and Sterile Neutrinos in String Derived Models



• Spinor-vector duality:

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• Sterile neutrinos:

AEF, European Phys Journal C78 (2018) 867.

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LSND 1993: Evidence for sterile neutrinos <u>MiniBooNE 2018:</u> Further evidence for sterile neutrinos Sterile neutrinos in string vacua?

String Phenomenology: An answer in search of a question

<u>The Answer</u> : The Standard Model and its BSM extensions

A question : What is the true string vacuum?

A question : Can we identify signatures of classes of string

compactifications in the experimental particle data?

In this talk:

Light sterile neutrinos in heterotic string vacua require a light Z'

A light Z' is hard to implement in heterotic string constructions



DATA \rightarrow STANDARD MODEL EWX \rightarrow PERTUBATIVE

STANDARD MODEL -> UNIFICATION

EVIDENCE: 16 of SO(10), Log running, proton stability, neutrino masses

+ GRAVITY < --> STRINGS

PRIMARY GUIDES:

3 generations SO(10) embedding **REALISTIC STRING MODELS :**

heterotic 10D -> heterotic 4D

<u>6D compactifications</u> $(T^2 x T^2 x T^2)$



FREE FERMIONIC MODELS – $Z_2 X Z_2$ Orbifold -> U(1)_Y \in SO(10) $\frac{6}{2} = 1+1+1$

Realistic free fermionic models

'Phenomenology of the Standard Model and Unification'

- Minimal Superstring Standard Model
- \bullet Top quark mass \sim 175–180GeV
- Generation mass hierarchy
- CKM mixing
- Stringy seesaw mechanism
- Gauge coupling unification
- Proton stability
- Squark degeneracy
- Moduli fixing
- Classification & Exophobia 2003 · · ·
 (with Nooij, Assel, Christodoulides, Kounnas, Rizos & Sonmez)

NPB 335 (1990) 347 (with Nanopoulos & Yuan) PLB 274 (1992) 47 NPB 407 (1993) 57 NPB 416 (1994) 63 (with Halyo) PLB 307 (1993) 311 (with Halyo) NPB 457 (1995) 409 (with Dienes) NPB 428 (1994) 111 NPB 526 (1998) 21 (with Pati) NPB 728 (2005) 83

Other approaches

<u>Geometrical</u> Greene, Kirklin, Miron, Ross (1987) Donagi, Ovrut, Pantev, Waldram (1999) Blumenhagen, Moster, Reinbacher, Weigand (2006) Heckman, Vafa (2008)

<u>Orbifolds</u>

Ibanez, Nilles, Quevedo (1987) Bailin, Love, Thomas (1987) Kobayashi, Raby, Zhang (2004) Lebedev, Nilles, Raby, Ramos-Sanchez, Ratz, Vaudrevange, Wingerter (2007) Blaszczyk, Groot–Nibbelink, Ruehle, Trapletti, Vaudrevange (2010) <u>Other CFTs</u>

Gepner (1987) Schellekens, Yankielowicz (1989) Gato–Rivera, Schellekens (2009)

Orientifolds

Cvetic, Shiu, Uranga (2001) Ibanez, Marchesano, Rabadan (2001) Kiristis, Schellekens, Tsulaia (2008) Point, String, Membrane



Sterile neutrinos in large volume scenarios



$$\nu_R^{(c)}(x,y) = \sum_n \frac{1}{\sqrt{2\pi r}} \nu_{Rn}^{(c)}(x) e^{iny/r}$$
$$S^{\text{int}} = \int d^4 x \lambda l(x) h^*(x) \nu_R(x,y=0)$$

 $\lambda_{(4)} = \frac{\lambda}{\sqrt{r^n M_*^n}},$

plus tower of KK modes \longrightarrow sterile neutrinos

String GUT models in the Free Fermionic Construction:Left-Movers: $\psi_{1,2}^{\mu}$ χ_i y_i $(i = 1, \dots, 6)$ Right-Movers

$$\bar{\phi}_{A=1,\cdots,44} = \begin{cases} \bar{y}_i \ , \ \bar{\omega}_i & i = 1, \cdots, 6 \\ \\ \bar{\eta}_i & U(1)_i & i = 1, 2, 3 \\ \\ \bar{\psi}_{1,\cdots,5} & SO(10) \\ \\ \bar{\phi}_{1,\cdots,8} & SO(16) \end{cases}$$

 $\mathsf{Models} \longleftrightarrow \mathsf{Basis} \text{ vectors } + \mathsf{one-loop \ phases}$

Free Fermionic Models:

 $Z_2 \times Z_2$ orbifolds with discrete Wilson lines

Sterile neutrinos in free Fermionic models

Analyse mass terms constributing to the neutrino mass matrix AEF & Edi Halyo, PLB **307** (1993) 311 AEF & Claudio Coriano, PLB **581** (2004) 99 The generic form:

$$\begin{pmatrix} \nu_i, N_i, \phi_i \end{pmatrix} \begin{pmatrix} 0 & (M_D)_{ij} & 0 \\ (M_D)_{ij} & 0 & \langle \overline{\mathbb{N}} \rangle_{ij} \\ 0 & \langle \overline{\mathbb{N}} \rangle_{ij} & \langle \phi \rangle_{ij} \end{pmatrix} \begin{pmatrix} \nu_j \\ N_j \\ \phi_j \end{pmatrix},$$

$$m_{\nu_j} \sim \left(\frac{kM_u^j}{\langle \overline{N} \rangle}\right)^2 \langle \phi \rangle \quad , \qquad m_{N_j}, m_\phi \sim \langle \overline{N} \rangle \quad .$$

 $\langle \overline{N} \rangle \quad > \quad 10^{14} \text{GeV}$

No Light sterile neutrino

Specific example:

	L_3	L_2	L_1	N_3	N_2	N_1	H_{23}	H_{25}	Φ_{13}	Φ_{45}	Φ_2^+	$\bar{\Phi}_2^+$
L_3	0	0	0	0	0	r	0	0	0	0	$\tilde{0}$	$\tilde{0}$
L_2°	0	0	0	r	0	r	0	0	0	0	0	0
L_1^2	0	0	0	r	0	v	0	0	0	0	0	0
N_3	0	r	r	0	0	0	0	x	0	0	0	0
N_2	0	0	0	0	0	0	z	0	u	u	z	u
N_1	r	r	v	0	0	0	0	w	0	0	0	0
H_{23}	0	0	0	0	z	0	p	0	x	x	x	x
H_{2}	0	0	0	x	0	w	0	0	0	0	0	0
Φ_{13}	0	0	0	0	u	0	x	0	q	y	0	y
Φ_{45}	0	0	0	0	z	0	p	0	\overline{x}	\dot{x}	x	x
Φ_{2}^{+}	0	0	0	0	z	0	x	0	0	q	0	x
$\bar{\Phi}_2^{\mp}$	0	0	0	0	0	0	x	0	y	q	x	q

mass eigenvalues $\{1.7 \times 10^{13}, \dots, 2.4^{-8}\}$ GeV No Light sterile neutrinos -> non-chiral matter -> heavy Sterile neutrinos -> Chiral under and extra U(1) symmetry Low scale Z' in free fermionic models:

• $\frac{3}{2}U(1)_{B-L} - 2U(1)_R \in SO(10) @ 1TeV$ MPL A6 (1991) 61 (with Nanopoulos) • But $m_t = m_{\nu_{\tau}} \& 1TeV Z' \Rightarrow m_{\nu_{\tau}} \approx 10MeV$ PLB 245 (1990) 435

 $E_6 \rightarrow SO(10) \times U(1)_A \implies U(1)_A$ is anomalous!

 $\implies U(1)_A \notin \text{ low scale } U(1)_{Z'}$

- 1996-2013, Pati, AEF, Guzzi, Mehta, Athanasopoulos, $U(1) \notin E_6$
- On the other hand(AEF, Viraf Mehta, PRD88 (2013) 025006)

 $\sin^2 \theta_W(M_Z) , \ \alpha_s(M_Z) \implies U(1)_{Z'} \in E_6$

• Z' string derived model, (with Rizos) NPB 895 (2015) 233

Basis vectors:

$$\begin{split} 1 &= \{\psi^{\mu}, \ \chi^{1,\dots,6}, y^{1,\dots,6}, \omega^{1,\dots,6} \mid \bar{y}^{1,\dots,6}, \bar{\omega}^{1,\dots,6}, \bar{\eta}^{1,2,3}, \bar{\psi}^{1,\dots,5}, \bar{\phi}^{1,\dots,8}\} \\ S &= \{\psi^{\mu}, \chi^{1,\dots,6}\}, \\ z_{1} &= \{\bar{\phi}^{1,\dots,4}\}, \\ z_{2} &= \{\bar{\phi}^{5,\dots,8}\}, \\ e_{i} &= \{y^{i}, \omega^{i} | \bar{y}^{i}, \bar{\omega}^{i}\}, \ i = 1, \dots, 6, \\ b_{1} &= \{\chi^{34}, \chi^{56}, y^{34}, y^{56} | \bar{y}^{34}, \bar{y}^{56}, \bar{\eta}^{1}, \bar{\psi}^{1,\dots,5}\}, \\ b_{2} &= \{\chi^{12}, \chi^{56}, y^{12}, y^{56} | \bar{y}^{12}, \bar{y}^{56}, \bar{\eta}^{2}, \bar{\psi}^{1,\dots,5}\}, \\ b_{2} &= \{\bar{\psi}^{4,5}, \bar{\phi}^{1,2}\} \\ \alpha &= \{\bar{\psi}^{4,5}, \bar{\phi}^{1,2}\} \\ \beta &= \{\bar{\psi}^{1,\dots,5} \equiv \frac{1}{2}, \dots\} \\ \end{split}$$

Independent phases $c \begin{bmatrix} vi \\ v_j \end{bmatrix} = \exp[i\pi(v_i|v_j)]$: upper block

A priori 66 independent coefficients $\rightarrow 2^{66}$ distinct vacua

The twisted matter spectrum:

$$\begin{split} B^{1}_{\ell_{3}^{1}\ell_{4}^{1}\ell_{5}^{1}\ell_{6}^{1}} &= S + b_{1} + \ell_{3}^{1}e_{3} + \ell_{4}^{1}e_{4} + \ell_{5}^{1}e_{5} + \ell_{6}^{1}e_{6} \\ B^{2}_{\ell_{1}^{2}\ell_{2}^{2}\ell_{5}^{2}\ell_{6}^{2}} &= S + b_{2} + \ell_{1}^{2}e_{1} + \ell_{2}^{2}e_{2} + \ell_{5}^{2}e_{5} + \ell_{6}^{2}e_{6} \\ B^{3}_{\ell_{1}^{2}\ell_{2}^{2}\ell_{3}^{2}\ell_{3}^{3}} &= S + b_{3} + \ell_{1}^{3}e_{1} + \ell_{2}^{3}e_{2} + \ell_{3}^{3}e_{3} + \ell_{4}^{3}e_{4} \qquad l_{i}^{j} = 0, 1 \\ \text{sectors } B^{i}_{pqrs} &\to 16 \text{ or } \overline{16} \text{ of } SO(10) \text{ with multiplicity } (1, 0, -1) \\ B^{i}_{pqrs} + x &\to 10 \quad \text{of } SO(10) \text{ with multiplicity } (1, 0) \\ x &= \{\bar{\psi}^{1, \cdots, 5}, \bar{\eta}^{1}, \bar{\eta}^{2}, \bar{\eta}^{3}\} \qquad x - \text{map } \leftrightarrow \text{ spinor-vector map} \\ \text{Algebraic formulas for } S = \sum_{i=1}^{3} S^{(i)}_{+} - S^{(i)}_{-} \quad \text{and } V = \sum_{i=1}^{3} V^{(i)} \\ \end{bmatrix}$$

Spinor-vector duality:

Duality under exchange of spinors and vectors.

	First Plane			Second plane			Third Plane		
S	$ar{s}$	v	S	\overline{S}	v	s	\overline{S}	v	# of models
2	0	0	0	0	0	0	0	0	1325963712
0	2	0	0	0	0	0	0	0	1340075584
1	1	0	0	0	0	0	0	0	3718991872
0	0	2	0	0	0	0	0	0	6385031168

of models with $#(16 + \overline{16}) = #$ of models with #(10)

For every model with $\#(16 + \overline{16})$ & #(10)

There exist another model in which they are interchanged

Reflects discrete exchange of phases

Spinor-vector duality:

Invariance under exchange of $\#(16 + \overline{16}) < - > \#(10)$



Symmetric under exchange of rows and columns

 E_6 : 27 = 16 + 10 + 1 $\overline{27} = \overline{16} + 10 + 1$ Self-dual: $\#(16 + \overline{16}) = \#(10)$ without E_6 symmetry

light Z' heterotic-string model $c \begin{bmatrix} vi \\ v_j \end{bmatrix} = \exp[i\pi(v_i|v_j)]$:

Observable gauge group: $SO(6) \times SO(4) \times U(1)_{1,2,3}$

 $U(1)_{\zeta} = U(1)_1 + U(1)_2 + U(1)_3$ is anomaly free

sector	field	$SU(4) \times SU(2)_L \times SU(2)_R$	$U(1)_{1}$	$U(1)_{2}$	$U(1)_{3}$	$U(1)_{\zeta}$
$S + b_1$	\bar{F}_{1R}	$(ar{f 4}, {f 1}, {f 2})$	1/2	0	0	1/2
$S + b_1 + e_3 + e_5$	F_{1R}	$({f 4},{f 1},{f 2})$	1/2	0	0	1/2
$S + b_2$	F_{1L}	$({f 4},{f 2},{f 1})$	0	1/2	0	1/2
$S + b_2 + e_1 + e_2 + e_5$	F_{2L}	$({f 4},{f 2},{f 1})$	0	1/2	0	1/2
$S + b_2 + e_1$	\bar{F}_{2R}	$(ar{f 4}, {f 1}, {f 2})$	0	1/2	0	1/2
$S + b_2 + e_2 + e_5$	\bar{F}_{3R}	$(ar{f 4}, {f 1}, {f 2})$	0	1/2	0	1/2
$S + b_3 + e_1 + e_2$	F_{3L}	$({f 4},{f 2},{f 1})$	0	0	1/2	1/2
$S + b_3 + e_2$	\bar{F}_{4R}	$(ar{f 4}, f 1, f 2)$	0	0	1/2	1/2
$S + b_3 + x$	h_1	$({f 1},{f 2},{f 2})$	-1/2	-1/2	0	-1
$S + b_2 + x + e_5$	h_2	$({f 1},{f 2},{f 2})$	-1/2	0	-1/2	-1
$S + b_2 + x + e_1 + e_2$	h_3	$({f 1},{f 2},{f 2})$	-1/2	0	-1/2	-1
$S + b_3 + x + e_1$	D_4	$({f 6},{f 1},{f 1})$	-1/2	-1/2	0	-1
	χ_1^+	$({f 1},{f 1},{f 1})$	1/2	1/2	1	+2
	χ_1^-	$({f 1},{f 1},{f 1})$	1/2	1/2	-1	0
	$\zeta_a, a = 2, 3$	$({f 1},{f 1},{f 1})$	1/2	-1/2	0	0
	$\bar{\zeta}_a, a=2,3$	(1, 1, 1)	-1/2	1/2	0	0
$S + b_2 + x + e_1 + e_5$	D_5	$({f 6},{f 1},{f 1})$	-1/2	0	-1/2	-1
	χ_2^+	$({f 1},{f 1},{f 1})$	1/2	1	1/2	+2
	χ_2^-	$({f 1},{f 1},{f 1})$	1/2	-1	1/2	0
	$\zeta_a, a = 4, 5$	$({f 1},{f 1},{f 1})$	1/2	0	-1/2	0
	$\bar{\zeta}_a, a=4,5$	(1, 1, 1)	-1/2	0	1/2	0
$S + b_2 + x + e_2$	D_6	$({f 6},{f 1},{f 1})$	-1/2	0	-1/2	-1
	χ_3^+	$({f 1},{f 1},{f 1})$	1/2	1	1/2	+2
	χ_3^-	(1, 1, 1)	1/2	-1	1/2	0
	$\zeta_a, a = 6, 7$	$({f 1},{f 1},{f 1})$	1/2	0	-1/2	0
	$\bar{\zeta}_a, a = 6, 7$	(1, 1, 1)	-1/2	0	1/2	0
$S + b_1 + x + e_3$	\bar{D}_6	$({f 6},{f 1},{f 1})$	0	1/2	1/2	+1
	$\bar{\chi}_4^+$	(1, 1, 1)	-1	-1/2	-1/2	-2
	$\bar{\chi}_4^-$	(1, 1, 1)	1	-1/2	-1/2	0
	$\zeta_a, a = 8, 9$	$({f 1},{f 1},{f 1})$	0	1/2	-1/2	0
	$\bar{\zeta}_a, a = 8, 9$	(1, 1, 1)	0	-1/2	1/2	0
$S + b_1 + x + e_5$	D_7	$({f 6},{f 1},{f 1})$	0	-1/2	-1/2	-1
	χ_5^+	(1, 1, 1)	1	1/2	1/2	+2
	χ_5^-	$({f 1},{f 1},{f 1})$	-1	1/2	1/2	0
	$\zeta_a, a = 10, 11$	$({f 1},{f 1},{f 1})$	0	1/2	-1/2	0
	$\zeta_a, a = 10, 11$	(1, 1, 1)	0	-1/2	1/2	0
$S + b_3 + x + e_2 + e_3$	ζ_1	(1, 1, 1)	1/2	-1/2	0	0
	ζ_1	(1, 1, 1)	-1/2	1/2	0	0
$S + b_1 + x + e_3 + e_4 + e_6$	ϕ_1	$({f 1},{f 1},{f 1})$	0	1/2	1/2	+1
	ϕ_1	(1, 1, 1)	0	-1/2	-1/2	-1
$S + b_1 + x + e_4 + e_5 + e_6$	ϕ_2	$({f 1},{f 1},{f 1})$	0	1/2	1/2	+1
	$ar{\phi}_2$	(1, 1, 1)	0	-1/2	-1/2	-1

Table 1: Observable twisted matter spectrum and $SU(4) \times SU(2)_L \times SU(2)_R \times U(1)^3$ quantum numbers.

The chiral spectrum is self-dual under spinor-vector duality

maintains the E_6 embedding $\Rightarrow U(1)_{\zeta}$ is anomaly free

Exophobic, three generations + heavy and light higgs + $\lambda_t \sim 1$

$$U(1)_{Z'} = \frac{1}{5} U(1)_C - \frac{1}{5} U(1)_L - U_{\zeta}$$

 $Z' \Rightarrow$ Additional matter at the Z' breaking scale

Exotic SO(10) singlets with non–standard $U(1)_{\zeta}$ charges

 \Rightarrow Natural Wilsonian dark-matter candidates

Field	$SU(3)_C$	$\times SU(2)_L$	$U(1)_Y$	$U(1)_{Z'}$
Q_L^i	3	2	$+\frac{1}{6}$	$-\frac{2}{5}$
u_L^i	$\bar{3}$	1	$-\frac{2}{3}$	$-\frac{2}{5}$
d_L^i	$\bar{3}$	1	$+\frac{1}{3}$	$-\frac{4}{5}$
e_L^i	1	1	+1	$-\frac{2}{5}$
L_L^i	1	2	$-\frac{1}{2}$	$-\frac{4}{5}$
D^i	3	1	$-\frac{1}{3}$	$+\frac{4}{5}$
\bar{D}^i	$\bar{3}$	1	$+\frac{1}{3}$	$+\frac{6}{5}$
H^i	1	2	$-\frac{1}{2}$	$+\frac{6}{5}$
\bar{H}^i	1	2	$+\frac{1}{2}$	$+\frac{4}{5}$
S^i	1	1	0	-2
h	1	2	$-\frac{1}{2}$	$-\frac{4}{5}$
$ar{h}$	1	2	$+\frac{1}{2}$	$+\frac{4}{5}$
ϕ	1	1	0	-1
$ar{\phi}$	1	1	0	+1
ζ^i	1	1	0	0

Additional matter states at $U(1)_{Z^{\prime}}$ breaking scale

$$\begin{array}{cccccccccc} L_{i} & S_{i} & H_{i} & \overline{H}_{i} & N_{i} \\ L_{i} & \begin{pmatrix} 0 & 0 & 0 & \lambda n & \lambda v \\ 0 & 0 & \lambda v_{2} & \lambda v_{3} & 0 \\ 0 & \lambda v_{2} & 0 & z' & 0 \\ 0 & \lambda v_{3} & z' & 0 & 0 \\ \lambda n & \lambda v_{3} & z' & 0 & 0 \\ \lambda v & 0 & 0 & 0 & \mathcal{N}^{2}/M \end{array} \right),$$

$$\lambda v = 1 \text{GeV}; \qquad \lambda n = 5 \times 10^{-4} \text{GeV}; \approx m_e;$$

$$\lambda v_2 = 5 \times 10^{-4} \text{GeV} \approx m_e; \qquad \lambda v_3 = 5 \times 10^{-4} \text{GeV}; \approx m_e;$$

$$z' = 5 \times 10^4 \text{GeV} = 50 \text{TeV}; \qquad \overline{\mathbb{N}} = 5 \times 10^{14} \text{GeV},$$

 $m_{1,2} = \{10^{-2} \text{eV}, 10^{-3} \text{eV}\}$ mix of ν_L^i and S_i , with $\sin \theta \approx 0.98$. $m_{3,4,5} = \{50 \text{TeV}, 50 \text{TeV}, 2.5 \times 10^{11} \text{GeV}\}.$ $m_5 \leftrightarrow N_i \qquad m_{3,4} \leftrightarrow \text{equal mix of } H_i \text{ and } \bar{H}_i$

Spinor–Vector Duality from a Novel Basis

$S = \{\psi^{\mu}, \chi^{1, \dots, 6}\},\$	
$z_1 = \{\bar{\phi}^{1,\dots,4}\},$	
$z_2 = \{\bar{\phi}^{5,\dots,8}\},$	
$z_3 = \{\bar{\psi}^{1,\dots,4}\},$	
$z_4 = \{ \bar{\eta}^{0,,3} \},$	$ar{\eta}^0~\equiv~ar{\psi}^5$
$e_i = \{y^i, \omega^i \bar{y}^i, \bar{\omega}^i\}, \ i = 1, \dots, 6,$	N=4 Vacua
$1 = S + \sum e_i + z_1 + z_2 + z_3 + z_4$	
$b_1 = \{\chi^{34}, \chi^{56}, y^{34}, y^{56} \bar{y}^{34}, \bar{y}^{56}, \bar{\eta}^1, \bar{\psi}^{1, \dots}\}$	$N,5$, $N=4 \rightarrow N=2$
Vector bosons: NS, $z_{1,2,3,4}$, $z_i + z_j$	$NS \rightarrow SO(8)^4$
$SO(12)$ -GUT \rightarrow from enhancement	

Duality picture is facilitated

Spinor \longleftrightarrow Vector map $\longrightarrow B \iff B + z_4$ SO(12) enhancement $\longrightarrow B \iff B + z_3$

A convenient basis to study dualities; modular properties

 $\rightarrow 2D \rightarrow 24$ dimensional lattices

GUT structure is obscured

Conclusions

- DATA \longrightarrow UNIFICATION
- STRINGS \longrightarrow GAUGE & GRAVITY UNIFICATION
- Free fermionic models \longrightarrow A Fertile Crescent \longleftrightarrow $Z_2 \times Z_2$ orbifolds
- Sterile neutrinos \longrightarrow chiral matter charged under low scale extra U(1)
- Low scale $Z' \longrightarrow$ hard to implement in string GUT constructions
- Spinor-vector duality (alternatively: extended GUT embeddings)
- String Phenomenology \longrightarrow Physics of the third millenium

e.g. Aristarchus to Copernicus