

AN a -THEOREM IN THREE DIMENSIONS?

with Tim Jones and Colin Poole

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- 2 Derivation of c -theorem (weakly coupled case)
- 3 Chern-Simons theory
- 4 Explicit example of a -function in 3 dimensions
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The c and a theorems

Consider a theory with couplings $\{g^I\}$. The β -functions are defined by

$$\beta^I = \mu \frac{d}{d\mu} g^I$$

β -functions describe how couplings change with energy scale; derived from simple poles in counterterms (using dimensional regularisation with $d = 2 - \epsilon, 4 - \epsilon, \dots$).

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The Zamolodchikov c -theorem (two dimensions) says that there is a function c such that

$$\partial_I c = G_{IJ} \beta^J(g) \quad \text{or} \quad dg c \equiv dg^I \partial_I c = dg^I G_{IJ} \beta^J,$$

so that

$$\mu \frac{d}{d\mu} c = \beta^I \partial_I c = G_{IJ} \beta^I \beta^J,$$

where G_{IJ} is a positive definite metric.

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- The weak a -theorem: There is a function $a(g)$ defined at fixed points of the theory such that $a_{UV}^* - a_{IR}^* > 0$. Proved rather generally (but hidden assumptions?)
- The strong a -theorem: There is a function $a(g)$ which has monotonic behaviour under RG flow. Gradient flow proved except for monotonicity. Positive definiteness of G_{IJ} easily checked to lowest order \Rightarrow valid perturbatively to all orders.

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Nevertheless we can show that 3-d theories do satisfy a “gradient flow” equation with a positive definite metric at lowest order.

Trace anomaly

Theory with generic fields φ : Conformal invariance: invariance under local Weyl rescalings

$$\gamma_{\mu\nu} \rightarrow \Omega^2(x)\gamma_{\mu\nu}, \quad \varphi \rightarrow \Omega^{2p}(x)\varphi.$$

To leading order we find

$$\delta\mathcal{S}[\varphi, \gamma_{\mu\nu}] = \int d^d x \left(\frac{\delta\mathcal{S}}{\delta\varphi} \delta\varphi + \frac{\delta\mathcal{S}}{\delta\gamma_{\mu\nu}} \delta\gamma_{\mu\nu} \right) = 0.$$

Using Lagrange equations $\frac{\delta\mathcal{S}}{\delta\varphi} = 0$ we have

$$\gamma_{\mu\nu} \frac{\delta\mathcal{S}}{\delta\gamma_{\mu\nu}} = -\gamma_{\mu\nu} T^{\mu\nu} = -T^\mu{}_\mu = 0, \quad T^{\mu\nu} = -\frac{\delta\mathcal{S}}{\delta\gamma_{\mu\nu}}.$$

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For a **scalar** field ϕ ,

$$L = \frac{1}{2}|\gamma|^{\frac{1}{2}}(\gamma^{\mu\nu}\partial_\mu\phi\partial_\nu\phi - m^2\phi^2 - \xi R\phi^2)$$

need to take $m^2 = 0$, $p = \frac{2-d}{4}$, $\xi = \frac{d-2}{4(d-1)}$.

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$T^{\mu\nu}$ agrees (for flat space) with alternative definition

$$\Theta^{\mu\nu} = \partial^\mu \phi \frac{\partial L}{\partial(\partial_\nu \phi)} - \gamma^{\mu\nu} L.$$

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- In general $T^{\mu\nu}$, $\Theta^{\mu\nu}$ differ by total derivative.
- $T^{\mu\nu}$ gives symmetric result (required by Einstein equation)
- $\Theta^{\mu\nu}$ gives closer relation to “energy” and “momentum”.

In quantum theory define

$$\langle T_{\mu\nu} \rangle = \frac{\partial}{\partial \gamma^{\mu\nu}} W, \quad W = -i \ln Z, \quad Z = \int d[\phi] e^{iS[\phi]}.$$

Trace anomaly

Expect scale dependence to be introduced at quantum level through scale dependence of couplings so

$$\langle T^\mu{}_\mu \rangle = -\gamma^{\mu\nu} \frac{\partial}{\partial \gamma_{\mu\nu}} W = \beta_I \frac{\partial}{\partial g^I} W \equiv \beta_I \mathcal{O}^I.$$

However on curved space need additional counterterms

$$c_B R \rightarrow \Omega^{-2} c_B R + \dots, \quad d^d x |\gamma|^{1/2} \rightarrow \Omega^d d^d x |\gamma|^{1/2}$$

(in two dimensions) and

$$A_B G + B_B F + \tilde{C}_B R^2,$$

$$F = C^{\mu\nu\sigma\rho} C_{\mu\nu\sigma\rho} \rightarrow \Omega^{-4} F,$$

$$G = \frac{1}{4} \epsilon_{\mu\nu\sigma\rho} \epsilon^{\alpha\beta\gamma\delta} R^{\mu\nu}{}_{\alpha\beta} R^{\sigma\rho}{}_{\gamma\delta} \rightarrow \Omega^{-4} G + \dots$$

(in four dimensions) ($C_{\mu\nu\sigma\rho}$ is the conformal tensor.)

These lead to additional contributions, so

$$\begin{aligned}\langle T^\mu{}_\mu \rangle &= \beta_I \mathcal{O}^I + cR + \dots \quad (2-d) \\ &= \beta_I \mathcal{O}^I + aG + bF + \tilde{c}R^2 + \dots \quad (4-d),\end{aligned}$$

where c, a, b, \tilde{c} are the β -functions corresponding to $c_B, A_B, B_B, \tilde{C}_B$.

Derivation of c-theorem

Extend to x dependent couplings $g^I(x)$ and consider infinitesimal Weyl rescalings

$$\Omega = 1 + \sigma(x), \quad \delta_\sigma \gamma_{\mu\nu} = 2\sigma \gamma_{\mu\nu}$$

which are implemented by the operator

$$\Delta_\sigma = \int d^2x \sigma \left(2\gamma_{\mu\nu} \frac{\delta}{\delta\gamma_{\mu\nu}} + \beta^I \frac{\delta}{\delta g^I} \right)$$

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Acting on the vacuum energy functional $W[\gamma_{\mu\nu}, g^I]$, we get

$$\begin{aligned} \Delta_\sigma W = & - \int d^2x \sqrt{-\gamma} \sigma \left(cR + G_{IJ} \gamma^{\mu\nu} \partial_\mu g^I \partial_\nu g^J \right) \\ & - 2 \int d^2x \sqrt{-\gamma} \gamma^{\mu\nu} \partial_\mu \sigma W_I \partial_\nu g^I \end{aligned}$$

Derivation of c -theorem

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Group of local Weyl transformations is abelian:

$$[\Delta_\sigma, \Delta_{\sigma'}] = 0$$

Using

$$\delta_\sigma R = -2\sigma R - 2\nabla^2\sigma \quad \text{etc}$$

implies consistency conditions

$$\partial_I \tilde{c} = T_{IJ} \beta^J(g)$$

$$\tilde{c} = c + W_I \beta^I, \quad T_{IJ} = G_{IJ} + \partial_I W_J - \partial_J W_I$$

Chern-Simons theory

Chern-Simons theory: gauge theory in three dimensions

- Fractional statistics
- Quantum Hall effect
- High T_c superconductivity
- AdS/CFT correspondence: superconformal $\mathcal{N} = 2$ theories, e.g. BLG, ABJ models

Pure non-abelian Chern-Simons theory:

$$L = \frac{1}{2} \epsilon^{\mu\nu\rho} A_\mu^a \partial_\nu A_\rho^a + \frac{1}{6} e f^{abc} \epsilon^{\mu\nu\rho} A_\mu^a A_\nu^b A_\rho^c$$

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- With matter: no infinite counterterms for e and no β -function—the gauge coupling does not run.

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- Pure Chern-Simons theory (no matter) is topological and the gauge coupling is quantised
- With matter: no infinite counterterms for e and no β -function—the gauge coupling does not run.
- However there are finite corrections to e

An explicit example

We start with a particular case of the abelian Chern-Simons theory with matter:

$$L = \frac{1}{2} \epsilon^{\mu\nu\rho} A_\mu \partial_\nu A_\rho + |D_\mu \phi_j|^2 + i \bar{\psi}_j \hat{D} \psi_j + \alpha \bar{\psi}_j \psi_j \phi_k^* \phi_k \\ + \beta \bar{\psi}_j \psi_k \phi_k^* \phi_j + \frac{1}{4} \gamma (\bar{\psi}_j \psi_k^* \phi_j \phi_k + \bar{\psi}_j^* \psi_k \phi_j^* \phi_k^*) - h (\phi_j^* \phi_j)^3.$$

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The two-loop β -functions for this theory are given by

$$\beta_\alpha^{(2)} = \left(\frac{8}{3}n + 2\right) \alpha^3 + \frac{16}{3} \alpha^2 \beta + \left(\frac{8}{3}n + 3\right) \alpha \beta^2 + (n + 2) \beta^3 \\ + \frac{1}{4} \left(\frac{8}{3}n + \frac{17}{3}\right) \alpha \gamma^2 + \frac{3}{4} (n + 2) \beta \gamma^2 + 3 \beta^2 \mathbf{e}^2 + \frac{1}{4} \gamma^2 \mathbf{e}^2 \\ - \frac{2}{3} (20n + 31) \alpha \mathbf{e}^4 - 8 \beta \mathbf{e}^4 - 8(n + 2) \mathbf{e}^6,$$

$$\beta_\beta^{(2)} = \left(\frac{8}{3}n + 6\right) \alpha^2 \beta + \left(3n + \frac{16}{3}\right) \alpha \beta^2 + \left(\frac{2}{3}n + 1\right) \beta^3 \\ + \frac{3}{4} (n + 2) \alpha \gamma^2 + \frac{1}{4} \left(\frac{8}{3}n + \frac{17}{3}\right) \beta \gamma^2 - 3n \beta^2 \mathbf{e}^2 \\ + \frac{1}{4} (n + 2) \gamma^2 \mathbf{e}^2 - \frac{2}{3} (8n + 31) \beta \mathbf{e}^4,$$

An explicit example

$$\begin{aligned}\beta_\gamma^{(2)} &= \left(\frac{8}{3}n + 6\right) \alpha^2 \gamma + \left(6n + \frac{34}{3}\right) \alpha \beta \gamma + \left(\frac{8}{3}n + 6\right) \beta^2 \gamma \\ &\quad + \frac{1}{6}(n + 1)\gamma^3 + 4\alpha\gamma e^2 \\ &\quad + 2(n + 1)\beta\gamma e^2 - \frac{2}{3}(2n - 5)\gamma e^4,\end{aligned}$$

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It is straightforward to show that the β -functions satisfy

$$\begin{pmatrix} \partial_\alpha A \\ \partial_\beta A \\ \partial_\gamma A \end{pmatrix} = \begin{pmatrix} n & 1 & 0 \\ 1 & n & 0 \\ 0 & 0 & \frac{1}{4}(n+1) \end{pmatrix} \begin{pmatrix} \beta_\alpha^{(2)} \\ \beta_\beta^{(2)} \\ \beta_\gamma^{(2)} \end{pmatrix},$$

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(Note that the metric is positive definite!)

An explicit example

where

$$\begin{aligned} A = & \frac{n}{4} \left(\frac{8}{3}n + 2 \right) \alpha^4 + \frac{1}{6} \left(n^2 + 3n + 3 \right) \beta^4 + \frac{1}{96} (n + 1)^2 \gamma^4 \\ & + \left(\frac{8}{3}n + 2 \right) \alpha^3 \beta + \frac{1}{3} (3n^2 + 8n + 3) \beta^3 \alpha + (1 - n^2) \beta^3 e^2 \\ & + \frac{1}{3} (4n^2 + 9n + 8) \alpha^2 \beta^2 + \frac{1}{12} (4n + 9) (n + 1) (\alpha^2 + \beta^2) \gamma^2 \\ & + \frac{1}{12} (9n^2 + 26n + 17) \alpha \beta \gamma^2 + \frac{1}{2} (n + 1) \alpha \gamma^2 e^2 + \frac{1}{4} (n + 1)^2 \beta \gamma^2 e^2 \\ & - \frac{n}{3} (20n + 31) \alpha^2 e^4 - \frac{1}{3} (8n^2 + 31n + 12) \beta^2 e^4 \\ & - \frac{n}{3} (2n - 5) \gamma^2 e^4 - \frac{2}{3} (20n + 31) \alpha \beta e^4 - 8n(n + 2) \alpha e^6. \end{aligned}$$

General case

Use a general lagrangian

$$L = \frac{1}{2} \epsilon^{\mu\nu\rho} A_\mu \partial_\nu A_\rho + |D_\mu \phi_j|^2 + i \bar{\psi}_j \hat{D} \psi_j \\ + \alpha_{ijkl} \bar{\psi}_j \psi_j \phi_k^* \phi_l - h_{ijklmn} \phi^i \phi^j \phi^k \phi^l \phi^m \phi^n.$$

We can construct $A^{(5)}$, $A^{(7)}$ such that

$$d_\alpha A^{(5)} = d_\alpha^{ijkl} \beta_\alpha^{ijkl(2)} \quad d_h A^{(7)} = d_h^{ijklmn} \beta_h^{ijklmn(2)}$$

or schematically

$$d_\alpha A^{(5)} = d_\alpha^I g_{IJ} \beta_\alpha^{J(2)}, \quad g_{IJ} = \delta_{IJ}, \\ d_h A^{(7)} = d_h^a g_{ab} \beta_h^{b(2)}, \quad g_{ab} = \delta_{ab}.$$

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whatever the coefficients in $\beta^{(2)}$!

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whatever the coefficients in $\beta^{(2)}$! h -dependent terms in $A^{(7)}$ lead to predictions for 4-loop h -dependent contributions to β^α !

General case

$$\beta_\alpha^{(2)} = \alpha_1 \left[\text{Diagram 1} \right] + \alpha_2 \left[\text{Diagram 2} \right] + \alpha_3 \left[\text{Diagram 3} \right] + \alpha_4 \left[\text{Diagram 4} \right] + \dots$$

The diagrams in the first equation represent different configurations of a horizontal line with dashed arcs above and below it. Diagram 1 shows a single arc above and one below. Diagram 2 shows two arcs above and one below. Diagram 3 shows a circle above the line. Diagram 4 shows a V-shape above and a circle below.

$$A^{(5)} = \frac{1}{4} \alpha_1 \left[\text{Diagram 1} \right] + \frac{1}{4} \alpha_2 \left[\text{Diagram 2} \right] + \frac{1}{4} \alpha_3 \left[\text{Diagram 3} \right] + \frac{1}{4} \alpha_4 \left[\text{Diagram 4} \right]$$

The diagrams in the second equation represent different configurations of a sphere with dashed lines on its surface. Diagram 1 shows a sphere with four dashed lines forming a tetrahedron. Diagram 2 shows a sphere with six dashed lines forming a cube. Diagram 3 shows two spheres side-by-side. Diagram 4 shows a sphere with two small circles on its surface.

$$d_\alpha A^{(5)} = \left[\text{Diagram 1} \right]$$

The diagram in the third equation shows a sphere with a dashed line on its surface. A small square is drawn at the bottom of the sphere, and a label $\beta_\alpha^{(1)}$ is written below it. A small arrow labeled $d\alpha$ points to the top of the sphere.

General case

$$\beta_k^{(1)} = h_1 \text{ (sphere with two dashed lines)} + h_2 \text{ (sphere with one dashed line)}$$

$$A^{(2)} = h_1 \text{ (sphere with three dashed lines)} + h_2 \text{ (sphere with two dashed lines)}$$

$$d_h A^{(2)} = h_1 \text{ (sphere with three dashed lines and } dh) + h_2 \text{ (sphere with two dashed lines and } dh) + \dots$$

$$d_h A^{(2)} = 2h_1 \text{ (sphere with three dashed lines and } dh) + 1h_2 \text{ (sphere with two dashed lines and } dh)$$

$$+ 2h_2 \text{ (sphere with two dashed lines and } dh) + 2h_2 \text{ (sphere with one dashed line and } dh)$$

General case

So:

$$\beta_2^{(4)} = 2h_1 \left(\text{diagram 1} \right) + 2h_1 \left(\text{diagram 2} \right)$$

$$+ 2h_2 \left(\text{diagram 3} \right) + 2h_2 \left(\text{diagram 4} \right)$$

$$\Rightarrow \left(\text{diagram 5} \right) = \left(\text{diagram 6} \right)$$

$$= \frac{1}{2} \left(\text{diagram 7} \right) = \frac{1}{2} \left(\text{diagram 8} \right)$$

At higher orders one expects (if the gradient flow continues to hold)

$$d_\alpha A^{(7)} = d_\alpha^I \beta_\alpha^{I(4)} + d_\alpha^I T_{IJ}^{(5)} \beta_\alpha^{I(2)}$$

–the leading order term determined by earlier calculation and $T_{IJ}^{(5)}$ is unknown.

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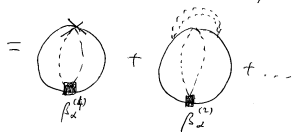
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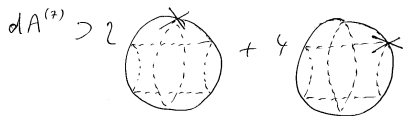
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General case

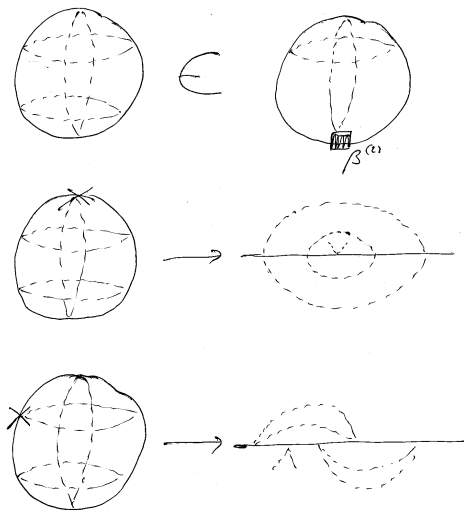
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- Topological understanding of 4-loop consistency relations?