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Heterotic $Z_2 \times Z_2$ orbifolds of non factorisable lattices

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Summary

1. Heterotic strings.
2. Compactifications on orbifolds.
3. $Z_2 \times Z_2$ orbifolds.
4. Connection between free fermionic models and orbifolds.
5. Non factorisable lattices.
6. Other ingredients in model building.
7. Conclusions.

- Conformal anomaly \longrightarrow extra degrees of freedom:
- compactified extra dimensions or
- internal free fermions on the worldsheet

Heterotic strings

- Closed strings: left movers and right movers are independent up to level matching

Left sector
(Susy, D=10)

$$X_L^\mu, \psi_L^\mu, \mu = 1, 2$$

$$X_L^i, \psi_L^i, i = 1, \dots, 6$$

Right sector
(Bosonic, D=26)

$$X_R^\mu, \mu = 1, 2$$

$$X_R^i, i = 1, \dots, 6$$

$$X_R^I, I = 1, \dots, 16$$

X_R^I : internal, compactified on a lattice $E_8 \times E_8$ or $SO(32)$

4D models : compactify $X^i = X_L^i + X_R^i \longrightarrow X^i = X^i + 2\pi$ (T^6) \longrightarrow non-chiral, N=4 SUSY



orbifolds

Orbifolds

$$T^6/P \times T_L^{16}/G \times M^4$$

P - discrete symmetry (point group)

G - embedding of the point group in the gauge degrees of freedom

Geometrically: $g \in P$, $gX \sim X$; **fixed points**: $gX = X$ (**singular**)

Example: $S^1 = [0, 1)$, $X \sim X + 1$

Z_2 : $X \sim -X$; fixed points $X = 0, 1/2$

$S^1/Z_2 = [0, 1/2]$

String theory orbifold: • keep states that are invariant under P
• add twisted sectors (also projected into P)

Twisted sectors (at the fixed points): $X(\sigma + 2\pi) = g X(\sigma), \forall g \in P$

Wilson lines: embedding of the torus lattice in the gauge degrees of freedom. They **break the gauge group** and **modify the spectrum**.

$Z_2 \times Z_2$ orbifolds

$$T^6 = T^2 \times T^2 \times T^2$$

$$\theta_1 = (+, -, -)$$

$$\theta_2 = (-, -, +)$$

Three twisted sectors : θ_1 , θ_2 , $\theta_1\theta_2$

θ_1 fixed points : $X_{1,2}^{(2)} = 0, 1/2$, $X_{1,2}^{(3)} = 0, 1/2 \rightsquigarrow 2^4$ fixed tori

Similar for θ_2 and $\theta_1\theta_2 \rightsquigarrow 3 \times 16 = \underline{48}$ fixed tori

1 fixed point \rightarrow 1 Standard Model generation

Wilson lines lift the degeneracy of the fixed points

Constructing element:

$$(x, y, 1/2, 0, 0, 0) \xrightarrow{\theta_1} (x, y, -1/2, 0, 0, 0) = (x, y, 1/2, 0, 0, 0) + (0, 0, 1, 0, 0, 0)$$

$$(x, y, 1/2, 0, 0, 0) \sim (\theta_1, e_3)$$

Free fermionic models

$$\psi_L^\mu, \mu = 1, 2$$

$$\psi_L^i, i = 1, \dots, 6 \longrightarrow \chi^{1\dots 6} (r)$$

$$X_L^i, i = 1, \dots, 6 \longrightarrow y^{1\dots 6}, \omega^{1\dots 6} (r)$$

$$X_R^i, i = 1, \dots, 6 \longrightarrow \bar{y}^{1\dots 6}, \bar{\omega}^{1\dots 6} (r)$$

$$X_R^I, I = 1, \dots, 16 \longrightarrow \bar{\psi}^{1\dots 5}, \bar{\eta}^{1,2,3} (E_8), \bar{\phi}^{1\dots 8} (E'_8) (c)$$

$$\{ \psi_{1,2}^\mu, \chi^{1\dots 6}, y^{1\dots 6}, \omega^{1\dots 6} \mid \bar{y}^{1\dots 6}, \bar{\omega}^{1\dots 6}, \bar{\psi}^{1\dots 5}, \bar{\eta}^{1,2,3}, \bar{\phi}^{1\dots 8} \}$$

$$\boxed{f \longrightarrow e^{-i\alpha(f)\pi} f} \quad \alpha = 0 (NS) ; \alpha = 1 (R)$$

$$\text{Basis vectors: } \vec{b} = \{ \alpha(\psi_{1,2}^\mu), \alpha(\chi^1), \alpha(\chi^2), \dots \mid \dots \}$$

Semi-realistic models

- gauge group includes Standard Model with $SO(10)$ embedding
- 3 chiral generations
- N=1 SUSY
- minimal Higgs spectrum, proton stability

Correspondence between free fermionic models and orbifolds

[Faraggi,1993]

Model: { 1, S, ξ_1 , ξ_2 }

	ψ^μ	χ^{12}	χ^{34}	χ^{56}	y^{12}	$y^{3\dots 6}$	$\omega^{1\dots 4}$	ω^{56}	$\bar{\psi}^{1,\dots,5}$	$\bar{\eta}^1$	$\bar{\eta}^2$	$\bar{\eta}^3$	$\bar{\phi}^{1,\dots,8}$
S	1	1	1	1	0	0	0	0	0	0	0	0	0
ξ_1	0	0	0	0	0	0	0	0	1	1	1	1	0
ξ_2	0	0	0	0	0	0	0	0	0	0	0	0	1

$\mathcal{N} = 4$ SUSY. Gauge group : $\underbrace{SO(12)}_{\{\bar{y}^{1\dots 6}, \bar{\omega}^{1\dots 6}\}} \times \underbrace{E_8}_{\{\bar{\psi}^{1\dots 5}, \bar{\eta}^{1,2,3}\}} \times \underbrace{E_8}_{\{\bar{\phi}^{1\dots 8}\}}$

Add $\{b_1, b_2\}$

	ψ^μ	χ^{12}	χ^{34}	χ^{56}	y^{12}	$y^{3\dots 6}$	$\omega^{1\dots 4}$	ω^{56}	$\bar{\psi}^{1,\dots,5}$	$\bar{\eta}^1$	$\bar{\eta}^2$	$\bar{\eta}^3$	$\bar{\phi}^{1,\dots,8}$
b_1	1	1	0	0	0	1	0	0	1	1	0	0	0
b_2	1	0	1	0	1	0	0	1	1	0	1	0	0

$\mathcal{N} = 4 \rightarrow \mathcal{N} = 1$ $[SO(4)]^3 \times E_6 \times U(1)^2 \times E_8$; 24 generations

b_1 & b_2 - translate to twists by $\frac{1}{\sqrt{2}} (y^i + i\omega^i) = -ie^{iX_i}$

y, ω	X_L	X_R	$X = X_L + X_R$
0 0	$X_L + \pi$	$X_R + \pi$	$X + 2\pi$
1 0	$-X_L$	$-X_R$	$-X$
0 1	$-X_L + \pi$	$-X_R + \pi$	$-X + 2\pi$
1 1	X_L	X_R	X

- $b_1 \longrightarrow \theta_1 = (+, -, -)$
- $b_2 \longrightarrow \theta_1 \theta_2 = (-, +, -)$
- $b_3 = 1 + \xi_2 + b_1 \longrightarrow \theta_2 = (-, -, +)$

The orbifold formulation: compactification on the $SO(12)$ root lattice at a specific point, $R_i = \sqrt{2}$, in the moduli space and with the background fields:

$$g_{ij} = \text{Cartan matrix of } SO(12), \quad b_{ij} = \begin{cases} g_{ij} & , i > j \\ 0 & , i = j \\ -g_{ij} & , i < j \end{cases}$$

$$\boxed{SO(12)/Z_2 \times Z_2}$$

$Z_2 \times Z_2$ orbifolds of non factorisable tori

$SO(12)$ root lattice:

$$\begin{aligned}
 e_1 &= (\mathbf{1}, -\mathbf{1}, 0, 0, 0, 0) \\
 e_2 &= (0, \mathbf{1}, -\mathbf{1}, 0, 0, 0) \\
 e_3 &= (0, 0, \mathbf{1}, -\mathbf{1}, 0, 0) \\
 e_4 &= (0, 0, 0, \mathbf{1}, -\mathbf{1}, 0) \\
 e_5 &= (0, 0, 0, 0, \mathbf{1}, -\mathbf{1}) \\
 e_6 &= (0, 0, 0, 0, \mathbf{1}, \mathbf{1})
 \end{aligned}
 \quad
 \begin{pmatrix} x^1 \\ \vdots \\ x^6 \end{pmatrix}
 \rightarrow
 \theta_1
 \begin{pmatrix} x^1 \\ \vdots \\ x^6 \end{pmatrix},
 \quad
 \theta_1 =
 \begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 \end{pmatrix}$$

θ_1 fixed tori:

$$\{(x, y, 0, 0, 0, 0) \mid x, y \in \mathbb{R}^2/\Lambda^2\}, \quad \Lambda^2 : \{(1, 1), (1, -1)\}$$

$$\{(x, y, 1, 0, 0, 0) \mid x, y \in \mathbb{R}^2/\Lambda^2\}$$

$$\left\{ \left(x, y, \underline{\frac{1}{2}, \frac{1}{2}}, 0, 0 \right) \mid x, y \in \mathbb{R}^2/\Lambda^2 \right\} \quad \binom{4}{2} = 6$$

$$\left\{ \left(x, y, \underline{\frac{1}{2}, -\frac{1}{2}}, 0, 0 \right) \mid x, y \in \mathbb{R}^2/\Lambda^2 \right\}$$

$$\left\{ \left(x, y, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \pm \frac{1}{2} \right) \mid x, y \in \mathbb{R}^2/\Lambda^2 \right\}$$

But:

$$(x, y, 1, 0, 0, 0) + e_1 + e_2 = (x + 1, y, 0, 0, 0, 0) \sim (x, y, 0, 0, 0, 0)$$

Similar for the other fixed tori \rightarrow **only 8 tori are inequivalent.**

3 twisted sectors \rightarrow **24 fixed tori** (as in the free fermionic model)

Less fixed points

Lattice	fixed tori	(generations, anti-generations)	net # of generations
(SO(12))	24	(27,3)	24
(SO(6) ² -A)	20	(19,7)	12
(SO(6) ² -B)	12	(15,3)	12
(SU(3) ³)	12	(12,6)	6

Minimal number of fixed points per twisted sector is **four**.

[S. Forste, T. Kobayashi, H. Ohki, K. Takahashi; 2006]

$[SO(6)]^2$ root lattice (skew)

$$e_1 = (1, 0, -1, 0, 0, 0)$$

$$e_2 = (0, 0, 1, 0, -1, 0)$$

$$e_3 = (0, 0, 1, 0, 1, 0)$$

$$e_4 = (0, 1, 0, -1, 0, 0)$$

$$e_5 = (0, 0, 0, 1, 0, -1)$$

$$e_6 = (0, 0, 0, 1, 0, 1)$$

12 fixed tori

$$A_2 = A_3, A_5 = A_6$$

θ_2	θ_1	$\theta_1\theta_2$
$(\theta_2, 0)$	$(\theta_1, 0)$	$(\theta_1\theta_2, 0)$
$(\theta_2, A_2), A_1$	$(\theta_1, A_1), A_2$	$(\theta_1\theta_2, A_1 + A_2), A_1, A_2$
$(\theta_2, A_5), A_4$	$(\theta_1, A_4), A_5$	$(\theta_1\theta_2, A_4 + A_5), A_4, A_5$
$(\theta_2, A_2 + A_5), A_1 + A_4$	$(\theta_1, A_1 + A_4), A_2 + A_5$	$(\theta_1\theta_2, \sum_{1,2,4,5} A_i), A_1 + A_4, A_2 + A_5$

A 3 generation model

$$[SO(6)]^2 / Z_2 \times Z_2$$

$$V_1 = \left(\frac{1}{2}, -\frac{1}{2}, 0^6\right) (0^8) \quad , \quad V_2 = \left(0, \frac{1}{2}, -\frac{1}{2}, 0^5\right) (0^8)$$

$$\begin{aligned} A_1 &= (0^8) \left(0^3, \frac{1}{2}, \frac{1}{2}, -\frac{1}{2}, -\frac{1}{2}, 0\right) \\ A_2 = A_3 &= (0^7, 1) (1, 0^7) \\ A_4 &= (0^8) \left(0, -\frac{1}{2}, -\frac{1}{2}, 0, 0, \frac{1}{2}, \frac{1}{2}, 0\right) \\ A_5 = A_6 &= (0^8) \left(0, \frac{1}{2}, \frac{1}{2}, -\frac{1}{2}, -\frac{1}{2}, 0^3\right) \end{aligned}$$

$$E_8 \times E_8 \xrightarrow{V_1, V_2} E_6 \times U(1)^2 \times E_8 \xrightarrow{A_{1\dots 6}} SO(10) \times U(1)^3 \times [SU(2)]^8$$

One generation per twisted sector

Other ingredients in orbifold constructions

Asymmetric boundary conditions: in the free fermionic models they allow to obtain a **minimal Higgs spectrum**



asymmetric twists



moduli stabilisation, doublet-triplet splitting

Shifts : identify some of the fixed points \rightsquigarrow less generations to start with \rightsquigarrow less Wilson lines needed

Conclusions

Free fermionic models produce in a simple and elegant manner semi-realistic models.

They seem to be related to $Z_2 \times Z_2$ orbifolds of the $SO(12)$ lattice. One can use the free fermionic models as inspiration for model building in orbifold compactifications.

Non factorisable lattices offer an interesting, yet simple set-up for model building.

Asymmetric boundary conditions play an important role in the free fermionic models. One should investigate asymmetric orbifolds.