

# QUESTIONS OF CAUSALITY IN QUANTUM FIELD THEORY

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Based on:

R. Dickinson, J. Forshaw, PM and B. Cox

JHEP 06 (2014) 49

arXiv: 1312.3871 [hep-th]

R. Dickinson, J. Forshaw and PM X2

in preparation



## Outline

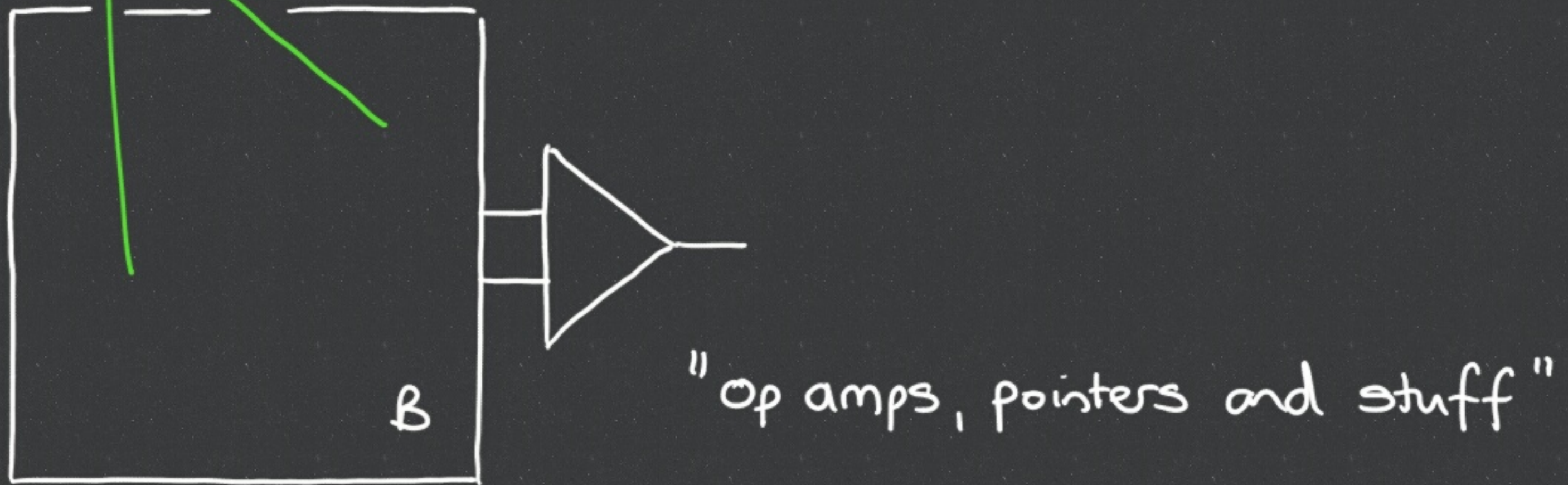
- Motivation
  - S-matrix theory and the adiabatic hypothesis
- Role of Sources
  - Modelling emission and detection in QFT
  - Manifestly-causal transition amplitudes
- Role of Negative Frequencies
  - "Neoclassical" QFT
- Conclusions



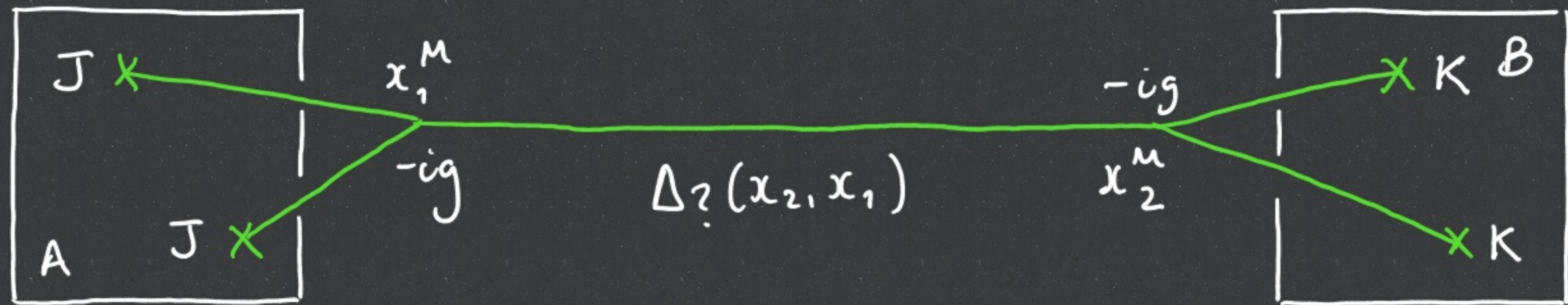
# A Theorist's View of the LHC



"theory stuff"



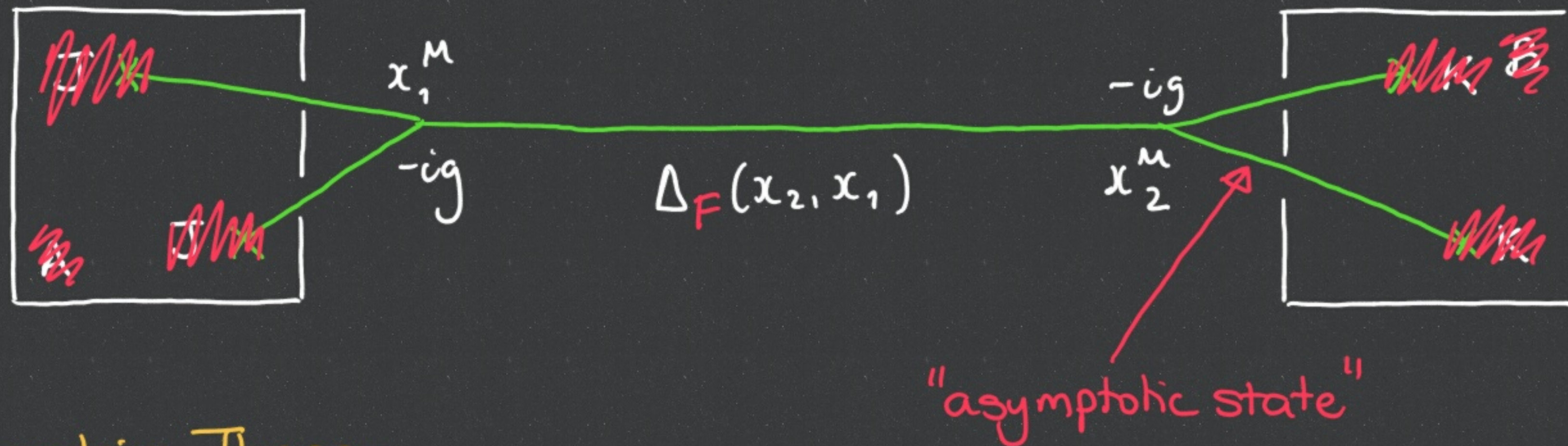




## S-matrix Theory

1. Source  $J(K)$  acts in the infinitely - distant past (future)
2. (a) Sources act over an infinite space-time volume  
 (b) Interactions turned on infinitely slowly (adiabatic hypothesis)
3.  $\Delta?(x_2, x_1)$  becomes the Feynman propagator  $\Delta_F(x_2, x_1)$



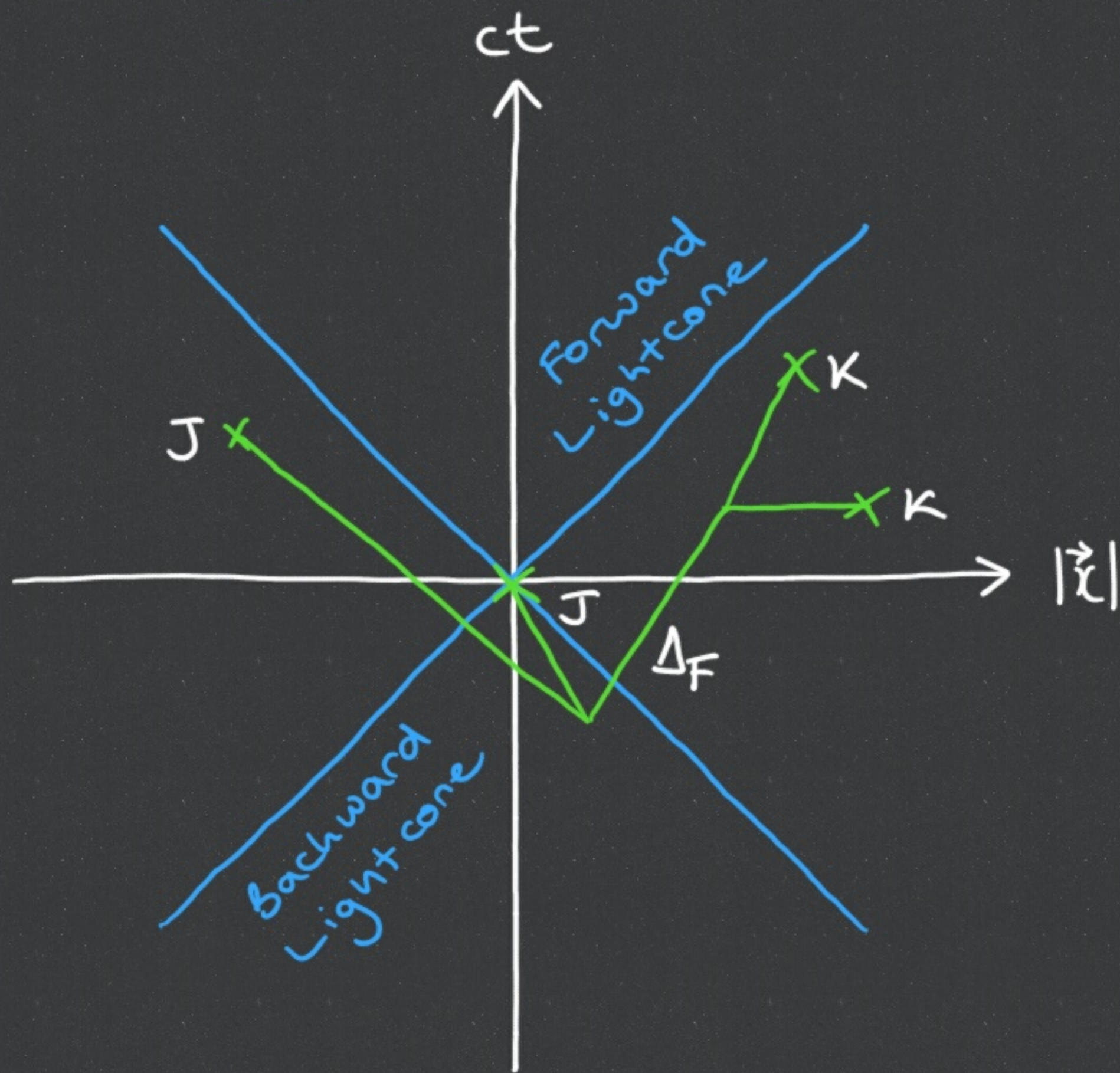


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# Causality



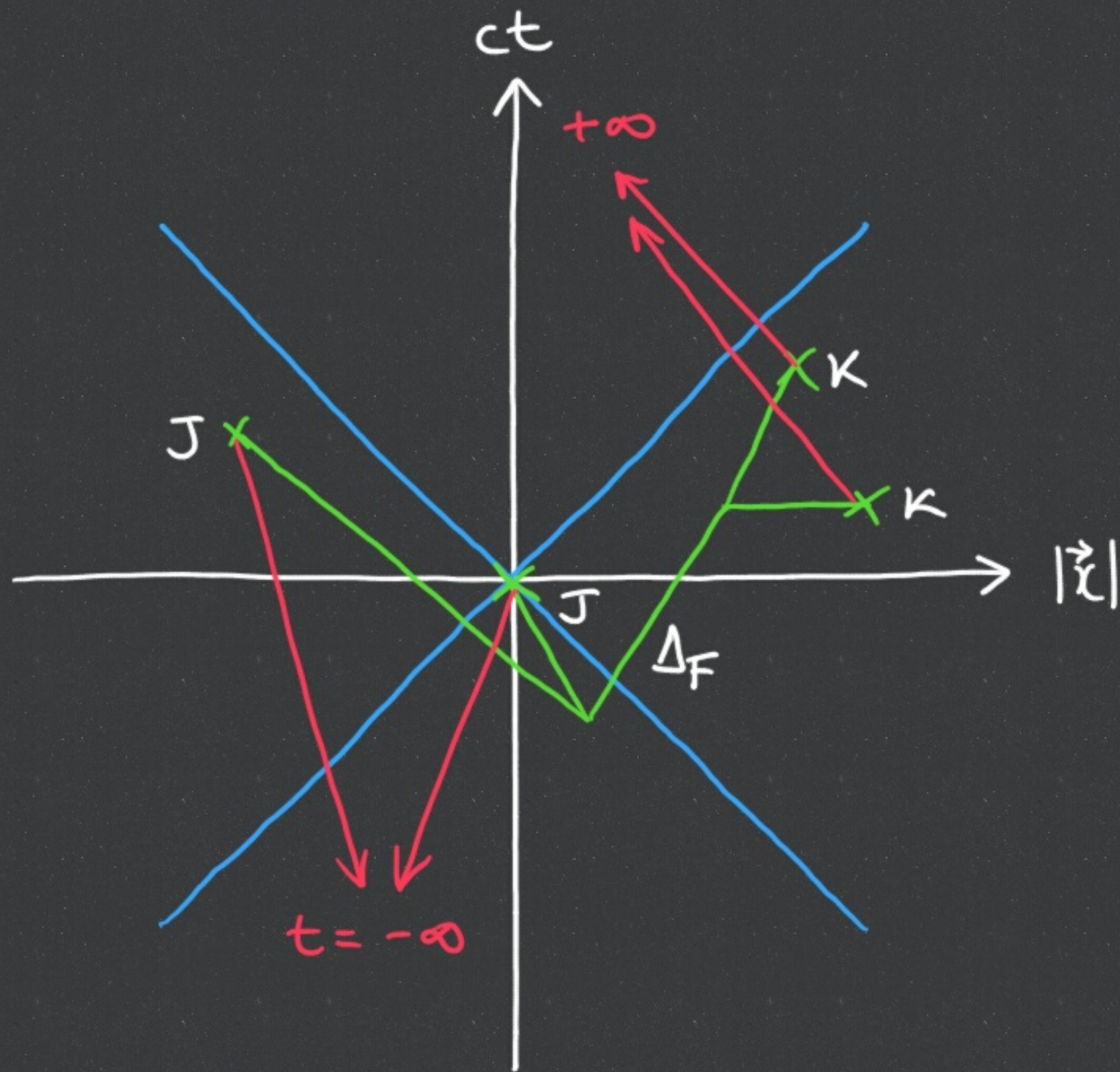
The Feynman propagator has support over all spacetime separations.

How is this in accord with the causality that we build into QFT?

$$[\phi(t, \vec{x}), \phi(t, \vec{y})] = 0$$



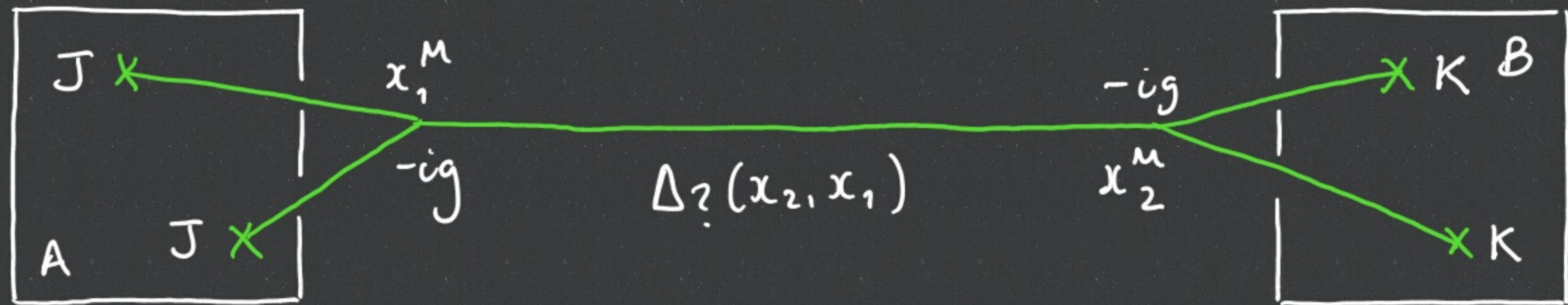
# Causality



ALL spacetime points are in the forward lightcone of  $J$  and the backward lightcone of  $K$ .

"Causal by default"





## Keeping Things Finite

1. What is  $\Delta?$  if A and B have a finite spacetime separation?
2. Surely we must get zero if no part of B lies in the forward lightcone of A?



## Modelling Emission in QFT

Add a classical source  $J(x)$  to the interaction Hamiltonian:

$$\mathcal{H}^{\text{int}}(x) = \frac{1}{3!} g \phi^3(x) - J(x) \phi(x)$$

$J(x)$  acts like a driving force, i.e. the Euler-Lagrange E.o.M. is:

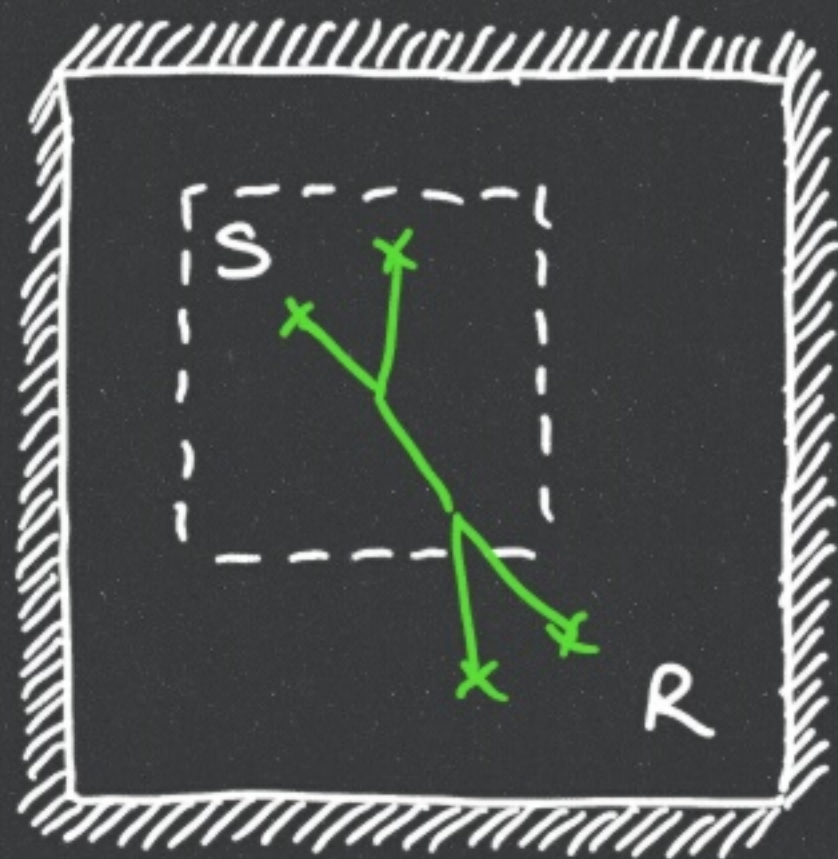
$$\left[ -\square - m^2 - \frac{1}{2} g \phi(x) \right] \phi(x) = J(x)$$

Production of pure states:

$$|\psi\rangle = \sum_n a_n |n\rangle$$



## Modelling Detection in QFT



We divide the Universe into two systems:

S — where the emission and scatterings occur

R — which models the detector and will be "switched on" at some finite time  $T$ .

S and R should be entangled after  $T$

⇒ mixed states, i.e. density operators

$$\rho = \sum_{n,m} a_{nm} |n\rangle\langle m|$$



## What Can We Ask?

- S-matrix: we compare "in" and "out" states, i.e.

$$\langle \text{out}(+\infty) | \text{in}(-\infty) \rangle = \langle \text{in}(-\infty) | S | \text{in}(-\infty) \rangle$$

- We want to compare the  
pure state before the detector acts with the  
mixed state after the detector acts

$$\Rightarrow P \hat{=} \langle\langle \mathcal{L}_S(T) | \mathcal{L}_S(\infty) \rangle\rangle$$

How do we begin to write down this  
complicated mixed state?



Evolution (not the Darwinian kind)

Pure States

$$|\psi(t')\rangle = \underbrace{U(t', t)} |\psi(t)\rangle$$

unitary evolution operator

$$\langle O \rangle_t = \langle \psi(t) | O | \psi(t) \rangle$$

Mixed States

In the density-operator language,  $\rho(t)$  evolves via the

von Neumann equation

$$\frac{d\rho}{dt} = -i [\mathcal{H}, \rho]$$

$$\langle O \rangle_t = \text{Tr} \rho(t) O$$



Thermo Field Dynamics [See e.g. Y. Takahashi and H. Umezawa, Collect. Phenom. 2 (1975) 55; F.C. Khanna et al., World Scientific (2009)]

Instead of density operators and traces, we can double up the Hilbert space and introduce "thermal vacuum states"

$$|1\rangle\rangle = \sum_n |n\rangle \otimes |n\rangle^*$$

$$|\mathcal{Q}(t)\rangle\rangle = (\rho(t) \otimes \mathbb{I}) |1\rangle\rangle$$

We introduce the Liouvillian:  $\hat{H} \hat{=} \underbrace{H \otimes \mathbb{I}}_{H^+} - \underbrace{\mathbb{I} \otimes H^*}_{H^-}$ . Then

$$|\mathcal{Q}(t')\rangle\rangle = \underbrace{\hat{U}(t', t)}_{\hat{T} \exp\left[i \int_t^{t'} d\tau \hat{H}^{int}(\tau)\right]} |\mathcal{Q}(t)\rangle\rangle$$

$$\langle 0 \rangle_t = \langle\langle 1 | 0 | \mathcal{Q}(t) \rangle\rangle$$



## Tracing out R

We are not interested in the internal dynamics of R; only its impact on how excitations move around in S.

Using the state  $|1_R\rangle\rangle$  we can "trace out" R after the time T to get the mixed state of S:

$$\begin{aligned} |\rho_S(t)\rangle\rangle &= \langle\langle 1_R | \rho(t) \rangle\rangle \\ &= \langle\langle 1_R | \hat{U}(t, T) | \rho_R(T) \rangle\rangle |\rho_S(T)\rangle\rangle \end{aligned}$$

$$|\rho_S(T)\rangle\rangle = \hat{U}_S(T, t_0) |\rho_S(t_0)\rangle\rangle$$



## Source Expansion

We hide our ignorance of the interactions between S and R

by writing

$$\langle\langle 1_R | \hat{U}(t, \tau) | \mathcal{L}_R(\tau) \rangle\rangle = \hat{T} \exp \left( i \int_{\tau}^t d^4x \kappa[\phi^+, \phi^-] \right)$$

$$\kappa[\phi^+, \phi^-] = \kappa(x) + \kappa^+(x) \phi^+(x) + \kappa^-(x) \phi^-(x)$$

$$+ \int_{\tau}^{\infty} d^4y \kappa^{++}(x, y) \phi^+(x) \phi^+(y)$$

$$+ \int_{\tau}^{\infty} d^4y \kappa^{+-}(x, y) \phi^+(x) \phi^-(y)$$

+ ...



Now ...

$$P = \langle\langle \mathcal{L}_S(\tau) | \mathcal{L}_S(\infty) \rangle\rangle$$
$$= \underbrace{\langle\langle \mathcal{L}_S(-\infty) |}_{\langle\langle 0 |} \underbrace{\hat{U}_S^\dagger(\tau_1, -\infty) \hat{T} \exp\left(i \int_{\tau_1}^{\infty} d^4x \mathcal{K}[\phi^+, \phi^-]\right) \hat{U}_S(\tau_1, -\infty)}_{\langle\langle 0 |} \underbrace{|\mathcal{L}_S(-\infty)\rangle\rangle}_{|0\rangle\rangle}$$

In order to calculate this, we need to time-order all the operators ...

Suppose  $x^\mu$  is later than  $y^\mu$ :

$$\phi(y)\phi(x) = \hat{T}[\phi(x)\phi(y)] - [\phi(x), \phi(y)]$$



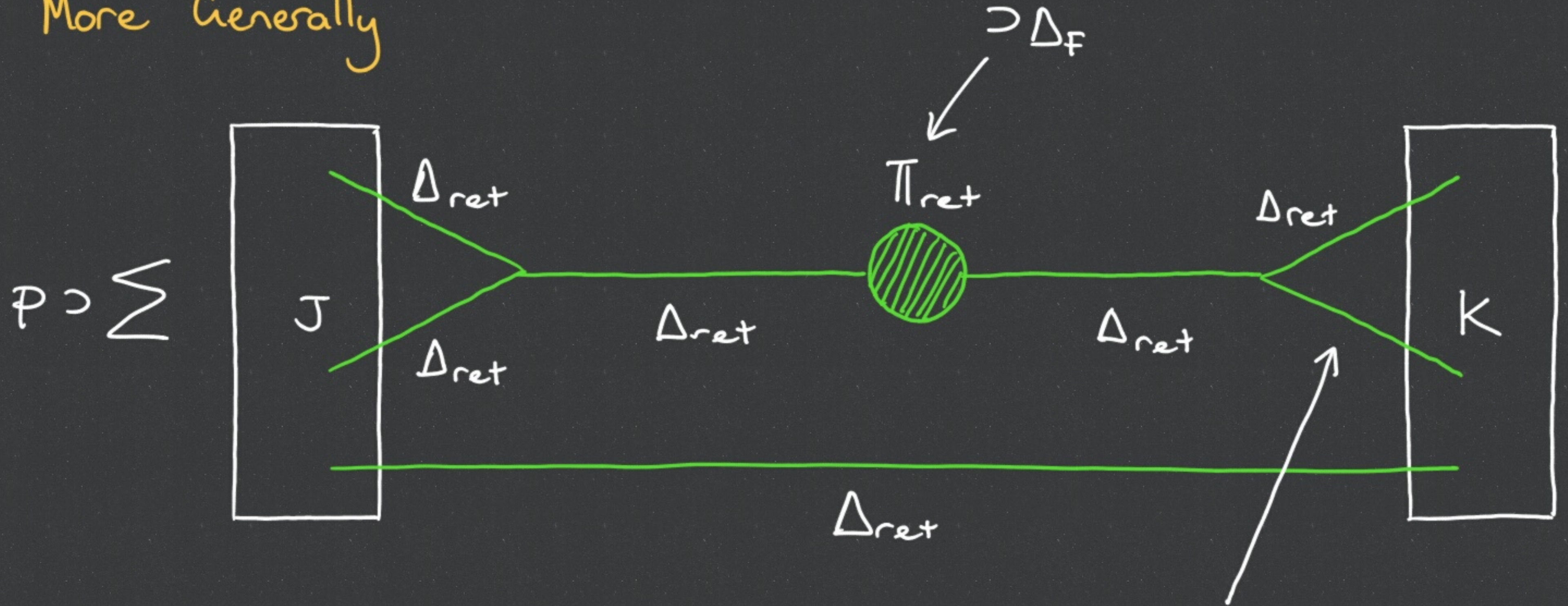
## Simple Example

$$P = \left| \iint_{x \succ y} K(x) J(y) \langle 0 | \underbrace{(\phi(x) \phi(y) - \phi(y) \phi(x))}_{[\phi(x), \phi(y)]} | 0 \rangle \right|^2$$

= 0 if  $K$  is not in the forward lightcone of  $J$ .



More Generally



$\Rightarrow$  J and K are connected by an unbroken chain of retarded propagators.

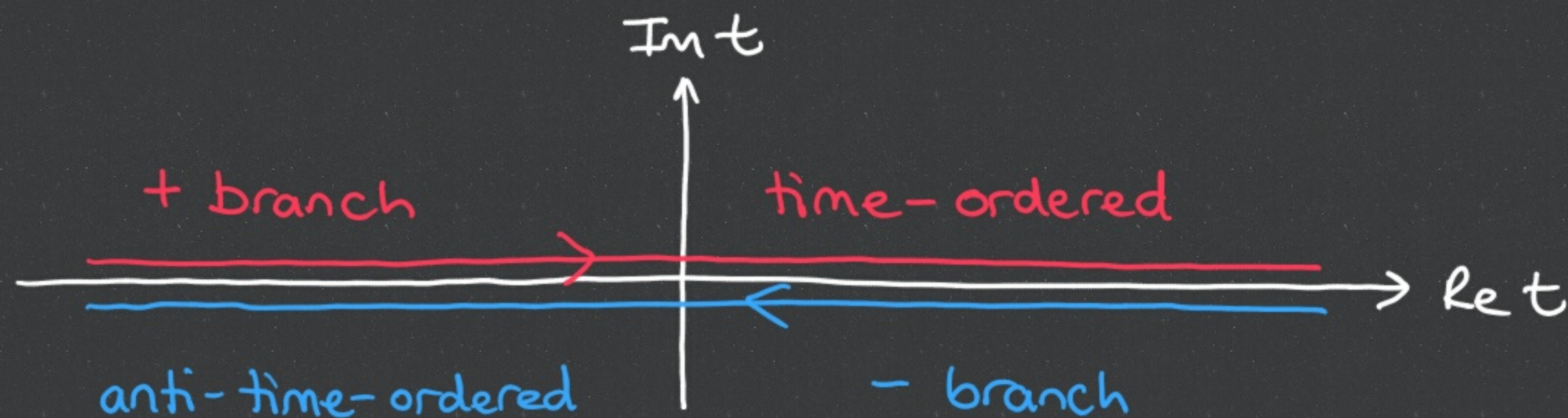
$2\Delta_F - \Delta_{ret}$   
 $\nwarrow$   
 EPR-like correlations



## Causal Functions

We can construct a **generating functional** for the Green's functions appearing in these source-to-source amplitudes using the **Schwinger-Keldysh closed-time path (CTP)** formalism.

⇒ path-integrals for expectation values



[See Dickinson et al. JHEP 06(2014)49 and references therein.]



## Generating Functional

$$\Gamma_{\text{ret}}^{n \rightarrow m} = \left[ \prod_{k=1}^m \frac{1}{i} \delta_k^+ \prod_{l=1}^n \frac{1}{i} (\delta_l^+ + \delta_l^-) \right] W[j^\pm]$$

$\delta^\pm$  are functional derivatives w.r.t.  $j^\pm$ .

$$W[j^\pm] = \ln \left[ Z_0[0] \exp \left\{ \frac{g}{3!} \int_x [(\delta^+)^3 + (\delta^-)^3] \right\} \right]$$

$$\times \exp \left\{ -\frac{1}{2} \int_{xy} \left[ \underbrace{j^+ \Delta_F j^+}_{\text{Feynman}} - \underbrace{j^+ \Delta \langle j^- - j^- \Delta \rangle j^+}_{\text{Wightman}} + \underbrace{j^- \Delta_D j^-}_{\text{Dyson}} \right] \right\}$$

Feynman

Wightman

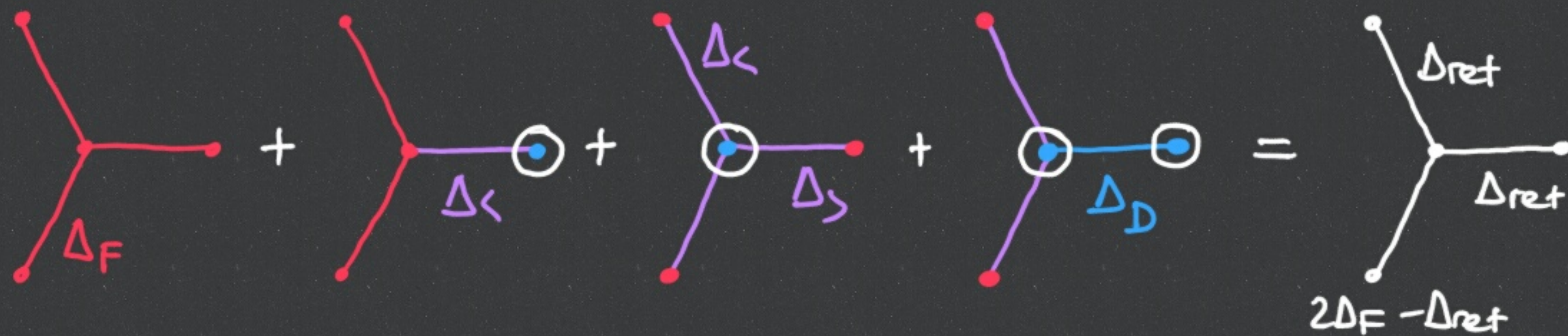
Dyson



## Unitarity Cuts [R.L. Kobes and G.W. Semenoff, Nucl. Phys. B260 (1985) 714]

Summing over all + 's and - 's we have the following rule:

"Draw a given diagram and sum over all ways of circling points leaving the outgoing points uncircled."



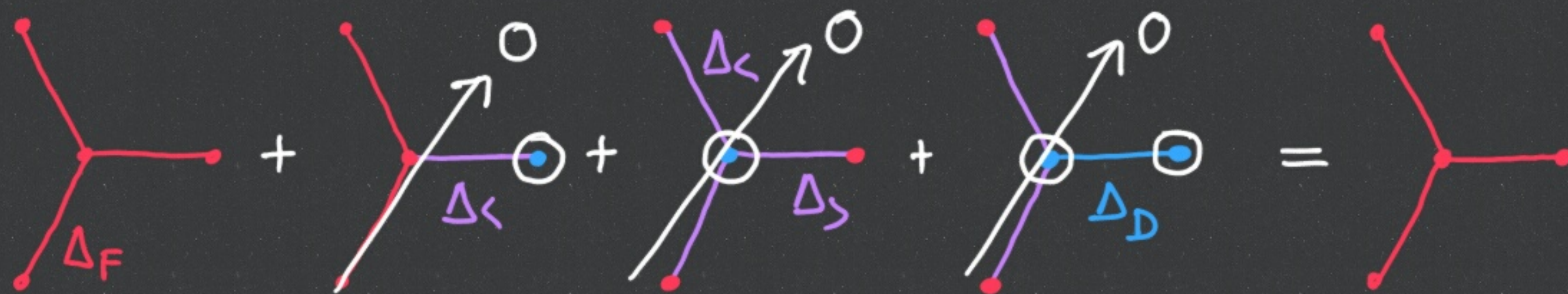
For one out-going point, these are precisely the rules that give the

causal functions of the Kobes-Semenoff Unitarity Cutting Rules.  
[R.L. Kobes, Phys. Rev. D43 (1991) 1269]



## Positive-Frequency Limit

In the limit that the sources couple only to positive-frequency plane waves — as in the S-matrix case — only the "no circlings" contribution survives.



By virtue of unitarity, this holds to all orders in perturbation theory and we recover the usual Feynman diagram technique.



## Negative - Frequencies

### Feynman Propagator

$$\Delta_F(x, y) = \Theta(x^0 - y^0) \int_{LIPS} e^{-ip \cdot (x - y)} + \Theta(y^0 - x^0) \int_{LIPS} e^{ip \cdot (x - y)}$$

### Retarded Propagator

$$\Delta_{ret}(x, y) = \Theta(x^0 - y^0) \underbrace{\int_{LIPS} e^{-ip \cdot (x - y)}}_{\text{Positive - Frequency}} - \Theta(x^0 - y^0) \underbrace{\int_{LIPS} e^{ip \cdot (x - y)}}_{\text{Negative - Frequency}}$$

Positive - Frequency

forwards in time

Negative - Frequency

forwards in time

The retarded propagator is symmetric under  $E \rightarrow -E$ .



## Negative Energy (Warning: über provisional)

Can we make a nominal modification of QFT to permit negative-energy flow in vacuo?

We want a pair of free field operators  $\phi^+$  and  $\phi^-$ , related to one another by a discrete transformation  $E \rightarrow -E$ .

$$[a_p^+, a_q^{+\dagger}] = + (2\pi)^3 2E_p \delta^{(3)}(p-q) = \langle\langle p^+ | q^+ \rangle\rangle$$

$$[a_p^-, a_q^{-\dagger}] = - (2\pi)^3 2E_p \delta^{(3)}(p-q) = \langle\langle p^- | q^- \rangle\rangle$$

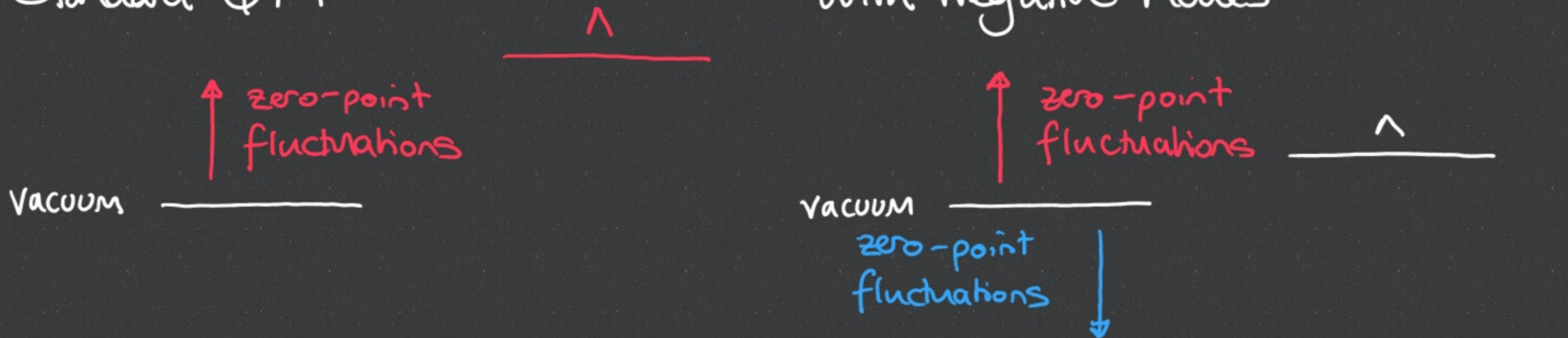
$$[a_p^+, a_q^{-\dagger}] = 0 = \langle\langle p^+ | q^- \rangle\rangle$$



# Positive Bias and the Cosmological Constant Problem

Standard QFT

With Negative Modes



$$H = H^+ + H^- = \int_{\text{LIPS}} \left( \underbrace{a_p^{+\dagger} a_p^+ + a_p^+ a_p^{+\dagger}}_{a_p^{+\dagger} a_p^+ + (2\pi)^3 2E_p \delta^{(3)}(\vec{0})} + \underbrace{a_p^{-\dagger} a_p^- + a_p^- a_p^{-\dagger}}_{a_p^{-\dagger} a_p^- - (2\pi)^3 2E_p \delta^{(3)}(\vec{0})} \right)$$

Q's: Loop level? Condensates?



## Interactions

$$\mathcal{H}^{\text{int}}(x) = \frac{g}{3!} \left\{ [\phi^+(x)]^3 + [\phi^-(x)]^3 \right\} - J(x) [\phi^+(x) + \phi^-(x)]$$

$$\text{Def}^n: \tilde{\phi}^\pm \equiv \phi^+ \pm \phi^-$$

Correlation functions split into:

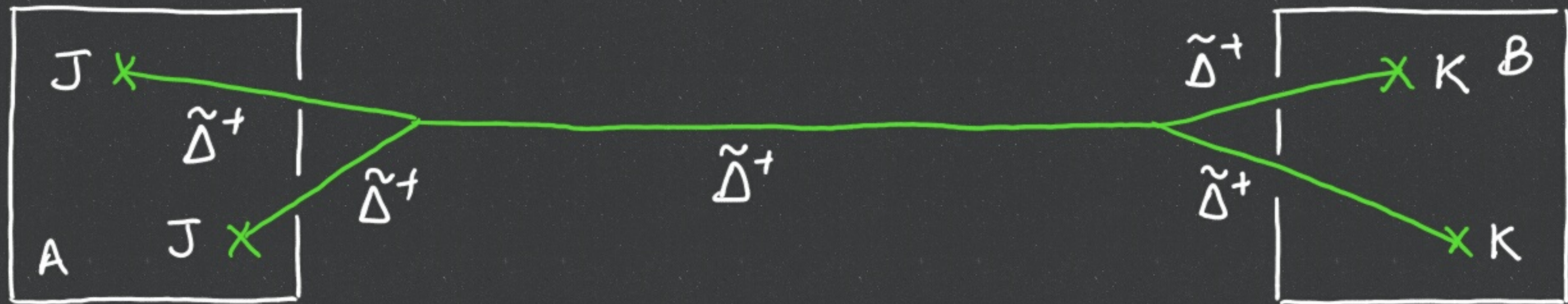
(i) purely causal

$$\tilde{\Delta}^+(x, y) \equiv \langle\langle T[\tilde{\phi}^\pm(x) \tilde{\phi}^\pm(y)] \rangle\rangle = \Delta_{\text{ret}}(x, y) + \Delta_{\text{adv}}(x, y)$$

(ii) purely a-causal

$$\tilde{\Delta}^-(x, y) \equiv \langle\langle T[\tilde{\phi}^\pm(x) \tilde{\phi}^\mp(y)] \rangle\rangle = -2 \text{Im} \Delta_F(x, y)$$





Tree-level source-to-source amplitudes are completely causal (when scattering plane waves.)



## Problems: Bloch-Nordsieck Cancellation

In QED, we need the interference of real and virtual emissions for IR divergences to cancel, i.e.

$$\begin{aligned} & \left| \text{tree} + \text{tree} + \text{tree} + \text{tree} \right|^2 \\ & \supset 2 \operatorname{Re} \left[ \text{virtual} + \text{real} \right] \end{aligned}$$

virtual                                  real

**But** our matrix elements are either purely real or purely imaginary:  $|M_{\text{tree}} + M_{\text{real}} + M_{\text{virt}}|^2 = |M_{\text{tree}} + M_{\text{real}}|^2 + |M_{\text{virt}}|^2$  ~~X~~



## Loop Corrections

The one-particle irreducible effective action

$$V(\tilde{\varphi}^{\pm}) = \frac{1}{4} \left\{ m^2 [(\tilde{\varphi}^+)^2 + (\tilde{\varphi}^-)^2] + ig^2 \tilde{\varphi}^+ \tilde{\varphi}^- \int_k \left( \frac{1}{k^2 - M^2 + i\epsilon} \right)^2 \right.$$

leading UV divergence  
has cancelled

UV divergent  
mixing

⇒ potential suppression of UV sensitivity?

⊙: naturalness?



## Electroweak Oblique Corrections

Standard matrix elements for neutral and charge current reactions

$$M_{NC} = \frac{e^2 \varphi \varphi'}{q^2 + \pi_A} + \frac{e^2}{s^2 c^2} \frac{(\mathcal{I}_3 - s_*^2 \varphi)(\mathcal{I}_3' - s_*^2 \varphi')}{q^2 - m_Z^2 + \pi_Z + \frac{\pi_{ZA}^2}{q^2 + \pi_A}}$$

$$M_{CC} = \frac{e^2}{2s^2} \frac{\mathcal{I}_+ \mathcal{I}_-}{q^2 - m_W^2 + \pi_W}$$

$$s_*^2 \equiv s^2 + s c \frac{\pi_{ZA}}{q^2 + \pi_A} ; \quad s \equiv \sin \theta_W ; \quad c \equiv \cos \theta_W$$

[ See M. E. Peskin and T. Takeuchi, Phys. Rev. D 46 (1992) 381 ]



## Adding in the Negative Frequency Modes ...

For instance

$$M_{cc} = \frac{e^2}{2s^2} I_+ I_- \frac{2(q^2 - m_W^2 + i \text{Im} \Pi_W)}{(q^2 - m_W^2 + i \text{Im} \Pi_W)^2 - (\text{Re} \Pi_W)^2}$$

It would be a miracle if this gave the standard result, but let's try anyway ...



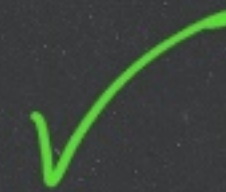
## Renormalized Quantities

(i) Pole mass  $m_w$ :

$$\left[ (q^2 - m_w^2 + i\text{Im}\Pi_w)^2 - (\text{Re}\Pi_w)^2 \right]_{q^2 = \bar{m}_w^2} = 0$$

$\Rightarrow$  standard gap equation

$$\bar{m}_w^2 = m_w^2 - \Pi_w(\bar{m}_w^2)$$





## Renormalized Quantities

(ii) Wavefunction renormalization

$$Z_W^{-1} = \frac{d}{dq^2} \frac{(q^2 - m^2 + i \text{Im} \Pi_W)^2 - (\text{Re} \Pi_W)^2}{2(q^2 - M_W^2 + i \text{Im} \Pi_W)} \Big|_{q^2 = \bar{M}_W^2}$$

$$= 1 + \frac{d \Pi_W}{dq^2} \Big|_{q^2 = \bar{M}_W^2} \quad \checkmark$$

$$\therefore M_{cc} = \frac{e^2}{2s^2} I_+ I_- \frac{Z_W}{q^2 - \bar{M}_W^2} \quad \checkmark$$



Surprise!

The neutral current piece works too and we obtain the standard running parameters  $Z_W^*$ ,  $Z_Z^*$ ,  $\bar{m}_W^*$ ,  $\bar{m}_Z^*$ ,  $S^*$ ,  $e^*$   
 $\Rightarrow$  standard parametrization of the EW S, T, U parameters.

How has this worked out?

Potentially: (i) resonance phenomena?  
(ii) breakdown of naive perturbation theory?

$\Leftarrow$  degenerate spectrum of "particles" mixed by their interaction with the classical source J.



## Conclusions

- S-matrix theory is causal by default.
- If we want manifest causality, we need to keep track of sources and sinks and finite times.
- Obtained manifestly-causal transition amplitudes that are consistent with S-matrix results (x3 ways)
- Observed the relevance of negative-energy flow to causality.
- Played with a nominal departure from standard QFT that permits negative-energy states (with intriguing results).



Backup Slides



## A Mathematical Excursion: Bicomplex Numbers

Complex numbers  $\mathbb{C}$ : the real numbers  $\mathbb{R}$

+ an imaginary unit "i":  $i^2 = -1$ ,  $i^* = -i$

Bi-complex numbers  $\mathbb{F}$ : the complex numbers  $\mathbb{C}$

+ a second imaginary unit "j":  $j^2 = -1$ ,  $j^\star = -j$ .

$\Rightarrow$  two "complex" conjugations

$$i^\star = i, \quad j^* = j$$

Def<sup>1</sup>:  $k \hat{=} ij$ :  $k^2 = +1$ ,  $k^* = -k$ ,  $k^\star = -k$ ,  $k^{*\star} = k$

(\* $\star$ ) defines the  $\mathbb{F}$ -norm, which is positive semi-definite.



## Free Scalar Field

$$\phi^+(x) = + \int_{LIPS} (a_p^\dagger e^{-ip \cdot x} + a_p^\dagger e^{ip \cdot x})$$

$$\phi^-(x) = - \int_{LIPS} (a_p^- e^{ip \cdot x} + a_p^{-\dagger} e^{ip \cdot x})$$

with all the usual properties

$$[\phi^\pm(x), \phi^\pm(y)] \Big|_{x^0=y^0} = 0$$

$$[\phi^+(x), \phi^-(y)] = 0.$$



## Dressed Propagators

$$\tilde{\Delta}^+(p^2) = \frac{2i(p^2 - m^2 + i \operatorname{Im}\pi)}{(p^2 - m^2 + i \operatorname{Im}\pi)^2 - (\operatorname{Re}\pi)^2}$$

$$\hat{\Delta}^-(p^2) = \frac{-2i \operatorname{Re}\pi}{(p^2 - m^2 + i \operatorname{Im}\pi)^2 - (\operatorname{Re}\pi)^2}$$

Compare with

$$\Delta_F(p^2) = \frac{1}{p^2 - m^2 + \pi}$$